EXTENDED REPORT

The first double-blind, randomised, parallel-group certolizumab pegol study in methotrexate-naive early rheumatoid arthritis patients with poor prognostic factors, C-OPERA, shows inhibition of radiographic progression

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ABSTRACT

Objectives To evaluate efficacy and safety of combination therapy using certolizumab pegol (CZP) and methotrexate (MTX) as first-line treatment for MTX-naive, early rheumatoid arthritis (RA) with poor prognostic factors, compared with MTX alone.

Methods MTX-naive, early RA patients with ≤12 months persistent disease, high anti-cyclic citrullinated peptide, and either rheumatoid factor positive and/or presence of bone erosions were enrolled in this multicentre, double-blind, randomised placebo (PBO)-controlled study. Patients were randomised 1:1 to CZP+MTX or PBO+MTX for 52 weeks. Primary endpoint was inhibition of radiographic progression (change from baseline in modified Total Sharp Score (mTSS CFB)) at week 52. Secondary endpoints were mTSS CFB at week 24, and clinical remission rates at weeks 24 and 52.

Results 316 patients randomised to CZP+MTX (n=159) or PBO+MTX (n=157) had comparable baseline characteristics reflecting features of early RA (mean disease duration: 4.0 vs 4.3 months; Disease Activity Score 28-joint assessment (DAS28)) (erythrocyte sedimentation rate (ESR)): 5.4 vs 5.5; mTSS: 5.2 vs 6.0). CZP+MTX group showed significantly greater inhibition of radiographic progression relative to PBO+MTX at week 52 (mTSS CFB=0.36 vs 1.58; p<0.001) and week 24 (mTSS CFB=0.26 vs 0.86; p=0.003). Clinical remission rates (Simple Disease Activity Index, Boolean and DAS28 (ESR)) of the CZP+MTX group were significantly higher compared with those of the PBO+MTX group, at weeks 24 and 52. Safety results in both groups were similar, with no new safety signals observed with addition of CZP to MTX.

Conclusions In MTX-naive early RA patients with poor prognostic factors, CZP+MTX significantly inhibited structural damage and reduced RA signs and symptoms, demonstrating the efficacy of CZP in these patients.

Trial registration number (NCT01451203).

INTRODUCTION

The emergence of biological agents targeting inflammatory cytokines such as tumour necrosis factor (TNF), which play key roles in the pathogenesis of rheumatoid arthritis (RA), has been of great importance. The effectiveness of these agents at inhibiting joint damage progression, in addition to providing symptom relief, has brought a paradigm shift to RA treatment.1 Since joint damage progression is rarely reversible,2,3 earlier treatment with effective drugs would be relevant in clinical practice.

Treatment guidelines and recommendations published by the European League Against Rheumatism (EULAR), the American College of Rheumatology (ACR) and the Japan College of Rheumatism (JCR) recommend that all patients with RA should be treated with conventional synthetic disease-modifying antirheumatic drugs (csDMARDs) from the point of diagnosis.4-6 Methotrexate (MTX), either as monotherapy or in combination with other csDMARDs, should be given first-line unless contraindicated. For patients at risk of rapid disease progression, addition of a biologic can be considered if treatment targets are not achieved using csDMARDs alone. Earlier recognition of RA has become possible for many patients by application of the 2010 ACR/EULAR classification criteria.7,8 Ultimately, early diagnosis and intervention with effective therapeutics maximises the chance of preventing joint damage progression in order to maintain quality of life.9

Certolizumab pegol (CZP) is a humanised anti-TNF antibody fragment conjugated to polyethylene glycol, approved for treatment of inflammatory diseases, including RA. Efficacy and safety of CZP in established RA has been demonstrated in several studies10-13 but is previously unreported in MTX-naive early RA.

Herein, we conducted the Certolizumab–Optimal Prevention of joint damage for Early RA (C-OPERA) study, designed to include MTX-naive, early RA patients with poor prognostic factors. The study was double-blind (DB) for 1 year, with either CZP or placebo (PBO) administered concomitantly with MTX. Following this, the trial was open label...
for another year, whereby completers of the DB period were maintained on MTX monotherapy after discontinuing CZP. This report comprises results from the 1-year DB period.

METHODS
Patients
Eligible patients were 20–64 years old with RA fulfilling the 2010 ACR/EULAR classification criteria. Patients had ≤12 months of persistent arthritic symptoms, at least moderate disease activity (Disease Activity Score 28-joint assessment (DAS28) with erythrocyte sedimentation rate (ESR) ≥3.2) and were MTX-naive. In addition, patients had poor prognostic factors: high anti-cyclic citrullinated peptide (anti-CCP) antibody (≥3× upper limit of normal (ULN)) and either positive rheumatoid factor (RF) and/or presence of bone erosions (based on radiographs of hands/feet, assessed by the investigator at each study site). Patients with high risk of infection (current use of antibiotics, history of serious/chronic infection treated by antibiotics within 6 months) or history of/active tuberculosis or malignancy, and patients previously exposed to MTX, leflunomide or biological DMARDs were excluded.

Study design
C-OPERA, a phase III multicentre study (NCT01451203), was DB and PBO-controlled to week 52, with a subsequent 52-week follow-up period when patients received MTX monotherapy. Patients were randomised 1:1 to either CZP+MTX or PBO +MTX (MTX monotherapy) via an interactive web-response system. Drug administration was performed by dedicated non-blinded persons due to distinguishability of CZP from PBO; however, these personnel were not permitted to engage in other study activities to maintain blinding. All investigators and healthcare professionals involved in safety/efficacy assessments were blind to study medications. Study drugs were subcutaneously administered as a loading dose of CZP 400 mg or PBO at weeks 0, 2 and 4, followed by CZP 200 mg or PBO every two weeks from week 6 to week 50. Oral MTX (8 mg/week) was initiated simultaneously. MTX dose was increased to 12 mg/week at week 4, 16 mg/week at week 8 and maintained at 16 mg/week thereafter. As per protocol, dose escalation of MTX could be postponed only for safety concerns or due to adverse events (AEs), in which case the dose was maintained at the highest tolerable dose. Patients who did not achieve an improvement of symptoms at or after week 24, that is, if moderate or higher disease activity (DAS28 (ESR) ≥3.2) persisted ≥4 weeks, in either treatment arm, were eligible to receive rescue treatment with open-label CZP after discontinuing DB period. Co-administration of any DMARD except MTX was prohibited during the study.

The study was conducted from October 2011 to August 2013 at 73 sites in Japan after approval by the Institutional Review Board designated by each site, in compliance with ethical principles of the Declaration of Helsinki and Good Clinical Practice. All patients provided written informed consent.

Efficacy assessments
The primary efficacy endpoint was inhibition of joint damage progression, assessed as change from baseline (CFB) in van der
Inhibition of joint damage progression

For the primary endpoint, mTSS CFB (mean±SD) at week 52 was 0.36±2.70 with CZP+MTX and 1.58±4.86 with PBO+MTX, statistically significant by ANCOVA on the ranks (p<0.001). At week 24, smaller mTSS CFB was observed with CZP+MTX compared with PBO+MTX (0.26±1.53 vs 0.86±2.37; p=0.003) (figure 2A).

The percentage of patients with non-progression (mTSS CFB ≤0.5) at week 52 was higher with CZP+MTX than with PBO+MTX (82.9% vs 70.7%; p=0.011 by Fisher’s exact test).

**Table 1** Demographics and baseline characteristics (FAS population)

<table>
<thead>
<tr>
<th>Parameter</th>
<th>CZP+MTX (n=159)</th>
<th>PBO+MTX (n=157)</th>
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<tr>
<td>Age (years)</td>
<td>49.4±10.6</td>
<td>49.0±10.3</td>
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<tr>
<td>Female, n (%)</td>
<td>129 (81.1)</td>
<td>127 (80.9)</td>
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<tr>
<td>Weight (kg)</td>
<td>57.4±11.3</td>
<td>57.4±10.6</td>
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<td>BMI (kg/m²)</td>
<td>22.4±3.9</td>
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<tr>
<td>RA duration (months)*</td>
<td>4.0±2.9</td>
<td>4.3±2.8</td>
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<tr>
<td>≥3 months, n (%)</td>
<td>60 (37.7)</td>
<td>57 (36.3)</td>
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<tr>
<td>3–6 months, n (%)</td>
<td>60 (37.7)</td>
<td>56 (35.7)</td>
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<td>6–12 months, n (%)</td>
<td>39 (24.5)</td>
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<td>Previous DMARDs use, n (%)</td>
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<td>Steroid use at baseline, n (%)</td>
<td>26 (16.4)</td>
<td>31 (19.7)</td>
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<td>Anti-CCP antibody positive, n (%)</td>
<td>159 (100.0)</td>
<td>157 (100.0)</td>
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<tr>
<td>High titre (≥3 times ULN), n (%)</td>
<td>159 (100.0)</td>
<td>157 (100.0)</td>
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<tr>
<td>Titre (U/mL)†</td>
<td>176.7±107.5</td>
<td>185.2±107.7</td>
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<td>RF positive, n (%)</td>
<td>153 (96.2)</td>
<td>146 (93.0)</td>
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<tr>
<td>High titre (≥3 times ULN), n (%)</td>
<td>119 (74.8)</td>
<td>117 (74.5)</td>
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<td>Titre (U/mL)†</td>
<td>182.5±177.4</td>
<td>167.3±166.5</td>
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<td>Bone erosion (judged by physician), n (%)</td>
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<td>TJC (≥2 joints)</td>
<td>8.4±6.1</td>
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<td>PhGADA (mm)</td>
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<td>1.3±1.8</td>
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<td>MMP-3 (mg/mL)‡</td>
<td>130.4±135.4</td>
<td>185.4±214.9</td>
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<td>DAS28 (ESR)</td>
<td>5.4±1.1</td>
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<td>SDAI</td>
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<td>HAQ-DI score</td>
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<td>mTSS</td>
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<td>Negative (≤0.5), n (%)</td>
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<td>56 (35.7)</td>
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<td>Erosion score</td>
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<td>Negative (≤0.5), n (%)</td>
<td>82 (51.6)</td>
<td>80 (51.0)</td>
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<tr>
<td>Joint space narrowing score</td>
<td>2.9±5.8</td>
<td>3.2±8.6</td>
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<tr>
<td>Negative (≤0.5), n (%)</td>
<td>87 (54.7)</td>
<td>82 (52.2)</td>
</tr>
<tr>
<td>Average weekly MTX dose (mg/week)</td>
<td>11.6 (3.0)</td>
<td>11.6 (2.7)</td>
</tr>
</tbody>
</table>

Values are mean±SD unless otherwise indicated.

*Time from onset of persistent arthritic symptoms.
†Data exceeding measurement upper limit (≥300 U/mL) are regarded as 300 U/mL.
‡Normal range: 36.9–121 (male), 17.3–59.7 (female) ng/mL.

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**RESULTS**

**Patient baseline demographics/characteristics**

Of 319 patients randomised, 316 (159, CZP+MTX; 157, PBO+MTX) received study drug. Of these, 111 patients (69.8%) in the CZP+MTX group and 73 patients (46.5%) in the PBO+MTX group completed the 52-week DB period (figure 1). Fewer PBO+MTX patients completed DB period than CZP+MTX patients, mainly due to the increased number of discontinuations (figure 1).

Treatment groups were generally balanced with respect to demographic and baseline characteristics (table 1). Overall, patients’ mean age was 49 years (range 21–64 years). Mean RA duration (time from onset of persistent arthritic symptoms) was approximately 4 months in both groups. All patients had high titre (≥3 times ULN) anti-CCP antibody; approximately 95% were RF positive. Bone erosion was confirmed in 50% of patients. Mean±SD DAS28 (ESR) was 5.4±1.1 for CZP+MTX and 5.5±1.2 for PBO+MTX. Mean (median) mTSS in CZP+MTX and PBO+MTX groups was 5.2 (1.3) and 6.0 (1.3), and no radiographic damage (mTSS ≤0.5) was observed in 35.2% and 35.7% of patients, respectively. There was no difference between groups in mean baseline body weight (57.4±11.3 in CZP+MTX, 57.4±10.6 in PBO+MTX; kg, mean±SD) or average weekly MTX dose throughout the study period (11.6±3.0 in CZP+MTX, 11.6±2.7 in PBO+MTX; mg/week).

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**Safety assessments**

All undesirable events during the DB period were recorded as AEs or serious AEs. Safety was evaluated by laboratory tests (haematological, blood chemistry, urinalysis), chest radiographs and ECGs.

**Statistical analyses**

Sample size was based on expected difference in mTSS CFB at week 52 between CZP and PBO groups of 2.57±6.75. Verification of superiority of CZP+MTX over MTX monotherapy for primary endpoint would then have 90% power at a two-sided significance level of 5% with 146 patients per group (thus the planned number was 150 patients).

Primary analyses used the full analysis set, defined as patients who received ≥1 dose of study drug and provided any efficacy data thereafter. For the imputation of missing data, linear extrapolation was used for mTSS and last observation carried forward used for other efficacy variables. Non-responder imputation was added as a sensitivity analysis for clinical remission analyses. For the primary endpoint, an analysis of covariance (ANCOVA) model was used for mTSS CFB by converting measured values to rank scores and using treatment group as a covariate. Safety analysis was added as a sensitivity analysis for clinical remission and ACR20/50/70 response.
Individual patient data are presented in the cumulative probability plot of mTSS CFB at week 52 (Figure 2B). In addition, 3.2% of patients with CZP+MTX exhibited RRP (defined as YP >5), compared with 10.8% with PBO+MTX (p=0.008).

**Clinical responses**

Higher ACR/EULAR remission rates were observed with CZP+MTX compared with PBO+MTX (SDAI remission at week 24: 48.4% vs 29.3%, p<0.001; at week 52: 57.9% vs 33.8%, p<0.001, respectively, and Boolean remission at week 24: 36.5% vs 22.3%, p=0.007; at week 52: 45.3% vs 28.0%, p=0.002, respectively). Similarly, DAS28 (ESR) remission rates at week 24 were approximately 20% higher with CZP+MTX than PBO+MTX (52.8% vs 30.6%; p<0.001); this difference was maintained until week 52 (57.2% vs 36.9%; p<0.001) (Figure 3A).

ACR responses were higher at all time points with CZP+MTX compared with PBO+MTX, and a significant difference between the two arms was observed from week 1 in ACR20 and ACR50, and week 2 in ACR70 (Figure 3B–D). ACR responses at week 52 in CZP+MTX vs PBO+MTX groups were 78.6% vs 68.8% (p=0.055 by Fisher’s exact test) in ACR20, 73.0% vs 51.6% (p<0.001) in ACR50 and 57.2% vs 34.4% (p<0.001) in ACR70, respectively. A similar time course for HAQ-DI remission rates is shown in Figure 3E.

**Subgroup analyses for joint damage**

Subgroup analyses of mTSS CFB at week 52, stratified by baseline parameters including anti-CCP antibody, RF, C-reactive protein (CRP), matrix metalloproteinase (MMP)-3, HAQ-DI, DAS28 (ESR), mTSS and average concomitant MTX dose are
shown in table 2. A comparison of mTSS CFB between treatment groups consistently showed less progression with CZP + MTX compared with PBO+MTX in all categories of these parameters, except for patients with baseline DAS28 (ESR) <3.2 (a small number of patients, n=8). Meanwhile, intra-parameter comparison of mTSS CFB revealed a trend of greater mTSS CFB with higher titres of anti-CCP and RF, higher serum CRP and MMP-3, and higher HAQ-DI, DAS28 (ESR) and mTSS at baseline, which was greater in the PBO+MTX group relative to CZP+MTX. In contrast, with regard to concomitant MTX dose, the expected dose-dependent inhibitory effect was not found in either group.
Safety

Study drug exposure during treatment period was greater in the CZP+MTX group (136.2 patient-years) compared with the PBO+MTX group (116.0 patient-years), as more PBO+MTX-treated patients withdrew (mainly due to lack of efficacy). Overall, 153 patients (96.2%) in the CZP+MTX group and 148 patients (94.3%) in the PBO+MTX group reported any AEs. Serious AEs were reported by 13 patients (8.2%) in the CZP+MTX group (six serious events). There was an apparent correlation between CZP+MTX (four serious events) and PBO+MTX (two serious events). There was no difference in the severity pattern of pneumonia or serious haematological disorders between groups. No cases of tuberculosis, demyelinating disorders, lupus-like syndrome, serious allergic reactions or serious haematological disorders were reported.

DISCUSSION

Compared with similar studies of anti-TNF agents in MTX-naive early RA patients, C-OPERA is characterised by two unique features. First, as far as we know, this is the first randomised controlled trial (RCT) to employ the 2010 ACR/EULAR classification criteria as the main inclusion criteria. Thus, patients enrolled in C-OPERA had very early stages of disease, strictly defined as the time from initiation of persistent arthritic (hepatic disorders: 42.8% with CZP+MTX, 44.6% with PBO+MTX; ‘investigations’ system organ class in hepatic disorders: 6.9% with CZP+MTX; 8.9% with PBO+MTX), indicating that there was no increased risk with the addition of CZP. No patients were withdrawn from the study due to hepatic events, and almost all events were resolved by temporarily discontinuing or reducing MTX dose. No cases of tuberculosis, demyelinating disorders, lupus-like syndrome, serious allergic reactions or serious haematological disorders were reported.
symptoms identified by medical interview (RA duration ≤12 months). Approximately 35% of patients had no joint damage (mTSS ≤0.5) in baseline radiographs, and mean baseline mTSS of 5.6 units (5.2–6.0) was the lowest among similar RCTs of biologics (approximately 10–20 units).18–22 Second, we intentionally enrolled only patients with high anti-CCP antibody titres, which is highly specific for RA,21–24 compensating for a relatively low specificity of classification criteria. Since positive anti-CCP antibody predicts poor prognosis and rapid progression,25–28 these patients are more likely to require and benefit from aggressive treatment during early disease.

Regarding radiographic joint damage, a statistically significant inhibitory effect was consistently confirmed in patients receiving CZP by analyses of mTSS CFB, non-progression rate, YP and RR rate. In addition, an absolutely small mean YP (0.37) and high non-progression rate (82.9%) at week 52 in patients with CZP indicate that concomitant use of CZP with MTX brings proven benefits for inhibition of joint damage progression.

Overall, clinical remission rates were relatively high in patients receiving MTX monotherapy (SDAI: 33.8%; Boolean: 28.0%; DAS28 (ESR): 36.9% at week 52; figure 3A) compared with similar RCTs of biologics18–22 but were higher in the subgroup receiving CZP (SDAI: 57.9%; Boolean: 45.3%; DAS28 (ESR): 57.2%). Moreover, patients receiving CZP had better ACR responses and HAQ-DI remission rates as early as week 1.

By protocol, MTX dose was increased to 16 mg/week at week 8, unless there were safety concerns. Consequently, average MTX dose throughout the 52 weeks was approximately 12 mg/week, relatively low compared with reports from similar early RA studies, mainly conducted in the USA or the EU (15–17 mg/week).18–22 However, considering the difference in average patient body weight between C-OPERA (57 kg) and the above studies (74–79 kg), actual MTX dose per body weight was similar. Moreover, it has been reported that concentrations of MTX polyglutamates, a potential marker for MTX use, in red blood cells are relatively higher in the Japanese study compared with the US study, suggesting a lower dose of MTX may be sufficient in Japanese patients.30 This is the first Japanese study to mandate use of maximum MTX dose (16 mg/week) by protocol, which may explain better MTX monotherapy results relative to those in previous Japanese studies.

Results of subgroup analyses stratified by MTX dose for mTSS CFB at week 52 (table 2) failed to prove the dose-dependent effect of MTX on joint damage inhibition, regardless of concomitant CZP. This was despite higher DAS28 (ESR) remission rates at week 52 with high-dose MTX (>12–16 mg/week) (42.9%) compared with lower doses (8–<12 mg/week) (30.5%) in patients on MTX monotherapy. Alternatively, the DAS28 (ESR) remission rates in patients with concomitant CZP were not different between high-dose and low-dose MTX groups (59.2% and 56.9%, respectively). It should be noted that MTX dose was not randomly selected, but only adjusted if there were issues of tolerability. There were some variations in baseline characteristics among the subgroups that could have affected the outcomes.

The Combination Therapy with Adalimumab in Subjects with Early Rheumatoid Arthritis (CONCERTO) study31 of adalimumab in early RA demonstrated a statistically significant trend of improved efficacy with increasing concomitant MTX dose, from 2.5 to 20 mg/week. However, clinical, functional and radiographic assessments at week 26 were similar between groups receiving 10 and 20 mg/week of concomitant MTX. This is consistent with our current findings from C-OPERA in terms of the lack of clear association between MTX dose and efficacy on joint damage inhibition, suggesting that higher doses of MTX may not always be necessary when administered with concomitant anti-TNF agents. However, this is far from conclusive, requires further investigation and may be limited to effects on joint damage progression.

The number of AEs per 100 patient-years was approximately 1.3–1.5 times higher in C-OPERA than the Japan RA Prevention of Structural Damage (J-RAPID) study.12 J-RAPID was similar to C-OPERA; it was conducted in Japanese patients with RA (although these patients had established RA and previous inadequate response to MTX), but average weekly MTX dose was lower (J-RAPID: 6–8 mg/week; C-OPERA: 12 mg/week). In the system, organ classes ‘infections and infestations’, ‘gastrointestinal disorders’ and ‘hepatobiliary disorders’ AEs were more frequently observed in C-OPERA than J-RAPID; these AEs were increased in both PBO and CZP arms, and there was no meaningful difference between the groups. This suggests that the increased frequency of these AEs in C-OPERA may have been associated with the higher MTX dose. Moreover, as all patients were MTX-naive at study entry, their tolerance to MTX treatment could not be anticipated. Of note, hepatic events, including abnormal investigations, were resolved by temporarily discontinuing or reducing MTX dose and no additional safety risk was identified with CZP based on the lack of a clinically significant difference between the two treatment groups in terms of the incidence or pattern of AEs.

Study limitations included not assessing the effect of CZP monotherapy, which is of interest in early RA treatment. As the current RA treatment recommendations suggest that MTX and/or conventional synthetic DMARDs should be used for initial treatment,3 32 a CZP monotherapy arm was not included in this study. These efficacy and safety findings from C-OPERA in MTX-naive early RA suggest that CZP could be used as possible first-line treatment concomitantly with MTX in patients with poor prognostic factors, as typified by high-titre anti-CCP antibody. Patients with higher disease activity, functional disability or bone erosion in the early stages of RA will have a higher chance of preventing joint damage and disease progression.

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