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## EXTENDED REPORT

## Tuberculosis and other opportunistic infections in tofacitinib-treated patients with rheumatoid arthritis

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**ABSTRACT**

**Objectives** To evaluate the risk of opportunistic infections (OIs) in patients with rheumatoid arthritis (RA) treated with tofacitinib.

**Methods** Phase II, III and long-term extension clinical trial data (April 2013 data-cut) from the tofacitinib RA programme were reviewed. OIs defined a priori included mycobacterial and fungal infections, multidermatomal herpes zoster and other viral infections associated with immunosuppression. For OIs, we calculated crude incidence rates (IRs; per 100 patient-years (95% CI)); for tuberculosis (TB) specifically, we calculated rates stratified by patient enrolment region according to background TB IR (per 100 patient-years): low ( $\leq 0.01$ ), medium ( $>0.01$  to  $\leq 0.05$ ) and high ( $>0.05$ ).

**Results** We identified 60 OIs among 5671 subjects; all occurred among tofacitinib-treated patients. TB (crude IR 0.21, 95% CI of (0.14 to 0.30)) was the most common OI (n=26); median time between drug start and diagnosis was 64 weeks (range 15–161 weeks). Twenty-one cases (81%) occurred in countries with high background TB IR, and the rate varied with regional background TB IR: low 0.02 (0.003 to 0.15), medium 0.08 (0.03 to 0.21) and high 0.75 (0.49 to 1.15). In Phase III studies, 263 patients diagnosed with latent TB infection were treated with isoniazid and tofacitinib concurrently; none developed TB. For OIs other than TB, 34 events were reported (crude IR 0.25 (95% CI 0.18 to 0.36)).

**Conclusions** Within the global tofacitinib RA development programme, TB was the most common OI reported but was rare in regions of low and medium TB incidence. Patients who screen positive for latent TB can be treated with isoniazid during tofacitinib therapy.

lymphocyte development and function.<sup>11</sup> Given its mechanism of action, the risk of TB and other OIs could potentially be elevated in tofacitinib-treated patients, and accordingly, we undertook a retrospective evaluation of all OIs reported within the tofacitinib RA clinical development programme.

**METHODS****Development programme conduct**

Following completion of phase I studies, the global tofacitinib RA development programme comprised six phase II,<sup>12–17</sup> six phase III<sup>18–23</sup> and two open-label long-term extension (LTE) studies,<sup>24 25</sup> with a total of 5671 treated patients and 12 664 patient-years tofacitinib exposure across 48 nations worldwide.

**OI case-finding and case description**

For phase II, III and open-label LTE studies, we searched all preferred and low-level MedDRA terms (see online supplementary file 1) reported by site investigators containing text consistent with a potential OI using a data cut-off date of 10 April 2013. As of April 2013, data collection for one phase III study<sup>23</sup> and the two LTE studies<sup>24 25</sup> were ongoing, and study databases had not yet been locked. For this analysis, the following infections were defined a priori as OIs: TB, non-tuberculous mycobacterium (NTM) infections, all fungal infections (with the exception of oral or vaginal candidiasis and chromomycosis), listeria and viral infections typically associated with immunosuppression, including multidermatomal or disseminated herpes zoster, disseminated herpes virus, cytomegalovirus (CMV), BK virus and progressive multifocal leukoencephalopathy (PML). Legionella was not specified as an OI and was not included in this analysis (one case was reported during the development programme).

For cases classified as ‘OIs’, we reviewed adverse reaction report forms to obtain clinical details, including treatment and outcomes. For all cases, we collected descriptive clinical and epidemiological information at time of randomisation (baseline) including age, sex, race, site of enrolment, corticosteroid use (limited by protocol to  $\leq 10$  mg/day prednisone equivalent), concomitant non-biological DMARD use, comorbidities (eg, smoking, diabetes, others), body mass index, measures of RA severity (Disease Activity Score using 28-joint counts and the C reactive protein level (DAS 28-3 CRP)) and disease duration (years since diagnosis), as well as baseline lymphocyte and neutrophil counts.

**INTRODUCTION**

Tuberculosis (TB) and other opportunistic infections (OIs) occur more frequently in patients with rheumatoid arthritis (RA), and this risk is elevated by the use of prednisone and certain biological disease-modifying antirheumatic drugs (DMARDs).<sup>1–3</sup> This has been best documented for granuloma-inducing pathogens in the setting of tumour necrosis factor-alpha (TNF) blockade.<sup>4–9</sup> For biological therapies with other mechanisms of action, and for small molecular therapies like tofacitinib, less is known. Tofacitinib is a small-molecule oral Janus kinase (JAK) inhibitor approved for the treatment of adult patients with RA.<sup>10</sup> Tofacitinib preferentially inhibits JAK3 and JAK1, modulating the immune response via down-regulation of several cytokines (eg, interleukins (ILs) 2, 4, 7, 9, 15 and 21) that are integral to



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## TB screening

In phase II studies, patients with positive TB screening were excluded from entering the trial. In phase III studies, all potential study subjects were queried regarding a history of prior diagnosis or treatment of active or latent TB infection (LTBI). All patients underwent chest radiography (within 3 months of screening), and for those with no prior history of positive screening results, at least one screening test for LTBI was performed at discretion of the site primary investigator; QuantiFERON-TB Gold In-Tube (QFT-IT; Quest Diagnostics, Madison, New Jersey, USA) or tuberculin skin test (TST; using  $\geq 5$  mm induration cut-off outside Japan and  $\geq 20$  mm erythema for Japan). Patients diagnosed with LTBI and patients with a history of inadequately or untreated LTBI prior to screening were allowed entry into phase III trials after receiving at least 1 month of a planned 9-month isoniazid preventive therapy regimen.

## OI incidence rate calculations and evaluation of comparative risk

OIs were attributed either to tofacitinib, placebo, adalimumab or methotrexate based on exposure at time of event. Given all OIs occurred in tofacitinib patients, we calculated OI crude incidence rates (IRs) per 100 patient-years (95% CI) only for tofacitinib-exposed patients. Given that no OIs occurred in phase II studies, these calculations were limited to the phase III and LTE studies. Patients were censored at time of event, death or withdrawal from study.

## TB substudy

For TB cases, we obtained detailed information regarding date of onset, type of presentation and clinical outcomes. We also evaluated TB screening results among patients who entered the phase II, III and LTE studies. In addition, for patients who started isoniazid preventive therapy and entered trials, we described the proportion of individuals who developed elevated transaminases. We calculated crude TB IRs overall, as well as stratified by enrolment region, age, sex and baseline corticosteroid use. In order to contextualise the regional variation in TB rates, we presented TB rates according to regional background TB incidence. We used WHO TB incidence data from 2011,<sup>26</sup> to categorise countries where enrolment took place according to background TB IR (per 100 patient-years) defined as follows: low-incidence regions ( $IR \leq 0.01$  per 100 patient-years), medium-incidence regions ( $IR > 0.01$  to  $\leq 0.05$  per 100 patient-years) and high-incidence regions ( $IR > 0.05$  per 100 patient-years). We conducted all statistical analyses using SAS software (SAS Institute, Cary, North Carolina, USA).

## RESULTS

Patient baseline characteristics are described in table 1. We identified 60 OIs (58 patients) among 5671 patients enrolled in phase II, phase III and LTE studies (crude IR (95% CI) of 0.46 (0.36 to 0.59) per 100 patient-years); no events occurred during phase II studies and all events occurred in tofacitinib-treated patients. Crude IRs for TB and non-TB OIs were 0.21 (95% CI 0.14 to 0.30) and 0.25 (95% CI 0.18 to 0.36), respectively. OIs included TB (n=26), oesophageal candidiasis (n=9), *Pneumocystis jirovecii* pneumonia (n=4), CMV infection (n=6), NTM pulmonary infection (n=2), cryptococcal infection (pneumonia n=2, meningitis n=1), disseminated or multidermatomal herpes zoster (n=8), BK encephalopathy (n=1) and toxoplasmosis (n=1). No cases of disseminated herpes virus or PML

were reported. Of the 58 patients with OIs, one patient died due to pneumocystis, and most (n=40) permanently discontinued treatment with the study drug. The CMV cases presented differently: antigenemia without evidence of other infection (n=1); an oesophageal ulcer, which resolved without antiviral therapy while tofacitinib treatment was continued (n=1); sialadenitis with CMV on biopsy (n=1); hepatitis in which CMV was also detected in cerebrospinal fluid (CSF) by PCR (n=1); and gastritis with little clinical information provided (n=1). The final case involved CMV retinitis with characteristic retinal pathology and a positive anterior chamber PCR; the infection responded appropriately to antiviral therapy. The case of BK encephalitis was diagnosed using PCR of CSF in a patient during an episode of bacterial sepsis; it resolved as the patient's overall status improved.

## All OIs (TB and non-TB OIs combined)

In phase III studies, crude OI incidence was numerically higher in patients treated with tofacitinib 10 mg twice daily (0.93 (95% CI 0.55 to 1.58)) vs 5 mg twice daily (0.20 (95% CI 0.07 to 0.64)). In LTE studies, rates were more similar in tofacitinib 5 mg (0.38 (95% CI 0.23 to 0.62)) and 10 mg treated patients (0.48 (95% CI 0.33 to 0.71)). Across treatment arms, the crude incidence of all OIs among all tofacitinib users was slightly higher among glucocorticoid users (0.55 (95% CI 0.38 to 0.81)) than non-users (0.31 (95% CI 0.19 to 0.53)). Rates were similar in those aged  $\geq 65$  and  $< 65$  years (0.49 (95% CI 0.24 to 1.04) vs 0.43 (95% CI 0.30 to 0.60)), respectively.

## OIs other than TB

Non-TB OIs occurred at a median of 40 weeks (range, 6–179 weeks) after tofacitinib start. In phase III studies, the incidence of non-TB OIs was numerically higher in patients treated with tofacitinib 10 mg twice daily (0.40 (95% CI 0.18 to 0.90)) compared with 5 mg twice daily (0.21 (95% CI 0.07 to 0.64)). In LTE studies, rates were similar in tofacitinib 5 mg (0.23 (95% CI 0.12 to 0.43)) and 10 mg treated patients (0.27 (95% CI 0.16 to 0.46)). Across treatment arms, the crude incidence of non-TB OIs among all tofacitinib users was higher among glucocorticoid users (0.36 (95% CI 0.22 to 0.58)) than non-users (0.13 (95% CI 0.06 to 0.30)). Rates were similar in those aged  $\geq 65$  and  $< 65$  years (0.21 (95% CI 0.07 to 0.66) vs 0.26 (95% CI 0.17 to 0.40)).

## Tuberculosis

There were 26 cases of active TB reported by investigators, all within tofacitinib-treated individuals from phase III (n=9 cases) and LTE studies (n=17 cases). The median time between tofacitinib start and TB diagnosis was 64 weeks (range, 15–161 weeks). Fifteen (58%) cases involved extrapulmonary infection sites. Few were culture-confirmed, and most (20/26, 77%) occurred in those taking tofacitinib 10 mg twice daily (table 2). All but two cases had negative screening results at entry. The two cases with positive screening results at baseline had a history of receiving an adequate course of therapy for active TB or LTBI in the past (one each). These patients, given the adequate treatment history, were allowed into the trial without isoniazid treatment, per protocol. The TB rate varied according to regional background IR: 21 cases (81%) occurred in countries with high background TB IR (characteristics of the 26 reported active TB cases from phase II, III and LTE studies of tofacitinib are presented in online supplementary file 3).

In phase III studies, crude IR of TB among all tofacitinib users was 0.27 (95% CI 0.14 to 0.54) and all cases occurred in

**Table 1** Baseline characteristics of patients entering phase III tofacitinib trials by exposure group

	Tofacitinib 5 mg twice daily (N=1587; PY=1464.18)	Tofacitinib 10 mg twice daily (N=1609; PY=1501.03)	Placebo (N=681; PY=202.71)	Adalimumab (N=204; PY=178.04)	Methotrexate (N=186; PY=152.07)
Age, median years (range)	54 (18–86)	54 (18–86)	54 (18–82)	54 (24–78)	50.5 (20–80)
Female, n (%)	1310 (82.5)	1355 (84.2)	553 (81.2)	162 (79.4)	145 (78.0)
Race, n (%)					
White	976 (61.5)	1006 (62.5)	439 (64.5)	148 (72.5)	127 (68.3)
Black	58 (3.7)	47 (2.9)	24 (3.5)	3 (1.5)	4 (2.2)
Asian	394 (24.8)	375 (23.3)	166 (24.4)	29 (14.2)	33 (17.7)
Other	159 (10)	181 (11.2)	52 (7.6)	24 (11.8)	22 (11.8)
RA duration, mean, years	7.4	7.7	9.3	8.1	2.6
Diabetes mellitus, n (%)	130 (8.2)	127 (7.9)	48 (7.0)	16 (7.8)	8 (4.3)
COPD, n (%)	125 (7.9)	134 (8.3)	64 (9.4)	11 (5.4)	9 (4.8)
Smoking history, n (%)	534 (33.7)	520 (32.3)	254 (37.4)	71 (35.1)	61 (32.8)
BMI, mean (range)	26.9 (14.3–70.8)	27.0 (12.1–63.3)	27.2 (14.7–55.1)	27.1 (13.9–45.7)	26.7 (14.9–49.4)
RA severity (DAS28-3 CRP)	5.4	5.4	5.3	5.3	5.6
Concomitant DMARD, n (%)					
Methotrexate	904 (57.0)	902 (56.1)	520 (76.4)	199 (97.5)	1 (0.5)
Leflunomide	91 (5.7)	84 (5.2)	34 (5.0)	0 (0)	0 (0)
Hydroxychloroquine	152 (9.6)	157 (9.8)	51 (7.5)	2 (<1.0)	26 (14.0)
Baseline glucocorticoid use, n (%)	866 (54.6)	834 (51.8)	376 (55.2)	116 (56.9)	79 (42.5)
>0 mg to <5 mg daily	114 (7.2)	113 (7.0)	48 (7.0)	16 (7.8)	10 (5.4)
5 to 10 mg daily	731 (46.1)	698 (43.4)	315 (46.3)	90 (44.1)	68 (36.6)
>10 mg daily	9 (<1.0)	9 (<1.0)	5 (<1.0)	7 (3.4)	0 (0)
Unknown dose	24 (1.5)	20 (1.2)	19 (2.8)	3 (1.5)	3 (1.6)

BMI, body mass index; COPD, chronic obstructive pulmonary disease; DAS28-3 CRP, Disease Activity Score using 28-joint counts and the C reactive protein level; DMARD, disease-modifying antirheumatic drugs; PY, person-years exposure; RA, rheumatoid arthritis.

the 10 mg twice daily group (crude incidence, 0.53 (95% CI 0.27 to 1.07)). IRs were similar between those aged <65 years (0.54 (95% CI 0.26 to 1.13)) and those ≥65 years (0.51 (95% CI 0.07 to 3.63)), among those with and without baseline glucocorticoid use (0.50 (95% CI 0.19 to 1.33) vs 0.57 (95% CI 0.22 to 1.53)), but trended higher in males (1.26 (95% CI 0.41 to 3.90)) vs females (0.40 (95% CI 0.17 to 0.95)). Within LTE studies, cases occurred at similar incidence in both 5 and 10 mg twice daily arms (0.15 (95% CI 0.07 to 0.33) vs 0.21

(95% CI 0.12 to 0.38)). When examining IRs across dosage arms within the LTE experience, we observed higher incidence in patients aged ≥65 years (0.28 (95% CI 0.11 to 0.75)) compared with those <65 years (0.17 (95% CI 0.10 to 0.29)). Rates were similar among patients using glucocorticoids (0.19 (95% CI 0.10 to 0.37)) and those not using glucocorticoids (0.18 (95% CI 0.09 to 0.36)).

### TB screening results

In phase II studies, patients screening positive for TB were disallowed entry to the trial. In phase III studies, 4088 underwent QFT-IT, of whom 217 (5.3%) had positive results. In total, 305 patients underwent TST testing, of whom 28 (9.2%) had positive results. Some patients lacked screening results. Presumably these patients had a history of TB (treated or untreated) elicited by site investigators; however, this information was not reliably recorded by investigators. In total, 286 patients were reported to have untreated LTBI upon screening. All of these patients completed 1 month of isoniazid prior to starting study drug or placebo, and all completed 9-month regimens of isoniazid; none developed active TB. While tofacitinib-treated patients using isoniazid were slightly more likely to develop small elevations in liver enzymes during therapy, they were no more likely to develop significant liver transaminases elevation (>3× upper limit of normal) during therapy than tofacitinib-treated patients not using isoniazid (table 3).

### DISCUSSION

We have conducted the largest analyses to date examining the risk of OIs in the context of JAK inhibition by tofacitinib. Within the global RA clinical development programme, TB was

**Table 2** TB IRs for tofacitinib patients by background country IRs\* (phase II, III and LTE studies)

	TB cases with tofacitinib (n)	Tofacitinib exposure (patient-years)	Crude TB IR † (95% CI)
Low‡ (0.01)	1	4852.3	0.02 (0.003 to 0.15)
Medium§ (≥0.01 and ≤0.05)	4	5020.5	0.08 (0.03 to 0.21)
High¶ (>0.05)	21	2791.1	0.75 (0.49 to 1.15)

\*TB background country IR categories from WHO, 2011 report for year 2010.<sup>26</sup>

†Crude incidence calculated TB cases per 100 patient-years.

‡Low TB incidence region (total study enrolment, n=2213); the USA (n=1098), Czech Republic (n=378), Germany (n=238), Slovakia (n=126), Australia (n=114), Canada (n=103), Austria (n=36), Italy (n=28), Sweden (n=17), Finland (n=16), Greece (n=15), Belgium (n=13), France (n=10), Denmark (n=9), New Zealand (n=9) Ireland (n=3).

§Medium TB incidence region (total study enrolment, n=2132); Japan (n=556), Brazil (n=306), Mexico (n=259), Poland (n=254), Chile (n=174), Bulgaria (n=169), Spain (n=128), Colombia (n=120), Argentina (n=61), Croatia (n=27), Hungary (n=27), the UK (n=17), Costa Rica (n=16), Venezuela (n=7), Bosnia-Herzegovina (n=6), Turkey (n=5).

¶High TB IR (total study enrolment, n=1326); Korea (n=284), Ukraine (n=227), China (n=213), India (n=194), Russia (n=149), Thailand (n=63), Philippines (n=61), Malaysia (n=46), Taiwan (n=36), Dominican-Republic (n=27), Peru (n=14), Romania (n=12).

IR, incidence rate; LTE, long-term extension; TB, tuberculosis.

the most commonly reported OI but was rare in regions of low and medium background TB prevalence. In phase III trials, the incidence of OIs was higher in patients using tofacitinib 10 mg twice daily dosing compared with tofacitinib 5 mg twice daily dosing, and overall most TB cases occurred in patients using the higher dose. Importantly, our data suggest the utility of screening for TB prior to tofacitinib start, and the ability to successfully treat LTBI with isoniazid during tofacitinib therapy.

Patients with RA are known to be at higher risk for OIs, in part due to their therapies. For anti-TNF therapies, this increased risk has been most clearly described for those pathogens that rely upon granulomatous immunity, with TB the best studied example and generally the most common OI (other than routine/uncomplicated herpes zoster) reported in that setting.<sup>27–30</sup> Further, no matter the immunosuppressive therapy, the risk of TB varies directly with background TB rate in the underlying population, and for those starting TNF blockade, screening and treatment of LTBI effectively reduces the risk of subsequent TB.<sup>31</sup> In this regard, our experience within the tofacitinib programme was similar. TB rates among tofacitinib-treated individuals varied according to the underlying population's background TB prevalence with higher rates observed in regions of high background TB prevalence. Within North America and Western Europe, we observed TB rates 5–10 times higher than background populations and similar to those described in recent population-based studies assessing the risks of anti-TNF therapies. Early population-based studies conducted in low-prevalence TB regions, before widespread introduction of TB screening prior to biological initiation, reported rates of anti-TNF-associated TB 5–20 times higher than background general populations.<sup>32–33</sup> The most recent observational studies conducted in the USA and Western Europe, during time periods where TB screening prior to biological start was presumably widespread, have documented similar to lower risk estimates associated with anti-TNF therapy with rates <200 per 100 000 patient-years.<sup>34–36</sup> This includes British and French registry studies for TB where rates were similar (0.095–0.12, respectively). In general, it is difficult to compare rates between studies conducted in regions where the background risk of TB may vary. The comparative risk between biologics and tofacitinib, and the

potential risk modification of steroids, is not clear and deserves further population-based study.

Screening and treatment for LTBI prior to biological therapy has been shown to effectively reduce subsequent TB rates.<sup>31</sup> Our data also suggest a benefit of starting antimicrobial treatment in patients diagnosed with LTBI prior to tofacitinib start. All but two of the TB cases reported in this study occurred in individuals with negative screening test results at baseline. This is not dissimilar to other clinical trial experiences with anti-TNF therapy,<sup>37</sup> and it suggests the likelihood that some patients have false-negative screening test results at baseline. False-negative TST or Interferon-Gamma Release Assay results are more common in immunosuppressed and older patients,<sup>38</sup> and it is possible that a strategy that uses both tests, rather than a single test as reported herein, could improve the sensitivity (and potentially decrease the specificity) of screening prior to starting biologics or tofacitinib.<sup>39</sup> It is also possible that some of the observed TB cases were instances of newly acquired infection during the trial, given that nearly all the cases occurred in regions of high TB endemicity where exposure would be more likely. The long time between tofacitinib start and TB diagnosis for most of the observed cases, and their negative baseline screening results, lends further support to this idea.<sup>40</sup> Lastly, it is possible that some of the TB cases were not true cases. We attempted to use US Centers for Disease Control and Prevention case criteria for TB in order to confirm them, but most case reports were lacking in follow-up information, making such adjudication impossible. Only 8 of the 26 cases had microbiological confirmation, and many of the patients were worked-up and diagnosed only by positive acid-fast bacilli smear and no culture was performed. In some of these cases, particularly the pulmonary cases, infection due to NTM was possible and also consistent with the negative screening test results for TB at baseline. There were at least two pulmonary NTM cases reported within the development programme. In regions of low TB prevalence, such as North America and Western Europe, NTM disease is more common than TB,<sup>34–41</sup> and in fact in our study only two cases of TB occurred in North America and Europe.

Both fungal and viral OIs were also identified in this study. While our data suggest an increased risk of such infections in patients using tofacitinib, it is unclear whether this risk is any different than that associated with biological therapy. It is difficult to compare overall rates of OIs between various studies due to heterogeneity in methods, and particularly due to differences in defining which OIs are considered 'opportunistic'. In population-based studies from France, Britain and the USA, reported rates vary between 152 and 3000 per 100 000, with the wide variance due to differences in case-finding methodology and OI definitions (specifically some studies included herpes zoster as an OI and others did not).<sup>27–30 42–47</sup> We have included only complicated cases of herpes zoster within this current analysis and have recently reported uncomplicated herpes zoster rates associated with the development programme.<sup>48</sup> In that analysis, zoster rates were observed to be elevated with tofacitinib and to vary considerably according to enrolment region, with rates in some Asian countries approximately double those seen in Western Europe or North America. Intracellular infections with *Pneumocystis*, *Cryptococcus* and CMV were also observed in the development programme. No cases of endemic mycotic infections (histoplasmosis, coccidioidomycosis and blastomycosis) were observed, but presumably few patients were enrolled within regions where these organisms are endemic.

**Table 3** Patients in phase III studies who experienced ALT elevations according to study exposure group and isoniazid usage

	>1×ULN n (%)	>3×ULN n (%)	>5×ULN n (%)	>10×ULN n (%)
Isoniazid/tofacitinib* (n=263)	58 (22.1%)	4 (1.5%)	1 (0.4%)	0
No isoniazid/tofacitinib* (n=3614)	550 (15.2%)	35 (1.0%)	7 (0.2%)	2 (<0.1%)
Isoniazid/adalimumab (n=15)	3 (20%)	0	0	0
No isoniazid/adalimumab (n=189)	24 (12.7%)	2 (1.1%)	1 (0.5%)	0
Isoniazid/methotrexate (n=8)	3 (37.5%)	1 (12.5%)	0	0
No isoniazid methotrexate (n=178)	19 (10.7%)	0	0	0

In phase III trials, patients diagnosed with LTBI were allowed trial entry 1 month after starting isoniazid therapy.

\*Includes patients who were randomised to tofacitinib at study start and those randomised to placebo who later took tofacitinib during their isoniazid treatment course.

ALT, alanine aminotransferase; LTBI, latent tuberculosis infection; ULN, upper limit of normal.

A biological mechanism for how tofacitinib could increase the risk of TB or other intracellular infections is not yet clear. It could theoretically inhibit the development and/or maintenance of pathogen-specific memory T cells by inhibiting the intracellular signalling of IL-12, interferon (IFN)- $\gamma$  and other relevant cytokines.<sup>49</sup> An increased risk for serious TB disease has been documented with mutations affecting IL-12, IFN- $\gamma$  and STAT1 pathways.<sup>50</sup> Therefore, it is possible that down-modulation of these pathways by JAK inhibition could diminish the ability of macrophages to contain infections such as TB.<sup>51</sup> Further, it is likely that JAK inhibition diminishes type 1 (IFN- $\alpha$  and IFN- $\beta$ ) and type 2 (IFN- $\gamma$ ) antiviral responses,<sup>52</sup> both of which signal via the JAK1 receptor. This could explain the spectrum of viral infections observed in the development programme, and such hypotheses deserve further testing.

Our experience suggests that patients can use isoniazid therapy during tofacitinib therapy with good tolerance and apparent efficacy in TB prevention. None of the >200 patients treated in this fashion developed clinically significant hepatitis, all completed isoniazid therapy and none developed active TB. Importantly, it should be noted that a drug–drug interaction exists between rifampin and tofacitinib such that tofacitinib could be less effective during rifampin therapy due to an 80% reduction in bioavailability of tofacitinib.<sup>10</sup> For this reason, isoniazid should remain the drug of choice when treating LTBI during tofacitinib therapy, and periodic liver function testing should be conducted during such therapy in accordance with Centers for Disease Control and Prevention guidance.<sup>53</sup>

In summary, we observed an increased risk of OIs among patients with RA using tofacitinib, although they occur rarely and are less frequent in those treated with 5 mg twice daily. TB was the most common OI reported in this setting, but remained rare in regions of low TB prevalence. As with biological therapy, screening and treating for LTBI should be employed prior to starting tofacitinib, and long-term population-based studies are necessary to better understand the comparative risk of tofacitinib with other DMARD therapies.

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**Correction notice** This paper has been amended since it was published Online First. There was an error in table 2, the "Low (<0.10)" has been corrected to "Low (0.01)".

**Twitter** Follow Mario Cardiel at @mhcardiel

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**Ethics approval** All clinical studies were conducted in compliance with the Helsinki declaration of Good Clinical Practice guidelines of the International Conference on Harmonisation, and all participating institutions provided ethics board approval.

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## Supplementary Appendix

### **Tuberculosis and other opportunistic infections in tofacitinib-treated rheumatoid arthritis patients**

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## Supplementary file 1 – MedDRA search terms for case finding

### Opportunistic Infections (ADJUDICATED)

1. Treated Subject
2. Event started on or after start of study med treatment
3. Event is one of these preferred terms for P2P3 and pid^= 'A3921064 1037 10371006':
  - a. ESOPHAGEAL CANDIDIASIS
  - b. SALOADENITIS
  - c. PNEUMOCYSTIS JIROVECI PNEUMONIA
  - d. CMV VIREMIA
  - e. CYTOMEGALOVIRUS VIRAEMIA
  - f. PNEUMONIA CRYPTOCOCCAL
  - g. HERPES ZOSTER DISSEMINATED
  - h. LYMPH NODE TUBERCULOSIS
  - i. TUBERCULOUS PLEURISY
  - j. PULMONARY TUBERCULOSIS
4. Event is one of these preferred terms for LTE:
  - a. Cytomegalovirus Infection (10011831)
  - b. Encephalitis (10014581)
  - c. Hepatitis acute (10019727)
  - d. Meningitis cryptococcal (10027209)
  - e. Oesophageal candidiasis (10030154)
  - f. Mycobacterium avium complex infection (10058806)
  - g. Atypical mycobacterial infection (10061663)
  - h. Pneumocystis jirovecii pneumonia (10064108)
  - i. Disseminated tuberculosis (10013453)
  - j. Tuberculosis (10044755)
  - k. Bone tuberculosis (10056377)
  - l. Tuberculosis of central nervous system (10061391)
  - m. Pulmonary tuberculosis (10037440)
  - n. Encephalitis (10014581)



- o. Hepatitis acute (10019727)
- p. Pneumonia (10035664) and pid in A3921024 1145 11451015 or A3921041 1005 10051007

Code:

P2P3: if factdat^=. and aefdate>=factdat and upcase(preftext) in ('OESOPHAGEAL CANDIDIASIS', 'SIALOADENITIS', 'PNEUMOCYSTIS JIROVECI PNEUMONIA', 'CMV VIREMIA', 'CYTOMEGALOVIRUS VIRAEMIA', 'PNEUMONIA CRYPTOCOCCAL', 'HERPES ZOSTER DISSEMINATED', 'LYMPH NODE TUBERCULOSIS', 'TUBERCULOUS PLEURISY', 'PULMONARY TUBERCULOSIS') and upcase(pid) not in ("A3921064 1037 10371006")

LTE: if factdat^=. and aefdate>=factdat and (prefcode in (10011831, 10014581, 10019727, 10027209, 10030154, 10058806, 10061663, 10064108, 10013453, 10044755, 10056377, 10061391, 10037440, 10014581, 10019727) or (PID in ("A3921024 1145 11451015","A3921041 1005 10051007") and prefcode in (10035664)))

NOTE – aeser=1 can be added for Serious Opportunistic Infections

**Supplementary file 2 – Crude incidence rate of OIs (TB and non-TB OIs combined) across various data within the tofacitinib developmental program<sup>a</sup> for rheumatoid arthritis**

<b>Factor</b>	<b>Strata</b>	<b>OI cases (n)</b>	<b>Crude incidence rate (95% CI)<sup>b</sup></b>
Age	<65 years	48	0.44 (0.33, 0.58)
	≥65 years	10	0.58 (0.31, 1.07)
Sex	Male	6	0.28 (0.13, 0.63)
	Female	52	0.49 (0.38, 0.65)
Glucocorticoids	No	21	0.38 (0.25, 0.58)
	Yes	37	0.52 (0.38, 0.72)
TB region <sup>c</sup>	Low	7	0.14 (0.07, 0.30)
	Medium	23	0.46 (0.31, 0.69)
	High	28	1.01 (0.69, 1.46)

<sup>a</sup>Phase 2, 3 and long-term extension study data

<sup>b</sup>per 100 patient-years of exposure

<sup>c</sup>Based on WHO background incidence

CI, confidence interval; OI, opportunistic infection; TB, tuberculosis

### Supplementary file 3 – Characteristics of the 26 reported active TB cases from Phase 2, 3, and LTE studies of tofacitinib

Tofacitinib dose	Country	Age at time of TB onset	Sex	Days to onset	Meets CDC TB criteria <sup>a</sup>	TB location	TB screening	Bacteriology	Methotrexate	Prednisone	Other
10 mg BID	Thailand	43	M	104	Yes	Disseminated	QFT negative	Sputum TB PCR+	No	No	Pericardial, pulmonary, lymph node involvement
10 mg BID	India	48	M	152	Yes	Pulmonary	QFT indeterminate and TST negative (0 mm)	None	No	No	Pleural effusion
5 mg BID	USA	73	F	196	Yes	Disseminated TB	QFT negative	Positive DNA M Tb and M avium	No	Yes	None
10 mg BID	Thailand	51	F	201	No	Pulmonary	QFT positive; history of treated TB	Positive AFB sputum smear	Yes	No	No culture information; history of infected bronchiectasis
5 mg BID	Mexico	42	F	240	Yes	Disseminated TB	TST negative (0 mm)	Positive AFB smear from gastric aspirate	Yes	No	Negative culture and QuantiFERON <sup>®</sup> during event
10 mg BID	India	43	F	269	Yes	Supraclavicular node	QFT negative	Lymph node biopsy “positive for TB”	Yes	No	None
10 mg BID	Philippines	67	F	290	No	Pulmonary	QFT negative	Caseating granuloma on lung biopsy	Unknown	Unknown	Diabetes
10 mg BID	Korea	63	M	305	Yes	Pulmonary	QFT negative	Positive AFB sputum smear	Yes	Yes	No culture report

<b>Tofacitinib dose</b>	<b>Country</b>	<b>Age at time of TB onset</b>	<b>Sex</b>	<b>Days to onset</b>	<b>Meets CDC TB criteria<sup>a</sup></b>	<b>TB location</b>	<b>TB screening</b>	<b>Bacteriology</b>	<b>Methotrexate</b>	<b>Prednisone</b>	<b>Other</b>
10 mg BID	India	53	F	325	Yes	Bone	QFT negative	None	No	No	Necrotizing granuloma on bone biopsy. No cultures
10 mg BID	China	51	M	325	Yes	Pulmonary	QFT negative	Positive AFB sputum smear	Yes	No	No culture, also leflunomide
10 mg BID	Philippines	73	F	366	Yes	Pulmonary	QFT negative	None	Yes	No	Pleural effusion
5 mg BID then 10 mg BID	Philippines	71	F	421	No	Pulmonary	QFT negative	None	Yes	No	None
10 mg BID	Korea	55	F	443	Yes	Pericardial/pleural	QFT negative	Pleural fluid AFB culture negative	No	No	QFT became positive in context of pleural effusion showing lymphocyte dominant exudates with ADA elevation
10 mg BID	Philippines	43	F	446	Yes	Pulmonary	QFT indeterminate and PPD negative (0 mm)	Negative AFB sputum smear	No	Yes	None
10 mg BID	Korea	62	F	546	Yes	Disseminated	QFT negative	TB PCR+ lymph node	Yes	Yes	
5 mg BID	China	27	F	588	Yes	Disseminated	QFT negative	none	Yes	Yes	Chest CT with milia, septic knee
5 mg BID	Japan	59	F	618	Yes	Pulmonary	QFT negative	Sputum TB culture positive	No	No	
10 mg BID	Philippines	62	F	778	No	Pulmonary	QFT negative	Unknown	Yes	Yes	
5 mg BID	China	38	F	822	No	Pulmonary/pleural	QFT negative	Effusion AFB smear negative. No cultures done	Yes	No	CXR with infiltrate

Tofacitinib dose	Country	Age at time of TB onset	Sex	Days to onset	Meets CDC TB criteria <sup>a</sup>	TB location	TB screening	Bacteriology	Methotrexate	Prednisone	Other
10 mg BID	Spain	64	F	845	Yes	Disseminated	QFT positive	Not reported	No	No	MRI brain c/w TB, milia on chest CT
10 mg BID	Korea	42	F	867	Yes	Lymphadenitis	QFT negative	PCR+ node	Yes	No	
5 mg BID	Korea	61	F	960	Yes	Disseminated TB	QFT negative	Positive DNA M Tb bone aspirate	Yes	No	Encephalitis, pulmonary and spinal involvement
10 mg BID	Ukraine	59	F	964	No	Pulmonary	QFT negative	AFB smear + sputum, culture not done	Yes	Yes	CXR with infiltrate
10 mg BID	India	53	F	1031	No	Pleural	QFT negative	None	Unknown	Unknown	
10 mg BID	Poland	78	F	1086	Yes	Scrofula	QFT negative	TB PCR+ cervical lymph node	Yes	No	
10 mg BID	Philippines	57	F	1126	No	Pulmonary	QFT negative	AFB sputum smear negative	No	Yes	No cultures done. CXR with infiltrate

<sup>a</sup>US Centers for Disease Control and Prevention[1];

ADA, adenosine deaminase; AFB, acid-fast bacilli; BID, twice daily; CDC, Centers for Disease Control; CT, computed tomography; CXR, chest radiograph; F, female; LTE, long term extension; M, male; MRI, magnetic resonance imaging; PCR, polymerase chain reaction; PPD, purified protein derivative; QFT, QuantiFERON<sup>®</sup> In-tube; TB, tuberculosis; TST, tuberculin skin test

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<http://www.cdc.gov/NNDSS/script/casedef.aspx?CondYrID=876&DatePub=1/1/2009> 12:00:00 AM. Accessed October, 2014.