

## Clarification regarding the statement of the association between the recombinant zoster vaccine (RZV) and gout flares

We have read with great interest the article addressing the risk of gout flares after vaccination in a case crossover study by Yokose *et al.*<sup>1</sup> The authors suggest that vaccines may be associated with an increased odds of gout flares potentially via activation of the NLRP3 inflammasome. The study was conducted between 2003 and 2010 prior to the availability of the recombinant zoster vaccine (RZV); however, the authors make reference to two phase III clinical trials for RZV which, they state, demonstrated a higher risk of gout flares in the vaccine group. Even though RZV was not assessed in the study by Yokose *et al.*, we would like to clarify this statement about RZV and gout flares.

The two large pivotal phase III clinical trials, ZOSTER-006/ZOE-50 (NCT01165177) and -022/ZOE-70 (NCT01165229), that involved a total of 29 305 subjects  $\geq 50$  years of age who received at least one dose of RZV (n=14 645) or placebo (n=14 660) were designed to assess the efficacy and safety of the RZV vaccine, but not to statistically assess a potential risk of gout among RZV recipients.<sup>2-4</sup>

We confirm that the analysis of the unsolicited adverse events (AE) reported during 30 days after each vaccination showed a numerical imbalance in the reporting rate of gout. Indeed, there were 27 (0.18% (95% CI 0.12 to 0.27)) versus 8 (0.05% (95% CI 0.02 to 0.11)) (unadjusted risk ratio=3.38 (95% CI 1.49 to 8.60)) subjects in the RZV and placebo groups, respectively, who experienced an AE of gout or gouty arthritis.<sup>5</sup> However, these are not necessarily reported 'flares' as it includes both newly diagnosed (or incident) gout and recurrent gout (potential flares). Any AE was collected regardless of whether it was newly diagnosed or recurrent. As part of the safety monitoring, the risk of a newly diagnosed gout was analysed separately from the risk of a recurrent gout in a descriptive analysis. Differentiation between incident and recurrent gout was done by retrospective review of the documented patient's medical history. It is worth noting that GSK may not have had access to full patient medical history information, including that classically used for diagnosis ascertainment, such as baseline serum uric acid levels or presence of monosodium urate crystals in synovial fluid.

It is important to note that the total number of cases of incident and recurrent gout reported was low (35 reported cases in the pooled analysis). Majority of the gout events in the RZV group were non-serious and mild-to-moderate in severity. Of the total of patients reporting an episode of gout after vaccination, 19 in the RZV group and 3 in the placebo group reported an episode of gout for the first time, while 8 subjects in the RZV group versus 5 subjects in the placebo group were reportedly known to have pre-existing (chronic) gout at baseline and experienced a recurrent episode of gout (gout flare) after vaccination.<sup>6</sup> From the data, it appears that newly diagnosed episodes of gout were more frequent than acute gout flares, regardless of gout stage (ie, intercritical period or currently having gouty arthritis or chronic tophaceous gout). However, available data cannot be used to draw conclusions in this regard, since majority were non-serious reports for which there is inconsistent clinical data completeness for assessment, for example, in terms of dietary habits, baseline serum uric acid levels, medical history, clinical narrative and diagnostic tests. In addition, multiple factors contribute to pathophysiology of gout and the individuals who reported episodes of gout after vaccination also had various confounding factors and

medical conditions that are well-known risk factors for gout (eg, diabetes, hypertension, chronic kidney disease, hypercholesterolaemia, therapy with digoxine,  $\beta$ -blockers, diuretics, etc), and which may have explained the occurrence of the reported gout attack. Given that the two large pivotal phase III clinical trials were not designed to statistically assess a potential risk of gout among RZV recipients, one cannot exclude that the observed imbalance might be a chance finding, considering that the prevalence of gout in RZV target population (adults 50 years of age or older) is common.<sup>5</sup>

In view of all these caveats, the numerical imbalance observed should be interpreted with caution, and further data are needed to investigate a possible putative association between RZV vaccination and increased risk of gout, if any. The RZV postmarketing safety surveillance includes currently under development targeted safety studies designed to investigate the risk of incident gout.

Regarding the hypothesis of a potential mechanistic link between gout and NLRP3 inflammasome activation, it should be noted that, although activation of this pathway has been reported *in vitro*, it is controversial whether it has a role in the adjuvant effect of alum *in vivo*.<sup>7</sup> Similarly, QS-21 is able to trigger activation of NLRP3 *in vitro* and caspase-1 cleavage in the lymph node draining the injection site,<sup>8</sup> but studies in NLRP3-deficient mice showed that this pathway has no impact on the adjuvant effect of QS-21 or QS-21-containing adjuvants *in vivo*.<sup>9,10</sup> It is important to note that, for the vaccine to be the trigger of gout symptoms, it would have to directly affect the joint environment where the inflammation occurs. Given that the immune-stimulatory effect is local to the site of injection,<sup>11</sup> a direct trigger of gout by adjuvanted vaccines through caspase-1 activation is an unlikely hypothesis. Uric acid may be produced locally as a result of vaccine-induced inflammatory response through the release of DNA by dying innate cells (such as neutrophils) after they have been recruited at the site of injection. This has been shown to play a role in the adjuvant effect of alum.<sup>12</sup> It is not known, however, whether this effect occurs in humans and whether it would be significant enough to cause an increase in uric acid level in blood. Therefore, assessing changes in circulating uric acid blood level postvaccination could help verify this hypothesis, keeping in mind that an increase in serum uric acid levels (asymptomatic hyperuricaemia) may not necessarily translate into monosodium urate crystal formation in the joints and acute gout.

While the article by Yokose *et al.*<sup>1</sup> proposed a potential association between vaccines and gout flares, the authors also highlighted methodological and statistical limitations of the study (eg, self-reporting, representativeness of the population, lack of information on the type of vaccine administered) that should be considered when drawing conclusions based on the Yokose results. A prospective study designed to measure multiple variables, such as age and sex, medical history of gout and medications, exact time of immunizations, vaccine used, reactogenicity and so on would help assess possible risks of gout in the context of vaccination. Any finding of an association should then be complemented with mode of action and mechanistic studies designed to understand potential putative mechanisms.

Finally, we concur with the authors on the importance of what vaccination brings to public health and the continuous need to evaluate the benefit/risk balance of vaccines.

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