EXTENDED REPORT

Comorbid diseases as predictors of survival of primary total hip and knee replacements: a nationwide register-based study of 96 754 operations on patients with primary osteoarthritis

Esa Jämsén,1 Mikko Peltola,2 Antti Eskelinen,1 Matti U K Lehto3

ABSTRACT

Objective To examine how comorbid diseases (cardiovascular diseases, hypertension, diabetes, cancer, pulmonary diseases, depression, psychotic disorders and neurodegenerative diseases) affect survival of hip and knee replacements.

Methods Data for this register-based study were collected by combining data from five nationwide health registers. 43 747 primary total hip and 53 007 primary total knee replacements performed for osteoarthritis were included. The independent effects of comorbid diseases on prosthesis survival were analysed using multivariate Cox regression analysis.

Results Occurrence of one or more of the diseases analysed was associated with poorer survival of hip (HR for revision 1.16, 95% CI 1.08 to 1.23) and knee replacements (1.23, 1.16 to 1.30). Cardiovascular diseases and psychotic disorders were associated with increased risk of revision after both hip (1.19, 1.06 to 1.34 and 1.41, 1.04 to 1.91, respectively) and knee replacement (1.29, 1.14 to 1.45 and 1.41, 1.07 to 1.86, respectively). Hypertension and diabetes were associated with early revision (0–5 years after primary operation) after knee replacements (1.14, 1.01 to 1.29 and 1.27, 1.08 to 1.50, respectively). Cancer was associated with poorer survival of hip replacements (1.27, 1.05 to 1.54) and late revision (>5 years) of knee replacements (2.21, 1.31 to 3.74). Depression affected the risk of early revision after hip replacement (1.50, 1.02 to 2.21). Neurodegenerative and pulmonary diseases did not affect prosthesis survival.

Conclusions Comorbid diseases may play an important role in predicting survival of primary hip and knee replacements. The mechanisms underlying these findings and their effect on cost-effectiveness of joint replacements, merit further research.

INTRODUCTION

Joint replacements are safe and cost-effective treatments in late-stage hip and knee osteoarthritis.1 2 Currently, <10% of patients require revision surgery over 9–10-years’ follow-up.3 6 Given the high volume of joint replacement surgery and the high cost and sometimes compromised clinical outcome of revisions, patients requiring revision nevertheless constitute a clinically and economically significant minority.7

The indication for surgery, type and fixation of the prosthesis, type of antibiotic prophylaxis and also sex and age contribute to survival of hip and knee replacements,8 10–12 whereas the effect of comorbid diseases is not clear. Some studies have ignored comorbid diseases while in others12 13 comorbidity has been assessed using proxy measures,14 like the American Society of Anaesthesiologists risk score15 or the Charlson index.16 Although these indices correlate with the surgical outcomes in large series,13 14 they have a limited role in guiding the treatment of an individual patient.17 Moreover, relying on comorbidity indices may mask the potentially important specific effects of different diseases. For example, diseases and treatments that impair bone quality might lead to loosening of the prosthesis,18 while others, for example, might predispose to infections.19 20 On the other hand, comorbidity resulting in decreased physical activity might protect against wear and loosening of the prosthesis.

Use of bisphosphonates has received some attention in earlier literature,21 22 and Danish researchers have analysed the effects of diabetes23 and use of diuretics24 and statins25 on survival of hip replacements, but otherwise—to the best of our knowledge—there are no studies on the effects of specific comorbidities on the survival of joint replacements, except for some descriptive case series. The purpose of this study is, therefore, to analyse the effects of several prevalent comorbid diseases with significant clinical and public health importance on survival of primary hip and knee replacements in a nationwide register-based series.

METHODS

This study is based on the PERFECT (PERFormance, Effectiveness and Cost of Treatment episodes; http: //www.thl.fi/en_US/web/en/project?id=21963) database, maintained by the Finnish National Institute for Health and Welfare. The database was created for continuous monitoring of performance in hip and knee surgery in Finland by combining data from several nationwide health registers. The methodology of the PERFECT project has been described in detail elsewhere.26

Finland has publicly funded healthcare and social insurance. Communities are responsible for providing necessary primary and specialist healthcare services for their citizens, so patients have equal access to healthcare independent of their social or insurance status. Except for emergency cases, referral by a primary healthcare physician (or a private
specialist) is required for access to specialist healthcare and is
guided by the uniform national criteria for access to elective
treatment.

Joint replacement operations
We included primary total hip and total knee replacements per-
formed owing to primary osteoarthritis in 1998 through 2008. The
procedures were identified from the Finnish Arthroplasty Register and the Hospital Discharge Register.

The Finnish Arthroplasty Register has collected data on joint
replacements since 1980, and since 1997 reporting to the regis-
ter has been mandatory for orthopaedic surgeons.25 The register
includes data on patient demographics, joint operated on, indi-
cation for operation and some technical details (for the report-
ing form, see eg, Paolakka et al25). Compared with the Hospital
Discharge Register data, the coverage of primary knee replacement
is 96%,28 but accuracy of data has not been scientifically evaluated.

The Hospital Discharge Register is based on mandatory dis-
charge reports. In general, it is considered to be a reliable
source of data,29 the accuracy of diagnoses being around 90%
or higher.30–32 Coverage of cruciate ligament injuries12 and hip fractures31 exceeds 90%, but the validity of data on joint
replacements has not been evaluated. In this study, the included
operations were identified based on appropriate diagnosis codes
(M16.0, M16.1 M17.0, or M17.1 indicating primary hip and
knee osteoarthritis (OA), according to the International
Classification of Diseases, 10th revision, as primary diagnosis)
accompanied by the surgical procedure code indicating primary
total hip or knee replacement (NFB30-60 or NGB20-50 in the
Nordic Medico-Statistical Committee classification (http://nomesco-
eng.nom-nos.dk/ﬁler/publikationer/NCS%20201_15.pdf)).

In total, 142 488 primary hip and knee replacements were
identiﬁed from the two registers. Of these, 109 555 were
primary total hip or knee replacements performed owing to
osteoarthritis. Of these, we excluded operations entered in the
Hospital Discharge Register but lacking a corresponding record
in the Finnish Arthroplasty Register (n=3997; in order to
determine the aetiology underlying the need for joint replacement was
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eng.nom-nos.dk/ﬁler/publikationer/NCS%20201_15.pdf)).
model. If the assumption was not met, the model was run allowing for a step function for the time intervals around the median follow-up (5 years), as suggested by, for example, Ranstam et al. This is indicated in the table 2 by separate HRs for 0–5 years and >5 years of follow-up. The multivariate models were performed using a step function for those diseases that did not fulfil the assumption in either univariate or age- and sex-adjusted models.

Finally, the following sensitivity analyses were performed to test the robustness of the multivariate models: (1) inclusion of only cases with fully cemented prosthesis and use of intravenous antibiotic prophylaxis; (2) inclusion of only operations that were patients’ first joint replacements between 1980 and 2010 and (3) use of 3, 4 and 7 years (derived from the survival curves) instead of 5 years as cut-off points in the analyses where the step function was needed for the regression model.

Ethics
The institutional review board of the National Institute for Health and Welfare gave permission for this study. The PERFECT project has been previously approved by the ethics committee of the same institution (THL 1406/6.02.00/2009).

RESULTS
A total of 43 747 primary total hip replacements and 53 007 primary total knee replacements were included in the analyses. Patient demographics, operative data and prevalence of the analysed comorbid diseases are presented in table 1. In general, knee replacement recipients had more comorbidity than hip replacement recipients. During the observation period, the prevalence of cardiovascular diseases declined and the prevalences of diabetes and cancer increased (figure 1).

Prosthesis survival
During the follow-up averaging (median) 4.9 years (range 1–4382 days) after hip replacements and 4.4 years (range 1–4382 days) after knee replacements, 2131 hip and 1919 knee replacements were revised. Death of the patient was the end point of follow-up in 5018/43 747 (11.5%) and 6217/53 007 (11.7%) cases, respectively.

The overall survival rates for hip replacements were 98.8% (95% CI 98.7% to 98.9%) at 1 year, 96.8% (96.7% to 97.0%) at 3 years, 95.7% (95.5% to 95.9%) at 5 years and 91.9% (91.5% to 92.3%) at 10 years. The respective figures for knee replacements were 98.8% (98.7% to 98.9%), 97.1% (97.0% to 97.2%), 96.3% (96.1% to 96.5%) and 94.5% (94.1% to 94.8%).

Survival was poorer in patients with one or more of the diseases analysed both after hip (HR=1.16, 95% CI 1.08 to 1.23) and knee replacements (HR=1.23, 1.16 to 1.30), albeit the differences were slight (figure 2). The effects of separate comorbid diseases on survival of hip and knee replacements are presented in table 2 and the respective survival in online supplementary table S2.

Cardiovascular diseases slightly increased the risk of revision joint replacement (figure 3A). Of the specific conditions, only heart failure was significantly associated with survival of hip replacements, whereas in knee replacements, coronary heart disease, atrial fibrillation and heart failure all independently predicted poorer survival (table 2). Hypertension without concomitant cardiovascular disease increased the risk of early revision knee replacement but had no effect on longer follow-up and in the hip replacements (table 2, figure 3B).

Diabetes did not affect survival of hip replacements but was associated with impaired short-term survival after knee replacements (table 2, figure 3C).

A history of cancer was associated with impaired survival throughout follow-up after hip replacement but affected knee replacements only in long-term follow-up (table 2). In the hip replacements, too, the difference became more obvious as follow-up increased (figure 3D).

Although the survival curves indicate slightly lower survival rates in patients with pulmonary diseases than in those without (figure 4A), there was no difference in the multivariate analyses.

### Table 1: Patient demographics, prevalence of comorbid diseases and operative data related to primary hip and knee replacements for primary osteoarthritis in Finland from 1998 to 2008

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Patient demographics, prevalence of comorbid diseases and operative data related to primary hip and knee replacements for primary osteoarthritis in Finland from 1998 to 2008</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Hip replacements (n=43 747)</td>
</tr>
<tr>
<td></td>
<td>Knee replacements (n=53 007)</td>
</tr>
<tr>
<td>Patient demographics</td>
<td></td>
</tr>
<tr>
<td>Age group (years)</td>
<td></td>
</tr>
<tr>
<td>&lt;55</td>
<td>3293 (7.5)</td>
</tr>
<tr>
<td>55–64</td>
<td>10387 (23.7)</td>
</tr>
<tr>
<td>65–74</td>
<td>17922 (41.0)</td>
</tr>
<tr>
<td>≥75</td>
<td>12135 (27.7)</td>
</tr>
<tr>
<td>Gender</td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>18776 (42.9)</td>
</tr>
<tr>
<td>Female</td>
<td>24971 (57.1)</td>
</tr>
<tr>
<td>Prevalence of comorbid diseases</td>
<td></td>
</tr>
<tr>
<td>Cardiovascular diseases</td>
<td></td>
</tr>
<tr>
<td>(Coronary heart disease)</td>
<td></td>
</tr>
<tr>
<td>(Atrial fibrillation)</td>
<td></td>
</tr>
<tr>
<td>(Heart failure)</td>
<td></td>
</tr>
<tr>
<td>Hypertension (without cardiovascular disease)</td>
<td></td>
</tr>
<tr>
<td>Diabetes</td>
<td>2400 (5.5)</td>
</tr>
<tr>
<td>Cancer</td>
<td>2231 (5.1)</td>
</tr>
<tr>
<td>Pulmonary disease</td>
<td>2676 (6.1)</td>
</tr>
<tr>
<td>Depression</td>
<td>502 (1.1)</td>
</tr>
<tr>
<td>Psychotic disorders</td>
<td>722 (1.7)</td>
</tr>
<tr>
<td>Neurodegenerative diseases</td>
<td>610 (1.4)</td>
</tr>
<tr>
<td>Any of the above</td>
<td>18841 (43.3)</td>
</tr>
<tr>
<td>Operative data</td>
<td></td>
</tr>
<tr>
<td>Bilateral operation</td>
<td>852 (2.0)</td>
</tr>
<tr>
<td>Fixation method</td>
<td></td>
</tr>
<tr>
<td>Cemented</td>
<td>19040 (44.4)</td>
</tr>
<tr>
<td>Hybrid</td>
<td>6896 (15.8)</td>
</tr>
<tr>
<td>Cementless</td>
<td>17349 (39.7)</td>
</tr>
<tr>
<td>Antibiotic prophylaxis</td>
<td></td>
</tr>
<tr>
<td>None or unknown</td>
<td>93 (0.2)</td>
</tr>
<tr>
<td>Intravenous only</td>
<td>21928 (48.7)</td>
</tr>
<tr>
<td>Antibiotic-impregnated cement only</td>
<td>359 (0.8)</td>
</tr>
<tr>
<td>Combined</td>
<td>21730 (49.7)</td>
</tr>
<tr>
<td>Operating hospital</td>
<td></td>
</tr>
<tr>
<td>University hospital</td>
<td>8638 (19.9)</td>
</tr>
<tr>
<td>Central hospital</td>
<td>17312 (39.6)</td>
</tr>
<tr>
<td>District hospital</td>
<td>7726 (17.7)</td>
</tr>
<tr>
<td>Other (including private hospitals)</td>
<td>10071 (23.0)</td>
</tr>
</tbody>
</table>

Patients with depression or psychotic disorders had high revision rates (≥10% at 10 years; figure 4B–C). In the multivariate analyses, depression was associated with early hip prosthesis failure and had no effect in the knee group (table 2). Psychotic disorders instead increased the risk of revision approximately by 40% after both procedures. The effect of neurodegenerative diseases was slighter (figure 4D) and did not reach statistical significance (table 2).

**Sensitivity analyses**

When only hip replacements with fully cemented fixation and use of intravenous antibiotics were analysed, psychotic

**Table 2** Effect of different comorbid diseases on the risk of revision surgery (calculated using Cox regression analysis) after primary hip and knee replacement

<table>
<thead>
<tr>
<th>Comorbidity</th>
<th>Hip replacements</th>
<th>Knee replacements</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Division of follow-up (years)</td>
<td>Univariate Age- and sex-adjusted Multivariate*</td>
</tr>
<tr>
<td></td>
<td>HR (95% CI)</td>
<td>HR (95% CI)</td>
</tr>
<tr>
<td>Cardiovascular diseases</td>
<td>1.09 (0.97 to 1.22)</td>
<td>1.18 (1.05 to 1.32)</td>
</tr>
<tr>
<td>Coronary heart disease</td>
<td>1.07 (0.94 to 1.22)</td>
<td>1.15 (1.00 to 1.31)</td>
</tr>
<tr>
<td>Atrial fibrillation</td>
<td>1.16 (0.97 to 1.40)</td>
<td>1.22 (1.02 to 1.47)</td>
</tr>
<tr>
<td>Heart failure</td>
<td>1.19 (0.96 to 1.48)</td>
<td>1.35 (1.09 to 1.68)</td>
</tr>
<tr>
<td>Hypertension (without cardiovascular disease)</td>
<td>1.04 (0.93 to 1.16)</td>
<td>1.06 (0.95 to 1.18)</td>
</tr>
<tr>
<td>Diabetes</td>
<td>1.08 (0.88 to 1.34)</td>
<td>1.10 (0.89 to 1.35)</td>
</tr>
<tr>
<td>Copefety</td>
<td>0.61 (0.34 to 1.08)</td>
<td>0.63 (0.36 to 1.12)</td>
</tr>
<tr>
<td>Cancer</td>
<td>1.28 (1.06 to 1.55)</td>
<td>1.30 (1.08 to 1.57)</td>
</tr>
<tr>
<td>Pulmonary disease</td>
<td>1.14 (0.96 to 1.35)</td>
<td>1.14 (0.96 to 1.36)</td>
</tr>
<tr>
<td>Depression</td>
<td>1.86 (1.31 to 2.64)</td>
<td>1.83 (1.29 to 2.59)</td>
</tr>
<tr>
<td>Psychotic disorders</td>
<td>0.77 (0.29 to 2.06)</td>
<td>0.75 (0.28 to 2.00)</td>
</tr>
<tr>
<td>Neurodegenerative diseases</td>
<td>1.56 (1.19 to 2.05)</td>
<td>1.57 (1.19 to 2.06)</td>
</tr>
</tbody>
</table>

For comorbidities for which the proportional hazards assumption of the Cox analysis was not met (ie, the hazard for revision surgery varied over the follow-up), the analyses were performed with division of follow-up, using the median as the cut-off point. In other cases, the hazards ratios are calculated for the whole follow-up. In univariate and age- and sex-adjusted models each comorbidity was tested separately. The multivariate model includes all comorbidities and the results indicate their independent effects.

*The hazards ratios are from a multivariate model in which cardiovascular diseases were replaced by the separate diseases.
disorders and heart failure were no longer associated with survival (HR=0.86, 0.48 to 1.54 and 1.07, 0.77 to 1.47, respectively), whereas atrial fibrillation had a significant effect (HR=1.30, 1.00 to 1.68). Use of different cut-off points for follow-up did not affect the results. Heart failure, coronary heart disease and atrial fibrillation were not significantly associated

Figure 2 Kaplan–Meier survival curves for prosthesis survival after primary total hip (on the left) and knee replacement (on the right) in patients with and without one or more of the comorbid diseases analysed.

Figure 3 Kaplan–Meier survival curves for prosthesis survival after primary total hip (on the left) and primary total knee replacement (on the right) for osteoarthritis in patients with (A) cardiovascular disease, (B) hypertension (without cardiovascular disease), (C) diabetes or (D) cancer.
with survival of first-ever hip and knee replacements, although the direction and the magnitude of the association were similar to the original analysis.

**DISCUSSION**

Our study showed that comorbid diseases may impair the durability of hip and knee replacements in patients with primary osteoarthritis. The most profound effect was seen for psychotic disorders and depression (figures 3 and 4), although the latter was independently associated only with the risk of early revisions after hip replacements. In general, the revision rates were low and therefore, for example, the increased risks related to comorbidities and differences in survival rates were slight. For several diseases, the 10-year revision rates were approximately 1% higher than in patients without that disease, which corresponds to 10 extra revisions per 1000 operations.

The main strengths of study are the use of a very large and recent series of hip and knee replacements and an almost complete follow-up of all patients. By combining comorbidity data from three different registers, we were able to identify patients managed in outpatient clinics as well as more severe cases who had required admission to hospital and, importantly, we could overcome the usual problem related to poor registration of comorbidities in administrative health registers.

Inevitably, use of register-based data ignores potentially relevant clinical details, which raises a few concerns. First, the effects of obesity and physical activity (that might affect prosthesis wear and loosening) could not be taken into account. Second, we had no data available about the severity of osteoarthritis or that of the comorbid diseases. Patients with poor health are less likely—and also less willing—to undergo joint replacement.36 37 It is possible that these patients undergo joint replacement at a later stage of osteoarthritis. However, although...
poorer preoperative state predicts worse clinical outcome,\textsuperscript{44} it has not been shown to affect prosthetic survival. On the other hand, high physical activity may predispose the healthiest patients to prosthesis-related failure\textsuperscript{13} and so level the differences between patients with and without comorbidity. Third, in view of the lack of data about clinical outcome and reasons for revision in different disease groups, the mechanisms underlying our findings remain unclear. Finally, not all data may be 100% correct owing to possible coding errors and, understandably, only diagnosed diseases could be detected from health registers. Nevertheless, it seems unlikely that these factors would have led to systemic bias or to false-positive results. Furthermore, given the low revision rates, it is difficult to collect sufficient clinical material for analyses like ours.

Supporting earlier results,\textsuperscript{23} diabetes was not associated with compromised overall survival of hip replacements in our study. Nevertheless, diabetes increases the risk of revisions due to deep infection,\textsuperscript{20,23,39} which probably explains the high early revision rate of knee replacements in patients with diabetes. The Danish observation about the potentially protective effect of statins\textsuperscript{45} is in contradiction to the tendency for higher failure rates with coronary heart disease in our study. Earlier observations about higher risk of deep infection and periprosthetic fracture in association with heart failure\textsuperscript{26} and use of loop diuretics\textsuperscript{24} again are in line with our results.

Obesity may act as a confounding factor in the analyses concerning cardiovascular diseases and diabetes as it has been associated with greater risk of aseptic loosening.\textsuperscript{40} In other studies, however, survival rates and occurrence of radiolucent lines around prostheses have been similar in obese and non-obese subjects.\textsuperscript{11–43} Hence, it is unlikely that obesity alone could have explained our results. The mechanisms explaining how cardiovascular diseases might affect prosthesis survival and the combined effects of cardiovascular diseases and diabetes warrant further research using clinical rather than register-based data.

For other comorbidities, few earlier publications are available. In a recent study,\textsuperscript{20} heart failure, chronic pulmonary disease, depression, psychoses and metastatic tumours appeared as independent predictors of infection after knee replacement, but prosthesis survival was not analysed. Earlier studies on patients with cancer have been about treatment of bone tumours, whereas in our study, cancer was considered as a comorbid disease, not as an indication for surgery. Impaired long-term survival without many early failures suggests that the difference between patients with and without cancer is due to factors such as prosthesis loosening due to poorer bone quality, but this hypothesis could not be confirmed. Depression predicts prolonged pain and poorer clinical joint scores,\textsuperscript{46–48} which might explain the relatively high revision rate (figure 4B). On the other hand, the multivariate analyses suggest that the effect of depression largely relates to other comorbidities. We found no studies on the effects of psychiatric disorders or neurodegenerative disorders on durability of hip and knee replacements. Against clinical perception, Parkinson’s disease and history of stroke did not predispose to hip dislocation in a Scottish study.\textsuperscript{49}

In conclusion, our results should be considered as preliminary evidence indicating that comorbid diseases affect survival of hip and knee replacements. In some disease groups, the effect on survival rates was clinically highly significant, which may impair the cost-effectiveness of joint replacements in affected individuals. The mechanisms of failure and factors predicting the outcomes within the disease groups (like duration of disease and its treatment) warrant further research in order to improve the surgical outcomes in these patients.

**Contributors** The data used in this study are based on the PERFECT database, maintained and updated by the Centre for Health and Social Economics, Finnish National Institute for Health and Welfare, Helsinki, Finland, which is a governmental agency. MUKL initiated this collaborative project. EJ was responsible for the literature search, drafting of the manuscript and its revisions. MP created the dataset for the study and carried out all statistical analyses. AE and MUKL contributed to the interpretation of the results. All authors contributed to designing the study, interpreting the results and to the important intellectual content of the manuscript. EJ is a guarantor.

**Competing interests** All the authors have completed the unified competing interest form at www.icmje.org/coi_disclosure.pdf (available on request from the corresponding author) and declare no support from any organisation for the submitted work; AE received payment for lectures from DePuy Companies, Stryker Corporation and Biomet, Inc and his institution has received money for travel/accommodation/meeting expenses from DePuy Companies and Biomet, Inc; the other authors have had no financial relationships in the past 3 years with any organisations that might have an interest in the submitted work. There are no other relationships or activities that might appear to have influenced the submitted work.

**Ethics approval** The ethics board of the Finnish National Institute for Health and Welfare (THL 1406/6.02.00/2009).

**Provenance and peer review** Not commissioned; externally peer reviewed.

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Clinical and epidemiological research


