

Enhanced jejunal production of antibodies to *Klebsiella* and other Enterobacteria in patients with ankylosing spondylitis and rheumatoid arthritis

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Abstract

Objective—To measure gut immunity directly in jejunal fluid in patients with ankylosing spondylitis (AS) and rheumatoid arthritis (RA).

Methods—Antibodies against three different Enterobacterias were measured in jejunal perfusion fluids (collected by a double balloon perfusion device) of 19 patients with AS, 14 patients with RA, and 22 healthy controls using enzyme linked immunosorbent assay.

Results—The AS patients had significantly increased jejunal fluid concentrations of IgM, IgG, and IgA class antibodies against *Klebsiella pneumoniae*, and IgM and IgA class antibodies against *Escherichia coli* and *Proteus mirabilis* compared with healthy controls. When compared with the patients with RA, the AS patients had higher concentrations of IgA and IgG class antibodies only against *K pneumoniae*. The RA patients had higher IgM class antibody concentrations against all three studied Enterobacterias, when compared with the healthy controls, suggesting an enhanced mucosal immune response in these patients. A three month treatment with sulphasalazine did not decrease enterobacterial antibody concentrations in the 10 patients with AS.

Conclusion—There is strong direct evidence for an abnormal mucosal humoral immune response particularly to *K pneumoniae* in patients with AS.

(*Ann Rheum Dis* 1997;56:421-425)

The aetiology of ankylosing spondylitis (AS) is still unknown.¹ It has been suggested that the Gram negative micro-organism *Klebsiella pneumoniae* plays an important part in the pathogenesis. Increased faecal carriage of *Klebsiella* species, as well as increased serum antibody concentrations, especially of immunoglobulin A (IgA), against *Klebsiella* bacteria in patients with AS have been reported.²⁻⁶ Furthermore, a decrease has been seen in the concentration of serum IgA class antibodies against *K pneumoniae* and *Escherichia coli* during 26 weeks of sulphasalazine treatment.³ On the other hand, a quantitative reduction of *K pneumoniae* responsive T cells in peripheral blood of AS patients may reflect a defective

peripheral T cell defence in the immune response to *Klebsiella*.⁷ Furthermore, molecular mimicry between *Klebsiella* and HLA B27 antigens has been noted.^{1,2} However, the association between *Klebsiella* and AS is still a subject of controversy.⁸

Also further evidence for the role for gut and mucosal immune defence mechanisms in the pathogenesis of AS is available. For example, gut permeability has been shown to be increased in patients with AS.⁹ In addition, serum concentrations of total IgA, as well as secretory IgA and the IgA subclasses have been shown to be persistently raised in patients with AS.¹⁰⁻¹² This has been interpreted as signs of stimulation of the secretory immune system, perhaps through the gastrointestinal tract, because the intestinal lamina propria harbours more than 80% of all IgA producing cells.¹³ Also an increased IgA concentration in parotid saliva in active AS has been taken as evidence of mucosal immune stimulation in AS.¹¹

Intestinal micro-organisms have also been implicated in the aetiology or pathogenesis, or both, of rheumatoid arthritis (RA).¹⁴ A changed gastrointestinal microflora has been demonstrated¹⁵ and evidence of jejunal bacterial overgrowth in RA have been reported.¹⁶ Furthermore, there are reports showing increased serum antibody concentrations against *Proteus mirabilis* in RA patients^{17,18} although the relevance of this finding has been questioned.¹⁹ In similarity with AS, an increased gut permeability has also been observed in RA²⁰ and serum IgA is frequently increased in both diseases.²¹

Recently a double balloon perfusion device was developed, which allows controlled measuring of gut immunity directly in jejunal fluid.^{22,24} Using this direct method we have shown that concentrations of total IgM, IgA, secretory IgA, and secretory component were significantly increased in the jejunal perfusion fluids of 19 AS patients when compared with the healthy controls.²⁵ In this study we have analysed the concentrations of IgM, IgG, and IgA class antibodies against *K pneumoniae*, *E coli*, and *P mirabilis* in the jejunal perfusion fluids of those 19 patients with AS as well as in 14 patients with RA using enzyme linked immunosorbent assay (ELISA); jejunal perfusion fluids from 22 healthy controls served as controls. The effect of three month

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Accepted for publication
8 May 1997

sulphasalazine treatment on the antibody concentrations of the AS patients was also analysed.

Methods

PATIENTS

We studied 19 male patients with AS diagnosed according to the New York criteria,²⁶ with mean age of 36 years (range 21–66) and the mean duration of the disease of 11 years (range 1–25). Furthermore, 14 patients with RA diagnosed according to the ARA criteria²⁷ were studied. Their mean age was 50 years (range 26–70) and the mean duration of disease 10 years (range 9 months–30 years). Twenty two healthy subjects with mean age of 29 years (range 23–39) served as controls. The RA patients suffered from active disease as defined by the presence of at least two of the following three criteria: duration of morning stiffness ≥ 60 minutes, tenderness or swelling, or both, of ≥ 6 joints, and a Westergren sedimentation rate ≥ 30 mm 1st h. The majority of patients were treated with non-steroidal anti-inflammatory drugs (NSAIDs); in all but two this treatment was withdrawn for three days or more before intestinal perfusion was performed. Ten of the AS patients were reinvestigated after treatment with sulphasalazine for three months: the dose of sulphasalazine was increased as described earlier²⁸ to a final dose of 2–3 g/day. The disease activity of the AS patients was determined, before and after sulphasalazine treatment, by the following clinical variables (reference values in parentheses): chest expansion corresponding to 4th intercostal space (> 40 mm); Schrober's test (> 40 mm); duration of morning stiffness in minutes; number of painful and swollen joints excluding lumbar spine; presence of sacroiliac pain; physician's global assessment; erythrocyte sedimentation rate (ESR) according to Westergren (< 15 mm 1st h) and haptoglobin (0.3–2.0 g/l).

JEJUNAL PERFUSION FLUIDS

A segment of intestine was perfused as described earlier in detail²² by a small diameter tube that was 175 cm long and contained six channels. The insertion of the tube, gastric drainage, inflation of the balloons, and rinsing of the closed intestinal segment were performed as described.²² ¹⁴C-labelled polyethylene glycol was used as a volume marker and phenolsulphonphatalein (phenol red) as a marker of the patency of the proximal balloon. The recovery of the volume marker was on average 91 (5)% (mean (SD)) in patients with AS, 86 (10)% in patients with RA, and 89 (6)% in healthy controls. Proximal leakage into the jejunal segment, as tested by the appearance of phenol red in the perfusion fluid, was less than 2% of the amount infused in the stomach. The perfusion fluids were collected on ice, centrifuged in cold conditions at 2500 g, and frozen in samples of 2 ml until analysis.

ANTIGENS FOR ELISA

Sodium dodecyl sulphate (SDS) extracts of *K pneumoniae* strain 21, 43, and ATCC 27736

were used. Antibodies raised against the strains 21 and 43 have been shown to lyse the HLA-B27 positive lymphocytes of about 80% of patients with AS, 60% of those with Reiter's syndrome or B27 positive asymmetrical peripheral arthritis, and 20% of patients with B27 positive uveitis; the B27 positive lymphocytes of clinically normal people were not lysed.²³ The strain ATCC 27736 has been widely used in our earlier studies concerning the pathogenesis of AS.^{3,4,6} *E coli* and *P mirabilis* strains were clinical isolates from the Department of Medical Microbiology, Turku University, Turku, Finland. The antigen extracts were prepared as previously described.⁴

ELISA FOR ANTIBODIES AGAINST *K PNEUMONIAE*, *E COLI*, AND *P MIRABILIS*

IgM, IgG, and IgA class antibodies against *K pneumoniae*, *E coli*, and *P mirabilis* were analysed principally as we have described earlier.^{3,4} The polystyrene microtitre plates (Nunc, Roskilde, Denmark) were coated with SDS-extracts of *K pneumoniae*, *E coli* or *P mirabilis* in phosphate buffered saline (PBS; 0.1 mol/l, pH 7.5; 100 μ l/well) overnight at 37°C. The plates were saturated with 1% normal sheep serum in PBS (NSS-PBS; 100 μ l/well). Patient jejunal perfusion fluid samples at 1:5 dilution (75 μ l/well; two replicate wells) were incubated on the plates for two hours at 37°C. Thereafter, 75 μ l/well of alkaline phosphatase conjugated swine antihuman IgM, IgG or IgA (Orion Diagnostica, Espoo, Finland), diluted 1:250, 1:500, and 1:250, respectively, were incubated on the plates overnight at room temperature. Fresh *p*-nitrophenyl phosphate in diethanolamine-MgCl₂ buffer solution (1 mg/ml; Orion Diagnostica) was added, incubated for 30 minutes at 37°C and the reaction stopped with 1 M sodium hydroxide. The optical density was measured with a Titertek Multiscan Photometer (Labsystems, Helsinki, Finland) at a wavelength of 405 nm.

STATISTICAL ANALYSIS

The mean concentrations of antibodies in different groups were compared with the Student's *t* test. The effect of sulphasalazine on antibody concentrations and on clinical and laboratory parameters within group was analysed using the paired Student's *t* test. Differences were considered significant when $p < 0.05$.

Results

ENTEROBACTERIAL ANTIBODY CONCENTRATIONS IN JEJUNAL PERFUSION FLUIDS FROM AS PATIENTS, RA PATIENTS, AND HEALTHY CONTROLS

K pneumoniae

The AS patients had statistically significantly higher concentrations of jejunal IgM, IgG, and IgA class antibodies against *K pneumoniae* 43 and 21, and IgM and IgA class antibodies against *K pneumoniae* 27736, when compared with the healthy controls. When compared with the RA patients the AS patients had higher concentrations of IgA and IgG class antibodies against *K pneumoniae* 21, as well as

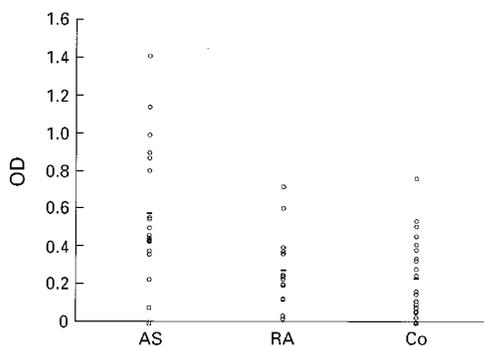


Figure 1 *Klebsiella pneumoniae* 27736 specific IgA class antibody concentrations in jejunal perfusion fluid samples of patients with ankylosing spondylitis (AS) and rheumatoid arthritis (RA) and of healthy controls (Co). Optical densities (OD) at 405 nm are given. Horizontal lines represent mean values of all patients or controls.

higher IgG class concentration with the *K pneumoniae* 43 and higher IgA class concentration with the *K pneumoniae* 27736 (fig 1, table 1).

The RA patients had higher concentrations of IgM class antibodies against all three *Klebsiella* serotypes when compared with the healthy controls (table 1).

E coli and *P mirabilis*

The AS patients had statistically significantly higher jejunal IgM and IgA class antibody concentrations against both *E coli* and *P mirabilis* when compared with the healthy controls; no statistically significant differences were seen between the patients with AS and RA. When the RA patients were compared with the healthy controls, the patients had higher IgM class jejunal antibody concentrations against *P mirabilis* (table 1).

EFFECT OF SULPHASALAZINE TREATMENT ON THE ENTEROBACTERIAL ANTIBODY CONCENTRATIONS IN JEJUNAL PERFUSION FLUIDS

No statistically significant changes were seen in the jejunal antibody concentrations of AS patients of IgM, IgG or IgA classes against *K pneumoniae* 27736, 21, or 43, nor against *E coli* or *P mirabilis* after the three month treatment

Table 1 Enterobacterial antibody concentrations in jejunal perfusion fluids of patients with ankylosing spondylitis (AS), rheumatoid arthritis (RA), and healthy controls

Antibodies against	Patients with AS (n=19) mean (SD)	Patients with RA (n=22) mean (SD)	Healthy controls (n=22) mean (SD)
<i>K pneumoniae</i> 27736			
IgM	0.256 (0.185) ^A	0.288 (0.204) ^B	0.149 (0.075)
IgA	0.572 (0.360) ^{D,G}	0.271 (0.201)	0.227 (0.209)
IgG	0.148 (0.268)	0.083 (0.100)	0.123 (0.088)
<i>K pneumoniae</i> 43			
IgM	0.077 (0.064) ^D	0.053 (0.054) ^B	0.017 (0.021)
IgA	0.160 (0.146) ^C	0.082 (0.078)	0.060 (0.044)
IgG	0.016 (0.043) ^{B,F}	-0.016 (0.036)	-0.014 (0.022)
<i>K pneumoniae</i> 21			
IgM	0.129 (0.188) ^A	0.167 (0.109) ^E	0.032 (0.042)
IgA	0.261 (0.155) ^{D,F}	0.134 (0.122)	0.122 (0.087)
IgG	0.116 (0.054) ^{E,I}	0.028 (0.049)	0.015 (0.037)
<i>E coli</i>			
IgM	0.263 (0.217) ^C	0.205 (0.234)	0.108 (0.072)
IgA	0.551 (0.329) ^C	0.374 (0.269)	0.304 (0.167)
IgG	0.055 (0.056)	0.043 (0.037)	0.068 (0.055)
<i>Proteus mirabilis</i>			
IgM	0.086 (0.088) ^A	0.103 (0.088) ^C	0.037 (0.036)
IgA	0.312 (0.249) ^A	0.266 (0.209)	0.177 (0.114)
IgG	0.031 (0.038)	0.036 (0.040)	0.029 (0.026)

Mean (SD) of the absorbance values at wavelength 405 nm are given. n, Number of patients. p≤0.05^A, 0.01^B, 0.005^C, 0.001^D, 0.0001^E, when compared with healthy controls. p≤0.05^F, 0.01^G, 0.005^H, 0.0001^I when compared with rheumatoid arthritis.

with sulphasalazine. The clinical and laboratory parameters that were evaluated showed a significant reduction of the laboratory inflammatory activity (mean (SEM)); ESR was before and after treatment 49 (10) mm 1st h and 28 (11) mm 1st h, respectively, and haptoglobin was 3.9 (0.4) g/l and 2.9 (0.4) g/l, respectively. Significant improvement of some clinical variables was also noted; thorax expansion was before and after treatment 3.3 (0.4) mm and 4.5 (0.4) mm, respectively, and the number of peripheral synovitis was 1.5 (0.7) and 0.6 (0.4), respectively. Schrober's test and morning stiffness were not significantly improved.

Discussion

In this study we have, for the first time, found direct evidence for an abnormal mucosal humoral immune response particularly to *K pneumoniae* in patients with AS. We found increased antibody concentrations of IgM, IgG, and IgA classes against *K pneumoniae*, and to a smaller extent of IgM and IgA classes against *E coli* and *P mirabilis* in jejunal perfusion fluids of patients with AS when compared with healthy controls. More importantly, when compared with the patients with RA the IgG and IgA class jejunal antibody concentrations were significantly higher only against *K pneumoniae* in patients with AS. Thus, one part of the recently observed increases in concentrations of total IgM, IgA, secretory IgA, and secretory component in jejunal fluids of the AS patients²⁵ should represent *Klebsiella* specific antibodies. A small part of these earlier detected increases in the total jejunal antibody concentrations may result from *E coli* and *P mirabilis* specific antibodies; however, these antibody values were clearly not as significantly and noticeably increased as those against *K pneumoniae* and hence may reflect only cross reactions with *Klebsiella*, as Gram negative bacteria are known to share common antigens.

The present findings corroborate with variety of earlier findings suggesting a role for gut and mucosal immune defence mechanisms and especially for *K pneumoniae* micro-organisms in the pathogenesis of AS.^{2,5,9-12,29} Also our earlier studies showing considerably increased *K pneumoniae* specific IgA class antibody concentrations in serum samples of AS patients are indirectly confirmed with the present findings.^{3,4,6}

The presence of increased enterobacterial antibody concentrations in jejunal perfusion fluids may refer to infection or inflammation in the gut in patients with AS. In patients with AS both ileal and colonic mucosa show often macroscopic and microscopic signs of inflammation, which may resemble an early form of Crohn's disease.^{30,31} The acute inflammation in both ileal and colonic mucosa in AS has been shown to be associated with an increase of IgA and IgG producing cells, and the chronic inflammation with an increase not only of IgA and IgG, but also of IgM producing cells.³² As local antigens stimulate a local immunocyte reaction in the gut, the present findings of increased *K pneumoniae* specific antibody con-

centrations of all IgM, IgG, and IgA classes are in line with the finding that gut inflammation in AS is mostly of chronic type.³⁰

Although considerable scepticism can be raised on the role of *Klebsiella sp* in the pathogenesis of AS,⁸ it is intriguing to speculate what are the mechanisms by which *Klebsiella* bacteria or the antibodies evoked against them, or both, might produce the lesions of the disease. *Klebsiella* infection in the gut may be a causative factor for the previously described enhanced permeability of the gut in patients with AS,⁹ similar to that seen after *Yersinia enterocolitica*.³³ This could then allow certain antigenic material, such as *Klebsiella* lipopolysaccharide, to pass through mucosa into circulation. Correspondingly, in addition to increased jejunal antibody production persisting serum antibody concentrations against *Klebsiella* in AS²⁻⁶ and similarly against specific arthritis triggering microbes in reactive arthritis,³⁴ are detected. If the mechanisms for both AS and reactive arthritis are similar, microbial antigens, such as *Klebsiella* lipopolysaccharide, are transported into the sites of inflammation—that is, axial as well as peripheral joints of these patients. After this, joint complications are initiated according to, for example, molecular mimicry theory,² or by yet unknown mechanism, similarly to that seen in reactive arthritis.³⁴

Although the disease activity measured by clinical and laboratory parameters in the present AS patients improved significantly during the three month treatment with sulphasalazine,²⁵ we did not find any significant changes in the Enterobacterial antibody concentrations during the treatment. This may be against the direct role of *Klebsiella* in determining the flare of pre-existing disease. However, in another study using the same perfusion technique the concentrations of total jejunal IgM, IgA, secretory IgA, and secretory component revealed statistically significant decreases during the treatment.²⁵ Furthermore, we have recently shown in another AS patient population that Enterobacterial antibody concentrations in serum samples did decrease during the 26 week sulphasalazine treatment.³ Thus, the treatment period in the present study may have been too short to significantly decrease the jejunal perfusion fluid antibody concentrations. Moreover, it was recently shown that sulphasalazine exerts inhibitory effect only on systemic immunoglobulin production, whereas no effects on mucosal immunoglobulin production are observed.²¹ It is also possible that the clinical effect of sulphasalazine is mediated by other mechanisms than reduction of antibody production, for example by modifying cytokine or granulocyte protein release.

Patients with RA have previously been shown to possess increased serum antibody concentrations against *P. mirabilis*.^{17,18} In our study the patients with RA had higher IgM class jejunal perfusion fluid antibody concentrations against all of the studied Enterobacteria when compared with the healthy controls, suggesting an enhanced

mucosal immune response in RA. The overall increased antibacterial antibody concentrations may in part reflect cross reactivity between different Gram negative bacterial species and do not exclude a specific role for *P. mirabilis* in RA. A possibility is, however, that the gut mucosa in RA by some reason is activated and that the increased antibacterial antibody values mirror a polyclonal B cell activation as recent results show a significant increase in total IgM in RA jejunal perfusion fluid (Kanerud *et al*, unpublished data). Different kinds of stimuli of the gastrointestinal mucosa do not often cause IgM production. The recently reported increased expression of heat shock protein 60 in jejunal epithelium in untreated RA patients¹⁵ brings further evidence for this hypothesis. Furthermore, an interference on the antibody measurements of rheumatoid factors (RF) present in perfusion fluid does not seem likely, as the perfusion fluid concentrations of IgA-RF were not different in healthy controls, AS, or RA patients, and were approximately 0.3% of the upper normal serum concentration for IgA-RF (data not shown). The perfusion fluid concentrations of IgM-RF were increased about two times in RA patients compared with healthy controls and AS patients. However, the highest perfusion fluid concentration of IgM-RF in RA patients was only 0.8% of the upper normal serum value for IgM-RF.

It cannot be ruled out that use of NSAIDs in our patients may have had an influence on antibody values because of their effect towards increased gut permeability,³⁵ as some of our patients had been taking NSAIDs before perfusion. However, no significant differences were recently seen in the frequency of endoscopic lesions between patients with and without previous NSAID use,³⁰ although associations between the use of NSAIDs and endoscopic lesions have been reported.³⁶ The finding of increased IgG and IgA class antibody concentrations in AS patients against only *K. pneumoniae* when compared with patients with RA emphasises particularly the role of *K. pneumoniae* in the pathogenesis of AS. On a contradictory note to this study O'Mahony *et al*³⁷ did not find any evidence for an increased antibody response against *Klebsiella* in whole stool lavage fluids of patients with AS.

The jejunal antibody concentrations against the three studied *K. pneumoniae* strains with capsular types 21, 30, and 43 were relatively similarly increased in AS patients. Of the 77 different capsular types of *Klebsiella*, three (K26, K36 and K50) have been recently associated with HLA B27 positive AS.²⁹ Thus, if jejunal antibodies against these capsular types of *Klebsiella* had been studied specifically, the increases in antibody concentrations might have been even more clear and the findings in the samples of RA patients different. Further studies on this area are hence warranted.

In summary, this report provides direct evidence for an abnormal mucosal humoral immune response particularly to *K. pneumoniae* in patients with AS. This study confirms many

of the previous serum antibody studies in patients with AS suggesting a role for *K pneumoniae* in gut and mucosal immune defence mechanisms in the pathogenesis of AS. The final proof has, however, to come by demonstrating direct involvement of bacteria in the pathogenesis, as has been shown in reactive arthritis.³⁴ This report also presents further evidence for an abnormal activation of the jejunal mucosa in RA that merits further research.

We thank Erkki Nieminen, M Sc, for help with statistical analyses and Mrs Tiina Lähde for technical assistance. Our study was supported by the Finnish Academy, the Sigrid Juselius Foundation, the Paulo Foundation, the Finnish Cultural Foundation, the Rheumatism Research Foundation, the Swedish Association Against Rheumatism, the King Gustaf V's 80-year Fund, Professor Nanna Svartz' Foundation, the Alex and Eva Wallström's Foundation, the Ulla and Gustaf af Ugglas' Fund, Börje Dahlin's Fund, 'Förenade Liv' Mutual Group Life Assurance Company, Stockholm, Sweden and the European Commission BIOMED 2 Programme.

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