

## EXTENDED REPORT

# Does hydrotherapy improve strength and physical function in patients with osteoarthritis—a randomised controlled trial comparing a gym based and a hydrotherapy based strengthening programme

A Foley, J Halbert, T Hewitt, M Crotty

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**Objective:** To compare the effects of a hydrotherapy resistance exercise programme with a gym based resistance exercise programme on strength and function in the treatment of osteoarthritis (OA).

**Design:** Single blind, three arm, randomised controlled trial.

**Subjects:** 105 community living participants aged 50 years and over with clinical OA of the hip or knee.

**Methods:** Participants were randomised into one of three groups: hydrotherapy (n = 35), gym (n = 35), or control (n = 35). The two exercising groups had three exercise sessions a week for six weeks. At six weeks an independent physiotherapist unaware of the treatment allocation performed all outcome assessments (muscle strength dynamometry, six minute walk test, WOMAC OA Index, total drugs, SF-12 quality of life, Adelaide Activities Profile, and the Arthritis Self-Efficacy Scale).

**Results:** In the gym group both left and right quadriceps significantly increased in strength compared with the control group, and right quadriceps strength was also significantly better than in the hydrotherapy group. The hydrotherapy group increased left quadriceps strength only at follow up, and this was significantly different from the control group. The hydrotherapy group was significantly different from the control group for distance walked and the physical component of the SF-12. The gym group was significantly different from the control group for walk speed and self efficacy satisfaction. Compliance rates were similar for both exercise groups, with 84% of hydrotherapy and 75% of gym sessions attended. There were no differences in drug use between groups over the study period.

**Conclusion:** Functional gains were achieved with both exercise programmes compared with the control group.

See end of article for authors' affiliations

Correspondence to:  
Professor M Crotty,  
Flinders University  
Department of  
Rehabilitation and Aged  
Care, C-Block,  
Repatriation General  
Hospital, Daws Road, Daw  
Park, South Australia  
5041; maria.crotty@  
rgh.sa.gov.au

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Osteoarthritis (OA) is the most common form of arthritis, affecting about 5–10% of the total Australian population.<sup>1</sup> The development of OA is determined by age, genetic predisposition, previous injury, chronic stress across the joint, and abnormal mechanical forces caused primarily by obesity.<sup>1,2</sup> Isolated quadriceps weakness has been associated with radiographic knee OA in older adults without a history of knee pain, and one descriptive study has demonstrated that decreased lower extremity strength was clearly associated with increased disability in people with OA.<sup>3</sup> This suggests that muscle atrophy is either a consequence of, or a risk factor for, OA and is not simply due to patients avoiding loading painful osteoarthritic knee joints.<sup>4</sup>

Land based exercise regimens and strengthening exercise programmes have reported positive improvements in functional performance and reduction of the pain of OA.<sup>5–9</sup> A systematic review by Van Baar and colleagues evaluating the effectiveness of exercise therapy in patients with OA of the hip or knee<sup>10</sup> concluded that exercise therapy is effective for this patient group as a range of beneficial effects were seen, including improved pain levels, physical function, and self reported disability. However, of the 11 randomised controlled trials identified, a wide variety of interventions were investigated, and overall, the quality of the studies was poor.

Hydrotherapy is often recommended to patients with arthritis as water provides a range of benefits, including the reduction of oedema, pain relief, and reduced loading on damaged arthritic joints.<sup>11</sup> A systematic review of randomised controlled trials investigating hydrotherapy for the treatment

of rheumatoid arthritis and OA<sup>12</sup> found that all the studies had methodological flaws, with only three of the 10 studies reviewed scoring more than half of the maximum score available for methodological quality. Positive findings were detected in most of the trials, but the scientific evidence was considered weak and a conclusion about the efficacy of hydrotherapy for patients with arthritis could not be reached. Only three of the studies reviewed had studied patients with OA.

Methodological flaws of the studies appraised in the systematic reviews<sup>10,12</sup> included lack of blinding of outcome assessors, and no intention to treat analysis.

Owing to the clinical importance of muscle strength in the development and progression of OA, and the inconclusive evidence about the effectiveness of hydrotherapy for these patients, the current trial has directly compared standardised strengthening programmes in a hydrotherapy pool (water based) and gym (land based).

The direct comparison of these two forms of exercise is important in order to determine the efficacy of hydrotherapy, and further, to determine which method of exercise is more effective in strengthening the quadriceps muscles and improving functional performance in this patient group.

## METHODS

The study was a randomised controlled trial, with blinded outcome assessment of 105 community living adults aged 50 and over with radiological diagnosis of OA of the hip or knee, or both. Eligible participants had to be able to independently read, write, and speak English, give informed consent,

provide their own transport, and attend the Repatriation General Hospital three times a week for six consecutive weeks.

Participants were excluded if they had received physiotherapy or hydrotherapy in the past six weeks, were attending community exercise classes, had joint replacement surgery within the past 12 months or it was scheduled within the next 12 weeks, or if there was an indication of cognitive impairment. Participants were recruited from the physiotherapy, orthopaedic, and rheumatology departments of the Repatriation General Hospital (RGH), the orthopaedic department of the Flinders Medical Centre, and from the community through an advertisement.

Potential participants were sent information about the study, screened for eligibility, and invited to participate. A senior physiotherapist assessed the patients' capability of starting exercise in the gym or pool safely, and obtained written informed consent before baseline assessment. A computer generated randomisation list was created by a person external to the study and was managed by an external department. After baseline assessment, a person from the pharmacy department assigned participants to treatment group according to sequentially numbered, sealed, opaque envelopes, and the investigator was advised of group allocation by telephone. The intervention was started within five days of randomisation.

The exercise interventions consisted of either three water based, or three gym based exercise sessions a week for six weeks. Each 30 minute exercise session included a short warm up period, lower limb stretches, and a standardised set of resistance exercises with individually tailored progression of intensity. The control group received fortnightly telephone calls to record any changes in their condition, drug use, or injuries and were offered free exercise treatment at the RGH at the end of the study period.

All outcome measures were collected at baseline by a single trained investigator before randomisation, and at six weeks by a single "blinded" outcome assessor at the RGH. Clinical outcome measures included quadriceps strength and a six minute walk test.<sup>13</sup> A series of self reported outcome questionnaires were also completed at baseline and at six weeks. The series of questionnaires included the Western Ontario and McMaster Universities (WOMAC) OA Index,<sup>14</sup> consisting of three subscales including pain, stiffness, and physical function, with higher scores indicating greater disease severity. The Adelaide Activities Profile<sup>15</sup> is categorised into four subscales, including domestic chores, household maintenance, social activities, and service to others, with higher scores indicating a higher level of daily activity. The Short Form-12 (SF-12) Health Survey<sup>16</sup> measures quality of life and is divided into a physical and mental component, with higher scores indicating better physical and mental health. And the Arthritis Self-Efficacy Questionnaire<sup>17</sup> consists of four subscales: pain, function, symptoms, and satisfaction, and higher scores here also indicate better health.

Data on demographics, medical history, and drugs were collected directly from the participants at baseline and used to describe the sample. Any injuries sustained during the study were recorded at six weeks and any changes in drug use were categorised as either; "change not related to OA", "increase in OA drug", or "decrease in OA drug".

The study was granted ethical approval by the RGH research and ethics committee.

### Quadriceps strength test protocol

Isometric quadriceps strength was measured using a hydraulic leg extension machine modified to restrict leg extension at an angle of 110 degrees. Subjects were instructed to push the

force gauge pad with their lower leg as hard as they could for five seconds. This was repeated three times on each leg with a 30 seconds rest between each effort, the final score being the mean of the three measures of peak force. Participants were familiarised with the test procedures and received vigorous verbal encouragement. Further details on the strength testing protocol are available from the first author upon request. Both intra- and intertester reliability for quadriceps strength were determined. The test-retest correlations for the baseline and follow up assessor were 0.758 and 0.897, respectively and interrater reliability was also high: 0.761.

### Exercise interventions

The warm up in the water based exercise group consisted of walking forwards, sideways, and backwards through the water. The strengthening exercises included hip flexion and extension, hip adduction and abduction, knee flexion and extension, and knee cycling. One set of 10 repetitions was increased to three sets of 10 repetitions for each exercise, usually within the first week. Once three sets of 15 repetitions could be performed, weighted gaiters were fastened around the ankles to provide additional resistance. At this point, repetitions were dropped back to 10 and then increased to 15 as tolerated.

The warm up in the gym based exercise group involved about four minutes of stationary cycling. The strengthening exercises included seated bench press, hip adduction and abduction, knee extension, and double leg press. Participants started the programme working at either their 10 repetition maximum or just below their maximum, depending upon pain experienced during and after the initial exercise session. The same protocol for increasing exercise intensity in the water was also applied in the gym.

### Statistical analysis

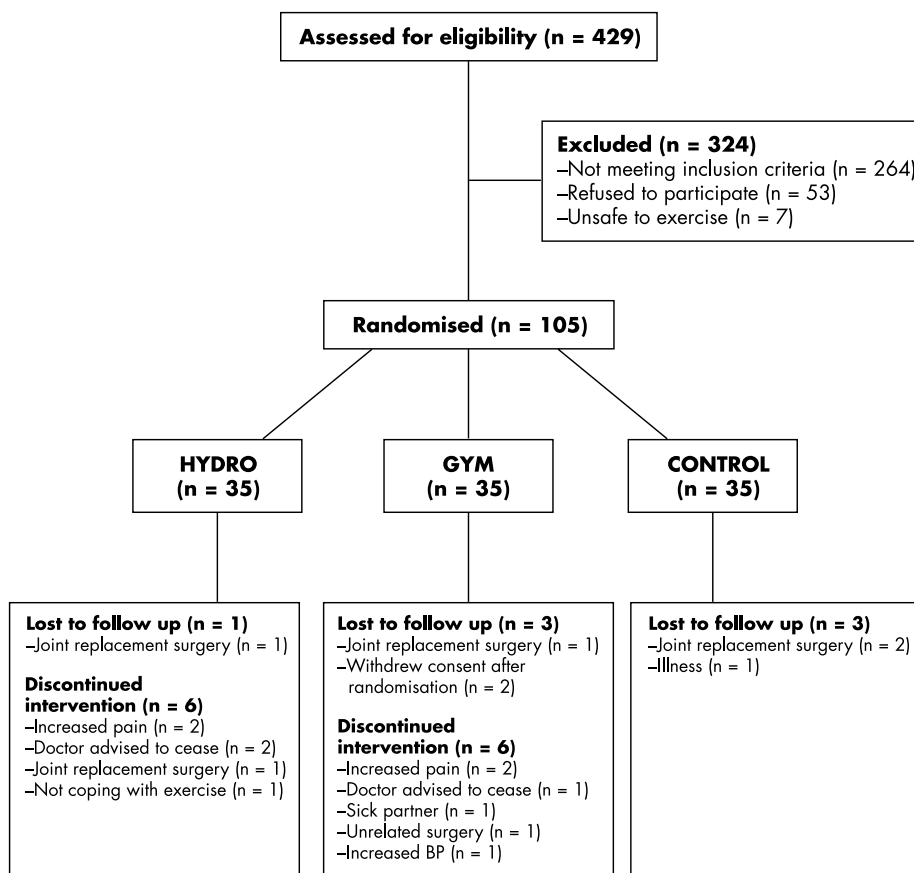
Statistical analysis was performed with SPSS version 10. An "intention to treat" approach was used in all analyses, and the last observation carried forward was used to impute data missing at follow up. Data are presented as medians and interquartile ranges, unless otherwise stated. An  $\alpha$  level of 0.05 was used in statistical analyses.

Because most of the data followed non-normal distributions, non-parametric tests were used in all statistical analyses. The Kruskal-Wallis test was used to test for differences in outcome measures at baseline by treatment group, and the  $\chi^2$  test was used to test for differences in baseline descriptors by treatment group. The effect of the intervention on the outcomes was determined using the Kruskal-Wallis test, and the Mann-Whitney test with a Bonferroni correction was used for subsequent post hoc pairwise comparisons. Wilcoxon signed ranks tests were used to determine within group changes from baseline to follow up.

To detect a change of 20% in the pain and physical functioning scales of the WOMAC OA Index between the two intervention groups, a sample size of 66 subjects was required (22 in each group). The sample size calculation was based on the assumption of an effect size of 1.0 with an  $\alpha$  level of 0.05 and 90% power. To allow for drop outs and injuries, this sample was increased to 35 people in each group, a total of 105 participants.

### RESULTS

Recruitment started on 1 April 2001 and was completed by the 25 March 2002. Of the 429 people who were contacted to take part in the trial, 165 (38%) were considered eligible. Of the eligible participants, 112 (68%) consented, but it was considered unsafe for seven (6%) to start an exercise programme. The remaining 105 participants were randomly



**Figure 1** Flow of study participants through selection and intervention protocols. Forty six (44%) participants were recruited from orthopaedic surgery waiting lists, 16 (15%) from rheumatology patient databases, 12 (11%) from physiotherapy referrals, and 31 (30%) from an advertisement in the local press (table 1).

allocated to the hydrotherapy (n = 35), gym (n = 35), or control group (n = 35) (fig 1).

Participants randomly allocated to the hydrotherapy and gym groups attended 84% and 75% of the exercise sessions, respectively. Six participants in each treatment group discontinued the intervention for a variety of reasons (fig 1). A small number of participants were lost to follow up in each group (fig 1).

Of the 105 participants, 52 (49.5%) were women. The mean (SD) age of the sample was 70.9 (8.8) years. All participants in the study reported at least one comorbid condition other than OA. There was no significant difference between the groups for any of the baseline sample descriptors (table 1).

Table 1 also shows physical function, quadriceps strength, and self reported pain at baseline. There was no significant difference between the three groups at baseline for any of the clinical or self reported outcome measures, with the exception of self reported pain measured using the WOMAC OA Index. WOMAC pain scores were significantly higher in the hydrotherapy group than the gym group at baseline ( $p = 0.015$ ). However there was no difference between groups for self reported pain using the Arthritis Self-Efficacy Scale.

Walking speed and distance improved significantly from baseline to follow up in both the hydrotherapy ( $p < 0.001$ ) and gym groups ( $p < 0.001$ ), but not in the control group. Pairwise comparisons of change scores showed that the hydrotherapy group was significantly better than the control group for distance walked and the gym group was significantly better than the control group for walking speed (fig 2).

The gym group significantly increased both left and right quadriceps strength from baseline to follow up ( $p < 0.001$ ), and the hydrotherapy group significantly increased strength in the left quadriceps only ( $p = 0.010$ ). No change was seen in

the control group for strength. Pairwise comparisons of change scores found that the gym group was significantly stronger than the control group for both left and right quadriceps strength, and significantly stronger than the hydrotherapy group for right quadriceps strength. The hydrotherapy group was significantly stronger than the control group for left quadriceps strength only (fig 2).

At follow up, the WOMAC pain score significantly declined from baseline in the hydrotherapy group, but the change in pain was not significantly different between groups (table 2). There were no significant changes from baseline or between groups for WOMAC function or stiffness. At follow up, no significant differences were found from baseline or between groups for self efficacy pain or function. The self efficacy satisfaction score significantly improved from baseline in the gym group, and there was a significantly greater improvement in satisfaction in the gym group compared with the control group (table 2). There were no significant differences from baseline scores, and there was also no significant difference between the groups for any of the Adelaide Activities subscales at follow up. At follow up, the hydrotherapy group showed a significant improvement from baseline in the SF-12 physical component score, and this was significantly different from the control group. The gym group showed a significant improvement from baseline in the SF-12 mental component score, but this change did not differ significantly between groups (table 2).

Significant differences in overall drug changes ( $p = 0.033$ ) between the three groups were evident. However, the changes were such that increases and decreases in OA drug use largely cancelled each other out. The hydrotherapy group showed fewer changes in drug use, with only one participant increasing their OA drug. Four participants increased and five participants decreased their OA drug in the gym group, and

**Table 1** Descriptive data of the sample at baseline (n = 105).

Baseline descriptor	Hydro (n = 35)	Gym (n = 35)	Control (n = 35)	Total group (n = 105)
Sex				
Male	20	15	15	53 (50.5%)
Female	15	17	20	52 (49.5%)
Age (years)				
Mean (SD)	73.0 (8.2)	69.8 (9.2)	69.8 (9.0)	70.9 (8.8)
Range	55–88	51–88	50–85	50–88
Recruited from:				
Orthopaedic surgery waiting list	16	12	18	46 (44%)
Community	10	11	10	31 (30%)
Rheumatology database	6	6	4	16 (15%)
Physiotherapy referral	3	6	3	12 (11%)
Joints affected by OA				
Hip joints (n)	16	17	18	51 (24%)
Knee joints (n)	43	46	37	126 (60%)
Comorbid conditions				
Heart condition	9	12	13	34 (32%)
Respiratory condition	17	13	11	41 (39%)
Other	33	33	34	100 (95%)
Pain*				
WOMAC pain score (0–20)	10.0 (3.0)†	8.0 (4.0)	10.0 (4.0)	9.0 (4.0)
Arthritis Self-Efficacy Score (10–100)	50.0 (24.0)	50.0 (32.0)	50.0 (22.0)	50.0 (28.0)
Function*				
Walking speed (m/s)	0.99 (0.49)	0.97 (0.39)	1.11 (0.46)	1.02 (0.40)
Distance walked (m)	257.3 (282.8)	336.2 (240.1)	388.1 (320.9)	336.0 (296.0)
Strength (kg)*				
Left quadriceps	22.7 (21.7)	22.8 (16.4)	19.7 (14.0)	20.8 (16.7)
Right quadriceps	22.5 (24.9)	19.7 (9.9)	22.4 (17.3)	20.8 (18.3)

Figures indicate number (%) of participants unless otherwise indicated.

\*Data presented as medians and interquartile ranges; †hydrotherapy group significantly different from gym group at baseline ( $p = 0.015$ ).

in the control group six participants increased and five decreased their OA drugs.

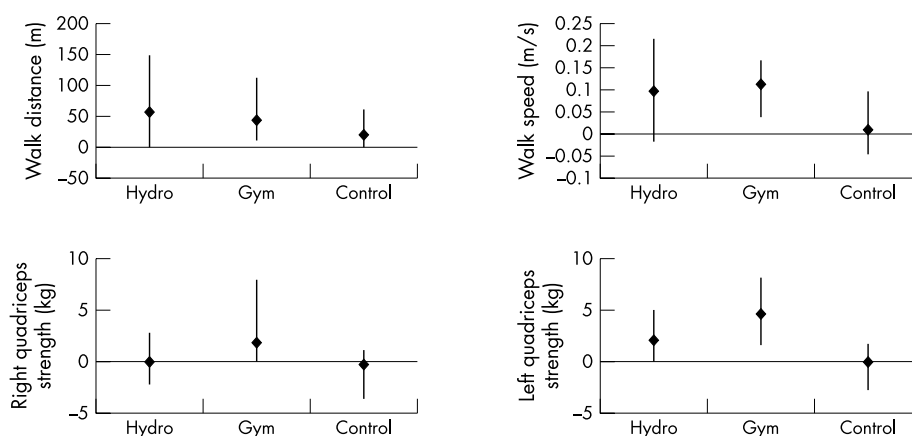
## DISCUSSION

This study has shown that both the gym based and water based resistance exercise programmes successfully improved physical function. The gym based intervention was found to be more effective in improving muscle strength.

The significant increases in walking speed and distance found in the hydrotherapy group are supported by previous findings.<sup>18–19</sup> As far as we are aware, the effect of hydrotherapy on quadriceps strength has not been previously reported in this patient group. However, previous hydrotherapy studies have

failed to show any significant changes in strength measured at the hip<sup>18</sup> or in grip strength.<sup>20–21</sup> The increases in strength and function seen in the gym group are consistent with the findings of previous investigations of land based strengthening exercise programmes.<sup>5–8</sup>

Improving a patient's ability to walk is important as it helps in maintaining independence to carry out activities of daily living, and the functional independence of older adults is also associated with decreased mortality and decreased admission into nursing homes and hospitals.<sup>22</sup> Increased muscle strength around affected joints is a clinically important outcome as muscle strength provides increased shock absorbing capacity and improved joint stability to assist in the preservation of the diseased joint.<sup>23</sup>



**Figure 2** Medians and interquartile ranges for change scores in strength and physical function measures. For walking distance the hydro group was significantly different from the control group ( $p = 0.048$ ). No other differences were significant. For walking speed the gym group was significantly different from the control group ( $p = 0.009$ ). No other differences were significant. For right quadriceps strength the gym group was significantly different from the hydrotherapy ( $p = 0.030$ ) and control groups ( $p < 0.001$ ). No other differences were significant. For left quadriceps strength the gym group and the hydrotherapy groups were significantly different from the control group ( $p < 0.001$ ,  $p = 0.018$ , respectively). No other differences were significant.

**Table 2** Self reported measures: WOMAC OA Index, Arthritis Self-Efficacy Scale, and SF-12 Health Survey

Outcome	Baseline	Follow up	Median change
<b>WOMAC</b>			
Function score (0–68)			
Hydro	34.0 (16.0)	33.0 (17.0)	–1.0 (10.0)
Gym	28.0 (13.0)	27.0 (12.0)	–1.0 (11.0)
Control	37.0 (17.0)	37.0 (13.0)	0.0 (8.0)
Stiffness score (0–8)			
Hydro	5.0 (2.0)	4.0 (3.0)	0.0 (1.0)
Gym	4.0 (2.0)	4.0 (2.0)	0.0 (2.0)
Control	4.0 (3.0)	4.0 (3.0)	0.0 (2.0)
Pain score (0–20)			
Hydro	10.0 (3.0)	10.0 (4.0)*	–1.0 (3.0)
Gym	8.0 (4.0)	8.0 (5.0)	0.0 (3.0)
Control	10.0 (4.0)	10.0 (4.0)	1.0 (3.0)
<b>Self efficacy</b>			
Symptoms score (10–100)			
Hydro	65.0 (23.3)	63.3 (26.7)	–1.7 (25.0)
Gym	70.0 (26.7)	70.0 (30.0)	5.0 (15.0)
Control	63.3 (31.7)	58.3 (28.3)	–1.7 (11.7)
Satisfaction score (10–100)			
Hydro	50.0 (20.0)	50.0 (25.0)	0.0 (20.0)
Gym	50.0 (25.0)	60.0 (30.0)† ¶	5.0 (15.0)
Control	55.0 (30.0)	50.0 (40.0)	0.0 (15.0)
<b>SF-12</b>			
Physical score (16–57)			
Hydro	31.4 (7.9)	37.1 (12.7)‡**	2.9 (7.7)
Gym	30.7 (11.2)	31.4 (12.7)	2.8 (10.6)
Control	30.9 (11.2)	28.8 (11.0)	–0.1 (8.1)
Mental score (25–70)			
Hydro	53.4 (15.7)	53.3 (15.5)	0.0 (10.0)
Gym	51.8 (21.2)	57.9 (19.5)§	2.2 (7.3)
Control	50.5 (16.9)	50.5 (14.0)	0.0 (8.8)

Data are presented as medians and interquartile ranges. Note: SF-12 scales represent minimum and maximum values of the total sample \*Significantly different from baseline ( $p=0.045$ ); †significantly different from baseline ( $p=0.003$ ); ‡significantly different from baseline ( $p=0.002$ ); §significantly different from baseline ( $p=0.027$ ); ¶significantly different from control group ( $p=0.006$ ); \*\*significantly different from control group ( $p=0.006$ ).

Our study failed to find any significant difference between the two intervention groups for improvements in walking speed and distance. Previous studies that have investigated hydrotherapy versus home based exercise,<sup>18</sup> land based resistance exercise,<sup>19</sup> and electrotherapy and exercise,<sup>24</sup> have also found no significant difference between intervention groups for walking ability measured by the time taken to walk a fixed distance<sup>18–19</sup> and gait assessment.<sup>24</sup>

The hydrotherapy group reported significant improvement in the physical component score of the SF-12 quality of life measure; in contrast, the gym group reported significant improvement in the mental component score. Self reported improvement was also reported in the gym group for two scales of the arthritis self efficacy questionnaire, indicating increased satisfaction with their ability to manage their arthritic pain and other arthritis symptoms.

For other self reported outcomes, we suggest that lack of change may be due to participants overestimating their capabilities at baseline by assuming that they can do more than they actually can, and after six weeks of exercise therapy they have a better idea of their true physical capabilities and provide a more accurate reflection of this at follow up. However, it is likely that owing to the heterogeneity of the sample and the smaller than expected effect size, we were underpowered to detect a significant change in these self reported measures.

The intensity, volume, and frequency of the exercise interventions was much higher than the American Geriatrics Society recommendations for strength training in

patients with OA,<sup>25</sup> and the hydrotherapy programmes normally prescribed within the RGH. Higher intensity exercise was implemented in the current study because previous strength training studies of patients with OA have recorded no adverse effects or exacerbation of symptoms, and exercise has not been shown to accelerate disease progression.<sup>25–26</sup> We also found that the exercise interventions did not increase self reported OA pain or symptom scores.

Over 40% of the sample were recruited from orthopaedic surgery waiting lists, indicating that a large percentage of the sample had moderate to severe OA requiring a hip or knee joint replacement. Therefore, the results of the current study support the prescription of higher intensity exercise for patients, even for those with severe OA who are awaiting a joint replacement. This finding is consistent with earlier work that showed that an exercise programme could be successfully administered in patients with OA severe enough to warrant referral to an orthopaedic surgeon.<sup>8</sup>

It was our intention to match the exercise intensity between the two intervention groups as closely as possible. However, progressive overloading of the musculature and loading through the eccentric phase of muscle contraction is not possible in water as it is on land. Therefore, owing to the nature of hydrotherapy, the exercise intensity would not have been as high in the water based group, hence the greater increases in strength seen in the gym group. However, the hydrotherapy programme had an underlying aerobic training component, as higher and faster repetitions were used to increase the exercise intensity. Furthermore, the hydrotherapy group continuously worked for the full half hour session, moving immediately from one exercise to the next. Owing to these characteristics of the hydrotherapy programme, it is expected that the participant's heart rate (although not measured) was raised and maintained at a higher level for the duration of each exercise session. Patients with OA typically have low cardiovascular fitness,<sup>26</sup> therefore it is expected that the hydrotherapy programme possibly produced increases in aerobic capacity, explaining the significant increase in physical function without the same increases in strength as observed in the gym group.

One potential limitation of the study is how truly representative the sample is of the OA population in general. Firstly, most subjects entering the trial were keen to take part in the exercise intervention in the hope that they would benefit from the exercise. However, not all patients with OA would be as keen to exercise as regularly or as intensively as the subjects in the current study. Although the recruitment of subjects was based on the presence of hip or knee OA and not fitness, it is believed that research projects involving physical activity will generally attract people who are interested in exercise.<sup>27</sup> Secondly, only participants who could provide their own transport to the RGH three times a week were eligible to take part in the trial.

Lastly, changes in drug use were not controlled over the study period. However, documentation of drugs at baseline and follow up showed that participation in land based exercise, water based exercise, or no exercise resulted in no net increase or decrease in drug use. This suggests that the results are not likely to have been influenced by changes in drug use as the changes that occurred largely cancelled each other out in each group.

In summary, this study has shown that both the gym and hydrotherapy interventions produce positive functional outcomes for patients with OA. However, it seems that hydrotherapy may be more suitable for aerobic based exercise programmes and the gym based exercise more suitable for strengthening programmes. Hydrotherapy provides the optimal environment for patients with OA to exercise aerobically, and at higher intensities than would be possible on land,

owing to the reduction of joint loading.<sup>11</sup> Patients with severe OA who find it painful to weight bear for extended periods may find that water provides the appropriate environment in which they can exercise at intensities that may confer significant health benefits. However, to improve joint stability and shock absorbing capacity through improved muscle strength, land based resistance exercise should be recommended as loading during eccentric muscle contractions and progressive resistance can be more effectively applied on land.

Currently published reports indicate that few high quality trials have been carried out to examine the use of hydrotherapy in the treatment of patients with OA. Further research into hydrotherapy should investigate the long term effects of this form of exercise in patients with OA, and should also aim at measuring the effect of hydrotherapy on cardiovascular fitness.

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## Authors' affiliations

**A Foley, J Halbert, M Crotty**, Flinders University Department of Rehabilitation and Aged Care, Repatriation General Hospital, South Australia

**T Hewitt**, Physiotherapy Department, Repatriation General Hospital, South Australia

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