Physical disability in rheumatoid arthritis is associated with cartilage damage rather than bone destruction

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ABSTRACT

Background Joint destruction in rheumatoid arthritis is comprised of cartilage and bone damage, which can be evaluated radiographically separately by the joint space narrowing (JSN) and erosion (ERO) scores. It is currently unclear to which extent these components affect irreversible functional disability. The aim of the present work was to determine these contributions.

Methods Data, kindly provided by the sponsors, was evaluated from several randomised controlled clinical trials on adalimumab, etanercept, infliximab and leflunomide. Patients who reached stringent remission according to the Simplified Disease Activity Index (SDAI<3.3) were extracted to eliminate the activity related (ie, reversible) component of disability. In these patients, residual Health Assessment Questionnaire Disability Index (HAQ-DI) score at the time of remission (to reflect the level of ‘irreversible’ disability) was determined and related to baseline measures of ERO and JSN scores univariately, by stratification and in adjusted regression models.

Results A total of 748 patients who achieved a state of remission were analysed (16.3% of the total pooled population of 4602 patients). In the univariate analyses, mean residual HAQ-DI values in remission were significantly larger in higher tertiles of JSN and ERO (ERO: 0.21, 0.25, 0.35; JSN: 0.19, 0.24, 0.39; p<0.001 for both). In stratified analyses, mean residual HAQ-DI scores were larger in higher tertiles of JSN within the first tertile of ERO (0.18, 0.25, 0.29; p=0.05), as well as the second (0.21, 0.24, 0.29; p=0.19) and the third (0.12, 0.23, 0.42; p<0.001). In contrast, there was no such trend across ERO tertiles within the first JSN tertile (0.18, 0.21, 0.12; p=0.99) and the second tertile (0.25, 0.24, 0.23; p=0.77), and only marginally within the third tertile of JSN (0.29, 0.29, 0.42; p=0.07). Adjusted multivariate regression models supported the significant association of JSN on residual disability.

Conclusions Cartilage damage appears to be the more clearly associated with irreversible physical disability than bony damage. These data suggest that particular attention should be given to therapeutic interference with cartilage destruction.

INTRODUCTION

Synovitis, joint damage and impairment of physical function are the hallmarks of rheumatoid arthritis (RA). While pathogenetically the influx of immune and inflammatory cells signifies synovitis, its invasion into the adjacent bone and the consumption of cartilage constitute the destructive elements of the disease. Physical disability is then the consequence of the ongoing inflammatory activity (pain, stiffness, swelling, usually assessed by composite disease activity measures) and the destructive events (usually assessed by radiographic scoring). Indeed, deficiency of physical functioning as evaluated by the Health Assessment Questionnaire Disability Index (HAQ-DI) is associated with the extent of disease activity and joint damage.1–3 Importantly, though, physical disability is only partly reversible, namely as far as it is mediated by disease activity; its irreversible components are primarily related to joint damage,4 although other factors such as comorbidity may also contribute.5

Indeed, with increasing joint damage, the extent of physical impairment in the absence of active disease, that is, in remission, also increases.4 Likewise, higher short-term progression of joint damage is associated with more disability.6 Moreover, the relationship between irreversible disability and joint damage can be estimated and is almost linear over a certain range of the radiographic score.7

The two major components of joint damage characteristic of RA, cartilage degradation and bony destruction, are elicited by distinct mechanisms. While the latter is mediated primarily by synovial osteoclasts and direct invasion of the pannus into the adjacent bone,8,9 cartilage damage is mostly due to the action of metalloproteinases, secreted into the synovial fluid by synovial fibroblasts and macrophages, or produced locally by activated chondrocytes, events that are governed by proinflammatory cytokines.10,11 Cartilage degradation is reflected radiologically by joint space narrowing (JSN) and bony changes by erosions (EROs),12 both of which are assessed separately when employing the total Sharp score (TSS) and its modifications.13 It has recently been shown that these two events follow different pathomechanisms and can progress independently from each other.14 However, in the diagnosis of RA, as well as in the assessment of its radiological progression over time, the focus of attention is often on the erosive process, rather than JSN.15–17

Treatment of RA aims at interference with disease activity and joint damage in order to maximise the effects on long-term physical function. However, when addressing the consequences of joint damage on physical disability it is not known at present to which extent the two components, bony and cartilage damage, contribute individually to the irreversible disability shown to be related to joint destruction. The aim of the present study was, therefore, to address the individual contributions of EROs and narrowing to functional loss in patients with RA.
MATERIALS AND METHODS
We obtained and pooled individual patient data from several trials: the Antivascular Targeted Therapy: Researching ASA404 in Cancer Treatment (ATTRACT) trial\(^\text{18}\) of infliximab and methotrexate (MTX) versus placebo and MTX in patients with inadequate prior response to MTX; the AutoPulse Assisted Prehospital International Resuscitation (ASPIRE) trial\(^\text{19}\) of infliximab plus MTX versus MTX alone in patients who were MTX naïve with early RA of 3 years or less; the DE019\(^\text{20}\) trial of patients with active disease despite prior MTX therapy receiving additional adalimumab versus placebo; the PREMIER trial of adalimumab plus MTX in comparison with either monotherapy in patients with early arthritis of 3 years or less\(^\text{21}\); the Trial of Etanercept and Methotrexate with Radiographic Patient Outcomes (TEMPO) study of etanercept plus MTX versus either alone in patients with established RA\(^\text{22}\); and the European leflunomide trials, which compared leflunomide with sulfasalazine and with placebo in patients with early and established RA\(^\text{23, 24}\) or compared leflunomide with MTX in patients with 10 years of RA or less\(^\text{25}\). We were provided data on an 80% to 90% random sample of subjects from these trials. All patients had active RA at enrolment into these studies, with requirements for more than 6–10 swollen joints and more than 6–12 tender joints (using a 68-joint count), or 6 or more swollen and 6 or more tender joints (using a 28-joint count) in the leflunomide trials. With the exception of the ASPIRE trial, elevations in acute phase reactants were required in all trials (C reactive protein ≥1.5 or 2.0 mg/dl and/or erythrocyte sedimentation rate ≥28 mm/h). Data on scored radiographs using the TSS\(^\text{26}\) (DE019, ERA, PREMIER and Leflunomide trials) or van der Heijde modified TSS\(^\text{27}\) (ASPIRE, ATTRACT and TEMPO trials) were available for all trials and included the details on JSN and ERO scores. The TSS and its modification generally produce very similar results, but can be different in patients with extensive damage of joints in the feet. To diminish these differences, we defined the subgroups for analysis based on the distribution of the respective scores within each trial using tertiles. Only patients in whom all data needed for the present analysis, that is, Simplified Disease Activity Index (SDAI), HAQ-DI and TSS with ERO and JSN scores, were available were assessed.

Study variables
In each trial, the HAQ-DI was used as a measure of limitations of physical function.\(^\text{15}\) It includes 20 questions that are organised in 8 categories (dressing, rising, eating, walking, hygiene, reach, grip and errands or chores). Each question is scored using a four-level scale ranging from 0 to 3, representing no difficulty (0’), some difficulty (1’), much difficulty (2’) and unable to do (3’). The HAQ-DI score is the mean of the highest score in each of the eight categories, with a possible scoring range of 0–3. To determine the irreversible HAQ-DI, we obtaining the HAQ-DI score at the time of clinical remission (see below).

We assessed the JSN and ERO scores as variables reflecting cartilage and bone damage, respectively. Since our investigation related to the association of joint damage with irreversible physical disability and this did not depend on potentially different efficacy of the types of therapy, we pooled data from all treatment arms to maximise the statistical power.

Definition of irreversible functional disability
To separate the reversible, RA activity related component of disability from the irreversible component, we identified patients who achieved clinical remission during the trials, that is, a state of absence of significant RA activity and thus absence of a reversible disability component.

We defined remission as a state below a threshold value of a composite measure of RA activity, the SDAI\(^\text{28}\): SDAI=SJC28+TJC28+PGA+EGA+CRP, where SJC28 and TJC28 denote swollen or tender joints using the 28-joint count, EGA is evaluator global assessment of disease activity and PGA is patient global assessment of disease activity (both using a 10 cm visual analogue scale), and CRP is C reactive protein in mg/dl. This index has been repeatedly validated\(^\text{29–31}\) and provides a stringent remission cutpoint, SDAIs≤3.3.\(^\text{32–35}\) Indeed, the majority of patients below this cutpoint do not show progression of radiographic damage over time.\(^\text{36}\)

We identified patients achieving clinical remission by SDAI at the 3-month, 6-month, 9-month or 12-month follow-up visits in the trials. Each patient’s first visit in remission was used for analysis. The HAQ-DI score at this visit was considered to be the best estimate of a patient’s irreversible functional disability.

Analysis
We tested the association of JSN and EROs (using the respective tertiles) with the amount of irreversible functional disability (as continuous variable) using simple univariate analysis, stratified analysis and adjusted multivariate models. In the univariate analysis, we calculated means and 95% CIs of irreversible HAQ-DI and used one-way analysis of variance testing for a linear trend component, as the statistical test.

In the stratified approach, we performed separate analyses of the effects of JSN (tertiles) within the respective strata of EROs (tertiles), and vice versa.

Finally, we used a multivariate regression model (generalised linear model (GLM)) to investigate the independent statistical association of EROs and JSN (included as continuous variables), adjusted for additional potential confounders, namely SDAI, age and duration of RA with irreversible function. Nevertheless, given the large number of patients involved and the central limit theorem, the use of parametric methods would still be legitimate, especially in a supportive analysis such as this one (with the main analysis being the stratified analysis). We therefore used the GLM to estimated marginal means (EMMs) for independent effects of the two variables, which can be compared in a meaningful way given the absence of transformation of the dependent variables. Disease activity adjustment was done, although the definition of remission employed here (SDAIs≤3.3) was very stringent and allows only little variation in disease activity. However, theoretically there could still be differences in residual disease activity (eg, SDAI 3.2 in one individual and SDAI 0.5 in another), and consequently, differences in the amount of disability related to disease activity (which would still be reversible).

From this model, we calculated the independent effects of ERO or JSN and their significances. We then calculated the EMMs (adjusted means with fixed covariate levels) of irreversible HAQ-DI scores for each tertile of JSN setting the continuous variables age, duration of RA and ERO score to their respective (rounded) cohort means, and SDAI to a value of 1.0, corresponding to solid remission (providing best estimation of irreversible damage). We then calculated the EMMs for tertiles of ERO in the same way, setting age, duration and JSN score to the means, and SDAI to 1.

In an additional sensitivity analysis, we calculated the irreversible HAQ-DI scores not as means of their absolute values, but...
rather as the proportion of the respective baseline values (percentage irreversibility of functional disability) in clinical remission, and used this outcome instead of the mean irreversible HAQ-DI. This measure takes the more clinical perspective of estimating the best possible proportional improvement of function in a patient before treatment is initiated. For each patient, we calculated the percentage reversibility of HAQ-DI scores as (change in HAQ-DI/baseline HAQ-DI) × 100%, and complementary of the reversibility as (100% − percentage reversibility). Since baseline HAQ-DI scores of 0 cannot improve, we excluded such patients from this analysis. We then investigated if percentage reversibility of HAQ-DI was associated with JSN, ERO, or both, using the Kruskal–Wallis test across the respective tertiles. In another sensitivity analysis we loosened the definition of remission and changed it to low disease activity (LDA) (ie, allowing more reversible disease activity to ‘contaminate’ the state of irreversible functional disability).

Analyses were performed using SAS software, V.9.2 (SAS Institute, Cary, North Carolina, USA); p values <0.05 were regarded as significant.

**RESULTS**

**Patient demographics**

Data for 4602 patients (pooled total population) were available for the current analysis. Among them, 748 patients attained remission as defined above and their data were used for the current analyses. Baseline demographics of these patients are shown in table 1 (‘total’ column). At the time of remission, they had a mean (±SD) SDAI of 2.1±0.8 and a mean HAQ-DI of 0.27±0.4.

To address the contribution of cartilage damage and bone destruction to the irreversible impairment of physical function, we formed tertiles of JSN and ERO scores, respectively. The baseline clinical disease characteristics of patients did not differ significantly across the tertiles of JSN or ERO, with the exception of small differences regarding SJC (table 1). However, mean age and mean disease duration were profoundly higher with increasing JSN or ERO score groups, but were not different when the first, second and third tertiles of JSN were compared with the respective tertiles by ERO (table 1). To address the potential influence of disease duration and age on the irreversible HAQ-DI, some of the subsequent analyses were adjusted for these variables (see below).

**Univariate analysis of the effects of JSN and ERO on irreversible physical disability**

The residual HAQ-DI in remission increased across tertiles of ERO, amounting to a mean±SD of 0.21±0.34, 0.24±0.35 and 0.35±0.47, respectively, for the first, second and third tertile (p<0.0001; figure 1A: crude analysis). Likewise and even to a numerically greater extent, with increasing tertiles by JSN, residual (irreversible) HAQ-DI scores increased, amounting to 0.18±0.35, 0.24±0.35 and 0.38±0.47 (p<0.0001; figure 1B: crude analysis). Since JSN and ERO frequently occur in combination, the independent effects of either one are difficult to assess by univariate analysis.

**Main analysis: stratified analysis of the independent effects of JSN and ERO on irreversible physical disability**

To address the independent contribution of ERO, we looked at the effects of higher ERO tertile within each of the tertile strata by JSN scores. As can be seen in figure 2A, in the first and second tertiles formed according to JSN, higher degrees of erosive changes did not contribute at all to irreversible disability (p=0.99 and 0.77, respectively). Not unexpectedly, the group of patients in the third tertile of JSN and ERO had the worst functional disability (figure 2A; p value across the ERO tertiles = 0.07). This group also includes the patients with truly severe joint damage, its contribution to the trends should therefore be interpreted with caution.

By contrast, JSN did appear to convey irreversible impairment of physical function, when analysed within each tertile by ERO (figure 2B). These trends manifested in all three strata by ERO (p<0.05; p=0.19 and p<0.001, respectively).

**Supportive analysis: adjusted analysis of the independent effects of JSN and ERO on irreversible physical disability**

As indicated above, there were significant differences regarding baseline patient age and disease duration between the JSN, or ERO, tertiles. Also, patients in SDAI remission might still have varying underlying SDAI scores (0–3.3), and even in the ter
tile analysis above patients might still have differences in ERO scores within a particular ERO tertile (eg, ERO scores different in first ERO tertile of JSN-T1, JSN-T2 and JSN-T3).

Therefore, based on GLMs, we used EMMs adjusting for these variables (see Materials and methods section). Figure 1A,B (adjusted analysis) depict the EMMs of HAQ-DI scores for the

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**Table 1** Baseline characteristics for the total patient population and for subgroups formed according to tertiles by ERO scores and by JSN scores

<table>
<thead>
<tr>
<th>Variable</th>
<th>Total (n=748)</th>
<th>Tertile of ERO score</th>
<th>Tertile of JSN score</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>T1 (n=247)</td>
<td>T2 (n=251)</td>
<td>T3 (n=250)</td>
</tr>
<tr>
<td>Age, years</td>
<td>49.9±13.3</td>
<td>46.7±13.4</td>
<td>50.4±12.3</td>
</tr>
<tr>
<td>Duration, years</td>
<td>3.1±5.4</td>
<td>1.7±2.9</td>
<td>2.2±3.6</td>
</tr>
<tr>
<td>ERO score, mean±SD</td>
<td>11.9±18.7</td>
<td>0.6±0.7</td>
<td>5.6±2.5</td>
</tr>
<tr>
<td>ERO score, range</td>
<td>0–169.5</td>
<td>0–2.3</td>
<td>2.5–11.0</td>
</tr>
<tr>
<td>Narrowing score, mean±SD</td>
<td>8.0±15.0</td>
<td>1.8±4.3</td>
<td>3.9±6.4</td>
</tr>
<tr>
<td>Narrowing score, range</td>
<td>0–120.5</td>
<td>0–36.5</td>
<td>0–61.5</td>
</tr>
<tr>
<td>HAQ-DI</td>
<td>1.3±0.6</td>
<td>1.2±0.6</td>
<td>1.4±0.6</td>
</tr>
<tr>
<td>SDAI</td>
<td>37.2±13.7</td>
<td>35.5±14.2</td>
<td>39.4±13.8</td>
</tr>
<tr>
<td>SJC28</td>
<td>10.7±5.5</td>
<td>9.9±5.5</td>
<td>11.4±5.6</td>
</tr>
<tr>
<td>TJC28</td>
<td>12.8±6.3</td>
<td>12.5±6.3</td>
<td>13.5±6.2</td>
</tr>
<tr>
<td>PGA (cm)</td>
<td>5.4±2.4</td>
<td>5.4±2.4</td>
<td>5.6±2.5</td>
</tr>
<tr>
<td>EGA, cm</td>
<td>5.7±1.9</td>
<td>5.4±2.2</td>
<td>6.1±1.8</td>
</tr>
<tr>
<td>CRP, mg/dl</td>
<td>2.6±3.1</td>
<td>2.3±3.2</td>
<td>2.8±3.5</td>
</tr>
</tbody>
</table>

Bold font denotes significant differences for the indicated variables among tertiles formed by JSN or ERO; these differences were all p<0.0001 except for SJC among ERO tertiles (for which it was 0.025)

CRR C reactive protein; EGA, evaluator global assessment of disease activity; ERO, erosion; HAQ-DI, Health Assessment Questionnaire Disability Index score; JSN, joint space narrowing; PGA, patient global assessment of disease activity; SDAI, Simplified Disease Activity Index; SJC, swollen joint count; TJC, tender joint count.
three tertiles of ERO and JSN, respectively, at fixed levels of the covariates. It can be seen that the clear associations between level of erosiveness and poorer physical function disappeared after adjustment for the covariates in the model (p=0.63), while the associations for JSN were toned down, but remained highly significant (p<0.001). Age and duration both contributed independently and significantly in this model (p<0.001 and p=0.002, respectively), while, not unexpectedly, SDAI values in these patients in remission (SDAI<3.3) were not significant (p=0.87). Finally, we performed an analysis using tertiles of ERO and JSN, as well as their interaction term in the same model. We found no significant interaction (p=0.26). Analysing ERO score and JSN separately in a multivariate analysis with adjustment for age, disease duration and gender, revealed a significant independent contribution of JSN (p<0.001) but not of ERO score (p=0.95) in explaining variation in irreversible physical disability.

Sensitivity analysis
In addition to the above analysis of absolute (mean) irreversible functional disability, we also analysed irreversible disability expressed as proportion of baseline HAQ-DI. The effect of JSN was again apparent in this analysis (figure 3B). In contrast, higher ERO scores again clearly did not increase this proportion independently of JSN scores (figure 3A).

We finally evaluated the contributions of ERO and JSN among patients in LDA (SDAI >3.3 to 11) rather than remission. Although in these patients a considerably higher amount of joint damage leads to increasing irreversible disability. 4 This irreversible disability is highly relevant, as it is no longer amenable to treatment, even with the most intensive therapies available.30

DISCUSSION
Joint damage is a pivotal characteristic of RA and consists of cartilage degradation and bone destruction, which can be visualised radiologically as JSN and EROs. However, EROs are regarded prototypic for RA, as also indicated by the radiographic criteria of the 1987 RA classification criteria, while JSN is seen as less characteristic, since it can occur in a variety of other disorders, including reactive arthritis or degenerative joint disease.15–17 37 Nevertheless, despite the specificity of erosive bone damage for the entity RA, in the present study we have been able to show that for the clinical impact of joint destruction cartilage loss seems to be of much higher relevance than damage to the bone.

We used physical disability as the measure of ‘clinical impact’, and HAQ-DI scores as the instrument to quantify it. However, limitations of physical functioning are complex by their nature, and are comprised of reversible as well as irreversible components. Indeed, pain and stiffness as reflection of disease activity can impair functional capacity, but this impairment is reversible with remission of disease activity. In contrast, increasing joint damage leads to increasing irreversible disability. This irreversible disability is highly relevant, as it is no longer amenable to treatment, even with the most intensive therapies available.30

It has been shown in the past that joint damage (as assessed by radiographic evaluation) increases functional disability, and this was also confirmed in the present study. We have recently devised a method to roughly estimate the functional impact of increases in radiographic damage, but until now the
function. Moreover, the fact that a compound targeting osteoclast activation, denosumab, was effective in reducing progression of erosive joint damage but not JSN confirms the validity of the assumption that JSN reflects cartilage damage and that the mechanisms leading to this type of damage differ from those operative in the process of EROs.

Another limitation of our study may be that we possibly did not assess patients with maximal reduction of disease activity related impairment of physical function, since we identified remission at its first occurrence and did not assess patients with persistent remission nor used additional imaging techniques to identify subclinical synovitis. However, given that the sensitivity analysis in patients with LDA resulted in similar observations, a potential small residual disease activity in clinical remission is unlikely to have played a major role. Also, by using this approach we were able to increase the sample size. A strength of the study is the use of a large sample size from several randomised controlled clinical trials with complete data, which was key to the performance of this study in a very stringently defined subgroup of patients in SDAI remission. However, patient populations in trials are selected for various characteristics. Therefore, it would be good to have a validation of our findings also in observational cohorts, where for example patients with relevant comorbidities are also represented.

![Figure 2](http://ard.bmj.com/)

**Figure 2** Stratified analysis on the effects of erosions (ERO) and joint space narrowing (JSN) on irreversible Health Assessment Questionnaire Disability Index (HAQ-DI) scores (mean + upper limit of 95% CI for HAQ-DI score in Simplified Disease Activity Index (SDAI) remission). (A) Effects of ERO: bars arranged according to clusters by tertiles of JSN (T₁, T₂, T₃) to assess the effects of ERO (p=0.99, 0.77 and 0.07, respectively, for T₁, T₂, T₃ using analysis of variance testing for linear trend component). (B) Effects of JSN: bars arranged according to clusters by tertiles of ERO to assess the effects of JSN (the p values for the effects of JSN were 0.05, 0.19, <0.001, respectively, within each of the ERO tertiles).

![Figure 3](http://ard.bmj.com/)

**Figure 3** Stratified analysis using percentage irreversible functional disability as the outcome (expressed as a fraction: disability observed in remission/disability at baseline × 100). (A) Effects of erosions (ERO): bars arranged according to clusters by tertiles of joint space narrowing (JSN; T₁, T₂, T₃) to assess the effects of ERO (T₁, T₂, T₃); the p values for the effects of ERO were 0.09, 0.43 and 0.17, respectively within the strata of JSN tertiles (analysis of variance testing for linear trend component across ERO tertiles). (B) Effects of JSN: bars arranged according to clusters by tertiles of ERO to assess the effects of JSN (p=0.04, 0.13, <0.001, respectively).
It would not have been possible to obtain the results presented if a global joint damage score, such as the Larsen score, had been employed. Thus, our findings also imply that it may be necessary to evaluate these two components separately in routine clinical follow-up of patients with RA in order to fully appreciate the consequences of their joint damage.

It is important to emphasize that the present study is a cross-sectional association study on the effects of EROs and JSN on physical function in RA. Although this is the first study to test this association, we cannot conclude that an increase over time in EROs or JSN in fact leads to impairment of function. For this purpose study designs different from the present one with longitudinal data analysis need to be performed. Nevertheless, the data presented here provide sufficient evidence for developing, and further testing of, the hypothesis that cartilage damage rather than bone destruction may constitute the pivotal cause of physical functional impairment in RA. Further proof of this hypothesis may suggest that therapeutic interventions in RA ought to interfere with the totality of joint damage and particularly with cartilage degradation as reflected by JSN. At the same time, JSN might be considered the better surrogate of overall joint damage than erosiveness. Fortunately, synthetic and biological disease-modifying antirheumatic drugs licensed today for the treatment of RA affect joint damage in its totality and do not spare one of the two components. Consequently, however, since even relatively little cartilage degradation can lead to significant impairments of physical function, early therapeutic intervention to prevent occurrence of such damage is of particular importance.

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Competing interests None.

Provenance and peer review Not commissioned; externally peer reviewed.

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Correction

Aletaha D, Funovits J, Smolen JS. Physical disability in rheumatoid arthritis is associated with cartilage damage rather than bone destruction. Ann Rheum Dis 2011;70:733–39. doi:10.1136/ard.2010.138693. The name of the trials, ATTRACT and ASPIRE were published incorrectly. The correct names should be: ATTRACT: Anti-TNF Trial in Rheumatoid Arthritis with Concomitant Therapy. ASPIRE: Active-controlled Study of Patients receiving Infliximab for the treatment of Rheumatoid arthritis of Early onset. We apologise for this error and for any inconvenience this may have caused.