Antibiotic prophylaxis for haematogenous bacterial arthritis in patients with joint disease: a cost effectiveness analysis

P Krijnen, C J E Kaandorp, E W Steyerberg, D van Schaardenburg, H J Bernelot Moens, J D F Habbema

Center for Clinical Decision Sciences, Department of Public Health, Erasmus University, Rotterdam, The Netherlands
P Krijnen
E W Steyerberg
J D F Habbema
Jan van Breemen
Institute for Rheumatology and Rehabilitation, Amsterdam, The Netherlands
C J E Kaandorp
D van Schaardenburg
Medical Spectrum Twente, The Netherlands
H J Bernelot Moens

Correspondence to: Min Krijnen, Center for Clinical Decision Sciences, Department of Public Health, Erasmus University, PO Box 1718, 3500 DR Rotterdam, The Netherlands
krijnen@mgz.fgg.eur.nl
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Abstract
Objective—To assess the cost effectiveness of antibiotic prophylaxis for haematogenous bacterial arthritis in patients with joint disease.

Methods—In a decision analysis, data from a prospective study on bacterial arthritis in 4907 patients with joint disease were combined with literature data to assess risks and benefits of antibiotic prophylaxis. Effectiveness and cost effectiveness calculations were performed on antibiotic prophylaxis for various patient groups. Grouping was based on (a) type of event leading to transient bacteremia—that is, infections (dermal, respiratory/urinary tract) and invasive medical procedures—and (b) the patient’s susceptibility to bacterial arthritis which was increased in the presence of rheumatoid arthritis, large joint prosthesis, comorbidity, and old age.

Results—Of the patients with joint disease, 59% had no characteristics that increased susceptibility to bacterial arthritis, and 31% had one. For dermal infections, the effectiveness of antibiotic prophylaxis was maximally 35 quality adjusted life days (QALDs) and the cost effectiveness maximally $52 000 per quality adjusted life year (QALY). For other infections, the effectiveness of prophylaxis was lower and the cost effectiveness higher. Prophylaxis for invasive medical procedures seemed to be acceptable only in patients with high susceptibility: 1 QALD at a cost of $1300/QALY; however, the results were influenced substantially when the level of efficacy of the prophylaxis or cost of prophylactic antibiotics was changed.

Conclusion—Prophylaxis seems to be indicated only for dermal infections, and for infections of the urinary and respiratory tract in patients with increased susceptibility to bacterial arthritis. Prophylaxis for invasive medical procedures, such as dental treatment, may only be indicated for patients with joint disease who are highly susceptible.

Methods

Data from a prospective study on incidence, risk factors, and outcome of bacterial arthritis in 4907 patients with joint disease in Amsterdam, the Netherlands19 and literature review data were used to assess the risk and outcome of haematogenous bacterial arthritis in several groups of patients with joint disease. The problem was modelled as a decision tree (fig 1).

The tree describes the effects of giving and not giving antibiotic prophylaxis to a 60 year old man with joint disease who is confronted with an event posing a risk of haematogenous bacterial arthritis.
Most of the model estimates were derived from the Amsterdam study. For this analysis, the data for 4907 adult patients with joint disease attending the outpatient clinics for rheumatologic care were used. In this patient group, the most prevalent joint diseases were osteoarthritis (40%) and rheumatoid arthritis (28%). Over a period of two years, data were collected prospectively by three monthly questionnaires on the occurrence of bacterial arthritis and risk factors. The case finding in the hospitals continued for one more year to obtain more patients with bacterial arthritis. Of a total of 37 events, 14 were caused haematogenously. Bacterial arthritis was defined according to the criteria of Newman, which were modified slightly. Estimates of efficacy and adverse effects of antibiotic prophylaxis were derived from the literature.

**Antibiotic Prophylaxis**

In accordance with Dutch guidelines, amoxicillin/clavulanic acid was considered to be the antibiotic of choice for preventing bacterial arthritis. Prophylactic regimens were: 2000/200 mg intravenously before invasive medical procedures, 3 x 500/125 mg a day orally for 10 days in the case of infection, and once only dose of 3000/750 mg orally before invasive dental treatment. As the efficacy of antibiotic prophylaxis in preventing bacterial arthritis is not known, estimates for endocarditis prophylaxis for patients with valvular heart disease were used as a proxy: the efficacy was estimated to be 90% (table 1). The risk of side effects of antibiotics was based on data from the literature: 0.01% (one in 10 000 injections) risk of a severe non-fatal reaction, and 0.002% (two in every 100 000 injections) risk of a fatal reaction. These estimates were used for both parenteral and oral administration of antibiotic prophylaxis, although the risk of adverse reactions to oral administration is assumed to be somewhat lower.

**Risk of Bacterial Arthritis**

The risk of haematogenous bacterial arthritis was calculated in two steps. (a) On the basis of data from the literature, potential risk events for haematogenous bacterial arthritis were grouped into infections posing a high risk of bacterial arthritis (dermal infections), infections posing a low risk of bacterial arthritis (urinary and respiratory tract infections), and invasive medical procedures (invasive dental treatment and invasive treatment of the skin, ear, nose, throat, gastrointestinal tract, respiratory tract, urinary tract, and the female genital tract). In the prospective study, no antibiotic prophylaxis had been given for these risk events. Questionnaire data from the prospective study were used to calculate the average risk of bacterial arthritis for these three groups of risk events when no antibiotic prophylaxis is given. (b) Data on patient characteristics of 37 bacterial arthritis cases and 4870 controls were combined in a logistic regression model representing the patient's susceptibility to bacterial arthritis. The patient characteristics studied were established risk factors for bacterial arthritis: presence of a knee or hip prosthesis, diagnosis of rheumatoid arthritis, comorbidity (malignancy, diabetes mellitus), age of 80 years and over, and use of immunosuppressive medication. Backward selection was used to discard characteristics not significantly predictive of bacterial arthritis (p to remove = 0.10). The fit of the logistic regression model was evaluated by studying the reliability and discriminative ability of the model. The reliability of the model was assessed by using the Hosmer-Lemeshow goodness of fit test. The discriminative ability

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**Table 1 Baseline estimates and plausible ranges for model estimates entered into the decision model**

<table>
<thead>
<tr>
<th>Probabilities</th>
<th>Baseline estimate</th>
<th>Plausible range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Risk of bacterial arthritis</td>
<td>90%</td>
<td>45–100%*</td>
</tr>
<tr>
<td>Efficacy of prophylaxis</td>
<td>0.95</td>
<td>0.90–1.00</td>
</tr>
<tr>
<td>Risk of reaction to prophylaxis</td>
<td>0.001</td>
<td>0.0005–0.002</td>
</tr>
<tr>
<td>Severe, non-fatal reaction</td>
<td>0.0015</td>
<td>0.0010–0.0020</td>
</tr>
<tr>
<td>Fatal reaction</td>
<td>0.00125</td>
<td>0.0005–0.004</td>
</tr>
<tr>
<td>Risk of outcome of arthritis</td>
<td>0.05</td>
<td>0.03–0.07</td>
</tr>
<tr>
<td>Major loss of joint function</td>
<td>0.4</td>
<td>0.25–0.55</td>
</tr>
<tr>
<td>Arthritis related mortality</td>
<td>0.2</td>
<td>0.10–0.30</td>
</tr>
</tbody>
</table>

**Costs**

- Well: $1
- Impaired: $0.75
- Dead: $0
- Discount after non-fatal reaction to prophylaxis: 4 QALs$12, 2–8 QALs$12*

**Notes**

1. Had to revise the baseline estimate: 1995 confidence interval.
2. QALD = quality adjusted life day.
3. Skin, urinary tract, respiratory tract.
4. Oesophagus, skin, ear, nose, throat, gastrointestinal tract, respiratory tract, urinary tract, female genital tract.
We calculated a treatment threshold for the risk of bacterial arthritis to determine which patients may benefit from antibiotic prophylaxis. At this threshold, the quality adjusted life expectancy with prophylaxis is equal to that without prophylaxis, because the risks and benefits of prophylaxis are exactly balanced. If the risk of bacterial arthritis exceeds the treatment threshold, the benefits of prophylaxis outweigh the risks, and the quality adjusted life expectancy after prophylaxis is higher. Then, prophylaxis is more effective than no prophylaxis.

**Cost-effectiveness**

The cost-effectiveness of antibiotic prophylaxis was expressed as the difference in quality adjusted life expectancy, which is equal to the difference in life expectancy multiplied by the quality of life. The life expectancy was obtained from the 1990-1994 Dutch life tables, and was 17.84 years for a 60 year old male patient. Quality of life was expressed on a utility scale, ranging from 0 (dead) to 1 (well). Patients may die from causes related to either bacterial arthritis or antibiotic treatment. Patients were “well” if no bacterial arthritis occurred or after recovery from bacterial arthritis. Further, patients with major loss of joint function due to bacterial arthritis were considered to be in the health state “impaired”. Empirical data from the prospective study were used to estimate the utility for the health state “impaired”. Patients with major loss of joint function due to bacterial arthritis described their health state before and one year after diagnosis by filling out the Euro-Qol questionnaire. The utility of the health states before and after arthritis were obtained by attaching valuations obtained from the general population to these health state descriptions. The difference in utility before and after bacterial arthritis for these patients resulted in a utility of 0.75 (95% CI 0.60 to 0.90) for “impaired” (table 1). Severe non-fatal adverse effects of antibiotics were considered to cause a loss of 4 quality adjusted life days (QALDs) (plausible range 2-8). These health outcomes were all assumed to occur within one year of receiving prophylaxis or not. On the basis of our prospective study, the risk of bacterial arthritis related mortality was estimated to be 20% (95% CI 10 to 30%), and the risk of major loss of joint function to be 40% (95% CI 25 to 55%). After one year, the effects of bacterial arthritis on the health outcome were assumed to be stable, which means that the health states were constant after one year.

We calculated a treatment threshold for the risk of bacterial arthritis related mortality while varying risks and utilities. At this threshold, the quality adjusted life expectancy with prophylaxis is equal to that without prophylaxis, because the risks and benefits of prophylaxis are exactly balanced. If the risk of bacterial arthritis exceeds the treatment threshold, the benefits of prophylaxis outweigh the risks, and the quality adjusted life expectancy after prophylaxis is higher. Then, prophylaxis is more effective than no prophylaxis.

**Sensitivity Analysis**

A number of assumptions were made that may influence the results of the decision analysis. In a sensitivity analysis, three questions were addressed. (a) For which risk situations are we certain about the relative effectiveness of prophylaxis? A probable interval for the treatment threshold for the bacterial arthritis risk was determined by recomputing the treatment threshold while varying risks and utilities in the model one by one over their full range of plausible values. For model estimates based on empirical data, plausible ranges were assumed by the 95% CIs. For other model estimates, plausible ranges were defined as a half to twice
For a 60 year old patient, the SI ranges from 0 to 4 because age does not pose an additional risk.

For instance, SI = 3 for an 80 year old patient with a knee or hip prosthesis and age of 80 and over (table 3). For a simple empirical data.

The SI is a sum score, based on the presence of a knee or hip prosthesis (2 points), diagnosis of rheumatoid arthritis (1 point), comorbidity (1 point), age of 80 and over (1 point) (see table 3).

The number of events in three years was 1.5 times the recorded number of events in two years of follow up assuming that the occurrence of risk events was constant in time.

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The number of events in three years was 1.5 times the recorded number of events in two years of follow up assuming that the occurrence of risk events was constant in time.
of susceptibility, because bacteremia after a medical procedure is of shorter duration.

**Effectiveness and Cost Effectiveness**

The treatment threshold for the risk of bacterial arthritis was 0.0078%. If the risk of bacterial arthritis exceeded this threshold, the quality adjusted life expectancy with prophylaxis was higher than without prophylaxis and therefore prophylaxis was more effective. This was the case for (a) dermal infections (regardless of the patient’s susceptibility to bacterial arthritis), (b) infections of the urinary tract or respiratory tract if the patient’s susceptibility is increased (SI > 0), and (c) invasive medical procedures only if the patient’s susceptibility is moderate or high (SI > 1).

Table 5 presents the quality adjusted life expectancy with and without prophylaxis for all risk situations studied. The benefit of prophylaxis in terms of quality adjusted life expectancy was maximally 35 QALDs in the case of dermal infections, maximally 3 QALDs in the case of infections of the urinary tract and respiratory tract, and maximally 1 QALD in the case of invasive medical procedures.

The costs per QALY gained by prophylaxis varied widely for all types of risk events, depending on the patient’s susceptibility (Table 5). For dermal infections, the costs amounted to $52 000 per QALY if the susceptibility was low (SI = 0). For patients with high susceptibility (SI > 2), prophylaxis for dermal infections was not only more effective, but also less expensive than no prophylaxis. For infections of the urinary tract and respiratory tract, and after invasive medical procedures, the bacterial arthritis risk was lower than for dermal infections. Thus the costs of prophylaxis for these risk events were higher for each level of susceptibility (even though the cost of prophylaxis for medical procedures was lower), amounting to $1 000 000 per QALY. For patients with high susceptibility (SI > 2), the costs per QALY for these events were considerably lower: maximally $12 000 per QALY if prophylaxis for respiratory or urinary tract infections, and maximally $6000 per QALY for invasive medical procedures.

**Sensitivity Analyses**

The treatment threshold for the risk of bacterial arthritis (baseline estimate 0.0078%) varied between 0.004 and 0.02%, when the other risk and utility estimates were varied one by one within their plausible range. Given the confidence intervals for the risk of bacterial arthritis as presented in Table 4, we were relatively certain that prophylaxis was more effective than no prophylaxis for two situations, even though the exact treatment threshold was unknown: (a) for dermal infections if the patient had increased susceptibility (SI > 0), and (b) infections of the urinary tract and respiratory tract if the patient’s susceptibility was high (SI > 2). For all other risk situations, it was uncertain whether prophylaxis was more or less effective than no prophylaxis.

The cost effectiveness results were influenced most by the risk of bacterial arthritis and by the efficacy and cost of prophylaxis. Figure 2 shows how the costs per QALY varied as a function of the risk of bacterial arthritis, for infections (prophylactic cost $60) and for invasive medical procedures (prophylactic cost $12). The costs per QALY increased steeply as the risk of bacterial arthritis fell below 0.05% in the case of infections and below 0.03% in the case of invasive medical procedures. For risks of bacterial arthritis just above the treatment threshold of 0.0078%, the effectiveness of prophylaxis was infinitesimally small and therefore the costs per QALY were infinitely high. Furthermore, varying the efficacy and cost of antibiotic prophylaxis within their plausible

### Table 5

<table>
<thead>
<tr>
<th>SI</th>
<th>Difference in effectiveness prophylaxis = no prophylaxis (QALY)**</th>
<th>Difference in costs prophylaxis = no prophylaxis ($)</th>
<th>Marginal cost effectiveness (F/QALY)**</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dermal infections</td>
<td>0 0.4 0.06 52 000</td>
<td>1 0.3 0.49 14 000</td>
<td>2 0.11 7.0 4 000 000</td>
</tr>
<tr>
<td></td>
<td>3 0.05 4.0 10 000</td>
<td>4 0.03 3.0 5 000 000</td>
<td></td>
</tr>
<tr>
<td>Infections of the urinary tract or respiratory tract</td>
<td>0 0.07 9.30 55 000</td>
<td>1 0.04 6 000</td>
<td>2 0.40 56 52 000</td>
</tr>
<tr>
<td></td>
<td>3 0.15 3 000 000</td>
<td>4 0.30 1 000 000</td>
<td></td>
</tr>
<tr>
<td>Invasive medical procedures</td>
<td>0 0.07 12 000</td>
<td>1 0.05 11 10 000</td>
<td>2 0.22 9 50 000</td>
</tr>
<tr>
<td></td>
<td>3 0.10 3 000</td>
<td>4 0.25 6 000</td>
<td></td>
</tr>
</tbody>
</table>

QALD = quality adjusted life day; QALY = quality adjusted life year.

*Prophylaxis is more effective and less expensive than no prophylaxis.
†Prophylaxis is more effective but less expensive than prophylaxis.
‡No prophylaxis is more effective and less expensive than prophylaxis.
§Dental, skin, ear, nose, throat, gastrointestinal tract, respiratory tract, urinary tract, female genital tract.
ranges for these low risks of bacterial arthritis had a considerable effect on the cost effectiveness results. For a bacterial arthritis risk after an infection of for instance 0.03%, the costs ranged from $45 000 to $170 000 per QALY when we varied the efficacy over its plausible range (45–100%). Varying the cost of prophylaxis over its plausible range ($30–120) led to costs of $24 000–110 000 per QALY. For higher risks of bacterial arthritis, the sensitivity to change in these model estimates decreased. For a bacterial arthritis risk of for instance 0.08% after an infection, the cost ranged from $12 000 to $35 000 per QALY when the efficacy was varied, and from $5300 to $31 000 per QALY when the cost of prophylaxis was varied. Uncertainty in the other model estimates influenced the cost effectiveness results to a lesser extent.

Analysis was carried out for a 60 year old male patient. For instance, the cost effectiveness of prophylaxis for a respiratory infection such for a patient with a large joint prosthesis (SI = 2) was $52 000 per QALY (table 5). Female patients and younger patients benefit more by prophylaxis because they have a longer life expectancy. The cost effectiveness was $41 000 per QALY for an otherwise similar 60 year old female patient and $26 000 per QALY for a similar 40 year old male patient. Compared with the 60 year old male patient, older patients have a lower life expectancy on the one hand but a higher risk of bacterial arthritis on the other. For an otherwise similar 80 year old male patient, the cost effectiveness was $34 000 per QALY.

Discussion

Because of its severe consequences (mortality, morbidity and loss of joint function), haematogenous bacterial arthritis poses a serious problem for patients with joint disease even though their risk of contracting it is low. According to our analysis, prophylaxis by antibiotic treatment for dermal infections is cost effective for patients with joint disease who have increased susceptibility to bacterial arthritis. For patients with high susceptibility, such as those with both rheumatoid arthritis and a large joint prosthesis, prophylaxis is not only more effective, but also reduces aggregated medical costs. Infections of the urinary tract and respiratory tract were found to pose a lower risk of bacterial arthritis than dermal infections. Prophylaxis for these infections seems to be cost effective only if the patient has relatively high susceptibility to bacterial arthritis. Prevention of bacterial arthritis is an additional argument for antibiotic treatment, which is often given anyway in these patients.

The costs for all these risk situations range up to $14 000 per QALY gained by prophylaxis, which is comparable with other preventive medical interventions in the Netherlands, such as breast cancer screening (about $5000 per QALY), screening for cervical cancer (about $12 000 per life year), and cholesterol lowering treatment ($23 000–49 000 per QALY for male patients). For other risk situations studied in this paper, conclusive evidence for prophylaxis is not found. The cost effectiveness estimates for antibiotic prophylaxis were presented for a 60 year old male patient. Sensitivity analyses showed that the cost effectiveness estimates were somewhat different for older ages and for women, but not sufficiently so to justify different recommendations for men and women and for patients of different ages.

The patients with joint disease described in this paper obviously had a high burden of disease, as they were attending an outpatient clinic for rheumatology. Even in this patient group, most had no additional characteristics that increased their susceptibility to bacterial arthritis. Only 15% had multiple risk characteristics. Thus only a small group of patients with joint disease is highly susceptible to bacterial arthritis.

Most studies on the need for antibiotic prophylaxis for bacterial arthritis have investigated patients with joint prostheses, either with or without joint disease. In contrast, this study was conducted from the perspective of a clinic for rheumatology. All patients with joint disease are believed to have an increased risk of bacterial arthritis, and are therefore potential candidates for antibiotic prophylaxis. We did not conduct separate analyses for patients with joint prostheses and those with only native joints, because we did not have enough patients in each group. We do not recommend prophylaxis for prostheses and infected native joints are not comparable with regard to the inflammatory process as well as the treatment and outcome. Nevertheless, we feel that our decision model gives valid results for both groups of patients, because the model patients with joint prostheses have an increased risk of infection and the outcome of bacterial arthritis can be expressed as adjusted life expectancy for both groups of patients.

Following Dutch guidelines, we chose amoxicillin/clavulanic acid as the prophylactic antibiotic against bacterial arthritis for all potential sources. Other antibiotics have also been proposed, such as cephalosporins. Unfortunately, it is not known which antibiotic is the best prophylactic. In our sensitivity analysis, a wide range of probable efficacy levels (45–100%) was taken into account, showing that a low level of efficacy considerably increased the costs per QALY. Furthermore, the cost of prophylaxis is of direct consequence for the cost effectiveness. In the Netherlands, the cost of prophylactic cephalosporins is somewhat lower than that of amoxicillin/ clavulanic acid. Assuming an equal level of efficacy, the cost effectiveness of cephalosporins would therefore be slightly more favourable.

Patients with joint disease form a heterogeneous group not only with respect to susceptibility to bacterial arthritis, but also with respect to the disease process and health outcomes. Differences in susceptibility to bacterial arthritis were accounted for in the calculation of the bacterial arthritis risk. Differences with respect to outcome probabilities are also to be expected between patients. For instance, patients with rheumatoid arthritis and elderly
patients have an increased risk of an adverse outcome of bacterial arthritis and are therefore more likely to generate high medical costs.\(^5,6\) Such differences were not taken into account, but have only a small effect on the cost effectiveness results according to our sensitivity analysis.

In the decision model, only medical outcomes and costs considered to have a relevant impact on the risks and benefits of antibiotic prophylaxis were included. For instance, mild side effects of antibiotics that do not have a serious impact on the length and quality of life, such as skin rash, were not included in the model. Considering the age and chronic disease of the patients, indirect costs due to bacterial arthritis were also not included, because production losses due to absence from work were not considered to form a substantial part of the total costs. Although the costs due to bacterial arthritis were somewhat underestimated, it is unlikely that these costs exceeded the upper limit of the plausible range. Sensitivity analyses showed that varying the costs over these ranges of plausible values did not alter the conclusions drawn from the baseline analysis. Further, the time perspective of the study was limited to one year. It was assumed that the health status of most patients was stable one year after diagnosis, and that most of the costs due to bacterial arthritis and side effects of antibiotic prophylaxis had occurred within this period. We do not think it is likely that changes in health outcomes after the first year would have a substantial impact on the results of the study.

Despite the aforementioned limitations, we feel that this analysis increases insight into whether or not to give antibiotic prophylaxis for haematogenous bacterial arthritis to patients with joint disease, because it is based on quantification of benefits and disadvantages of antibiotic prophylaxis with the currently available data. Our analysis suggests that the benefits of antibiotic prophylaxis outweigh its risks in only a limited number of risk situations. In this way, the risk of causing resistance to antibiotics on a population level is minimised.

Prophylaxis seems to be indicated only for patients with joint disease, because it is based on quantification of benefits and disadvantages of antibiotic prophylaxis with the currently available data. Our analysis suggests that the benefits of antibiotic prophylaxis outweigh its risks in only a limited number of risk situations. In this way, the risk of causing resistance to antibiotics on a population level is minimised.

We thank M J C Eijkemans for statistical support. This study was supported financially by the Dutch Prevention Fund (grant 29-1979).

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18 Rasmussen DF. Antireumatic adverse reactions to penicillin. JAMA 1981;246:288–300.