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## EXTENDED REPORT

# Safety, tolerability and potential efficacy of injection of autologous adipose-derived stromal vascular fraction in the fingers of patients with systemic sclerosis: an open-label phase I trial

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**ABSTRACT**

**Background** In patients with systemic sclerosis (scleroderma, SSc), impaired hand function greatly contributes to disability and reduced quality of life, and is insufficiently relieved by currently available therapies. Adipose tissue-derived stromal vascular fraction (SVF) is increasingly recognised as an easily accessible source of regenerative cells with therapeutic potential in ischaemic or autoimmune diseases. We aimed to measure for the first time the safety, tolerability and potential efficacy of autologous SVF cells local injections in patients with SSc with hand disability.

**Methods** We did an open-label, single arm, at one study site with 6-month follow-up among 12 female SSc patients with Cochin Hand Function Scale score >20/90. Autologous SVF was obtained from lipoaspirates, using an automated processing system, and subsequently injected into the subcutaneous tissue of each finger in contact with neurovascular pedicles. Primary outcome was the number and the severity of adverse events related to SVF-based therapy. Secondary endpoints were changes in hand disability and fibrosis, vascular manifestations, pain and quality of life from baseline to 2 and 6 months after cell therapy.

**Findings** All enrolled patients had surgery, and there were no dropouts or patients lost to follow-up. No severe adverse events occurred during the procedure and follow-up. Four minor adverse events were reported and resolved spontaneously. A significant improvement in hand disability and pain, Raynaud's phenomenon, finger oedema and quality of life was observed.

**Interpretation** This study outlines the safety of the autologous SVF cells injection in the hands of patients with SSc. Preliminary assessments at 6 months suggest potential efficacy needing confirmation in a randomised placebo-controlled trial on a larger population.

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classical and visible manifestation of the disease and is a large burden on global disability and social relationship. Hand disability has multifactorial origins with microvascular lesions, paroxysmal vasospasm or permanent ischaemia and subsequently digital ulcers (DU), skin sclerosis, tendons retractions, bone and articular involvement, and subcutaneous calcinosis.<sup>1-3</sup> In patients with SSc, radiological abnormalities included erosion (21%), joint space narrowing (28%), arthritis (18%), acro-osteolysis (22%), flexion contracture (27%) and calcinosis (23%).<sup>4</sup> All these lesions cause pain, functional impairment, aesthetic and psychological distress.<sup>1</sup> To date, therapeutic interventions for SSc hands have mainly focused on vascular manifestations, including Raynaud's phenomenon and DUs.<sup>5-9</sup> These treatments are often life-long therapies with considerable costs and potentially significant side effects. No antifibrotic drug is effective in SSc. Conventional immunosuppressive drugs effectively treat joint synovitis and sometimes even improve skin fibrosis but do not improve contractures of small joints.<sup>10</sup>

Most research priorities are aimed to prevent or treat life-threatening complications that arise from affection of internal organs; however, if asked, patients indicate that hand disability interfering with daily life and work matters most.<sup>11</sup> Thus, healthcare professionals should consider improving disability, patients' satisfaction and social comfort as clinically relevant objectives of therapy.

The delivery of autologous stem/progenitor cells into ischaemic tissue of patients is emerging as a novel therapeutic option for vascular diseases. To date, bone marrow-derived cells have mainly been used in such context<sup>12-14</sup> but are limited by the invasive nature of bone marrow harvest, the delay and quality management issues associated with cells culture.<sup>15</sup> In 2002, Zuk and colleagues<sup>16</sup> characterised a multipotent population of cells within the stromal vascular fraction (SVF) of adipose tissue, referred to adipose-derived stromal/stem cells (ADSC). In addition to multipotency, this population exerts paracrine proangiogenic effects through the increased expression of vascular endothelial

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growth factor, basic fibroblast growth factor and interleukin-6.<sup>17</sup> Moreover, these cells have anti-inflammatory effects that appear to be mediated through secretion of anti-inflammatory soluble factors such as interleukin-10, interferon- $\gamma$ , transforming growth factor- $\beta$  or prostaglandin E2, with dendritic cells immunomodulation, stimulation of regulatory T cells and inhibition of inflammatory cytokines synthesis.<sup>18</sup>

Results from the recent ACellDREAM<sup>19</sup> trial demonstrated the safety of autologous cultured ADSC in patients with critical limb ischaemia, with favourable secondary endpoints on efficacy. Recently, six patients with localised scleroderma (linear and plaque scleroderma, generalised morphea) have been locally treated with in vitro expanded autologous ADSC with a favourable safety profile and a significant improvement in the skin, at 1-year follow-up.<sup>20</sup>

Thus, adipose tissue-derived SVF may have potential efficacy against ischaemia and skin fibrosis, two major manifestations of SSc. We tested in this early phase clinical trial the safety and tolerability of local injections of autologous SVF cells in fingers and assessed preliminary efficacy outcomes in patients with SSc with diminished hand function.

## METHODS

### Study design and eligibility criteria

Twelve patients with SSc were enrolled from December 2012 through May 2013 in an open-label, single-arm and single study site. All subjects fulfilled the 1980 American College of Rheumatology criteria<sup>21</sup> or the 1988 LeRoy et al criteria.<sup>22</sup> All enrolled patients had a score of  $\geq 9$  according to the 2013 Classification criteria for SSc.<sup>23</sup> Subjects had previously received optimal treatment for digital vasculopathy, according to EULAR.<sup>5</sup>

Patients were eligible if they were aged  $>18$  and had a Cochin Hand Function Scale (CHFS)<sup>24</sup>  $>20/90$ . Exclusion criteria were new vasodilators or immunosuppressive therapy for SSc in the 3 months prior to enrolment or during the 6-month follow-up, surgical contraindication, clinical or radiological signs of digital infection, positive status for HIV, hepatitis B or C, HTLV1-2 or syphilis, pregnancy and body mass index  $<17$  kg/m<sup>2</sup>.

Patients were asked not to change their regular medications and physiotherapy of the hands during the trial. The study was approved by the local Ethics Committee and the French Drug Agency. The study was done in accordance with the Declaration of Helsinki and current Good Clinical Practices. An independent data monitoring committee ensured the integrity of the trial and safety of participants. All patients gave written informed consent before participation. This study is registered with ClinicalTrials.gov number NCT01813279.

### Procedures

#### Tissue collection and SVF preparation

Adipose tissue collection and SVF injection were conducted under conscious sedation; harvesting areas were anaesthetised. Harvesting was performed with a 10 mL syringe in a closed circuit using a 3 mm Coleman cannula with 2 terminal 4 mm $\times$ 2 mm openings with a two-way non-return AT valve, sterile tubing and a 250 mL collection bag. Once harvesting was complete, the bag was immediately transported to the registered Cell Therapy Unit. SVF was obtained within 2 h after lipoaspiration using the automated processing Celution800/CRS system (Cytori Therapeutics, San Diego, California, USA). Collected lipoaspirate was washed and enzymatically digested using GMP-grade reagents. Cells were concentrated, washed,

aseptically recovered and resuspended in 5–11 mL Lactate Ringer's solution. The final cell suspension was transferred into 10 syringes (1 mL) for injection. The remaining volume was used for sterility testing and biological characterisation. Total viable nucleated cell recovery and viability percentage were determined using the Nucleocounter NC100 (ChemoMetec, Denmark). Cellular components were identified by flow cytometry analysis (Beckman Navios instrument) with a panel of cell surface makers in agreement with International Federation for Adipose Therapeutics and Science (IFATS) and the International Society for Cellular Therapy (ISCT) recommendations.<sup>25</sup> The following markers (CD45, CD34, CD90, CD146, CD14) were used in combination with DRAQ5 and DAPI to exclude debris, red blood cells and dead cells. The frequency of adipose-derived mesenchymal-like stem cells was estimated using the Colony-Forming-Unit-Fibroblastic clonogenic assay.<sup>25</sup>

### SVF delivery

Entry points were preformed using a 25-gauge needle (0.5 mm $\times$ 16 mm). SVF cells were then injected using a 25-gauge (0.5 mm $\times$ 40 mm) reinforced cannula placed into the subcutaneous tissue in contact with the neurovascular pedicles: 0.5 mL SVF was injected into each lateral side of each digit, using a retro-tracing technique, from distal to proximal. Entry points were positioned at the metacarpophalangeal joint for the thumb, and the proximal interphalangeal joint where the palmar and dorsal skin joins for long fingers. Both hands were treated over a period of approximately 20 min.

### Assessment of safety, tolerability and efficacy

See online supplementary table S1.<sup>26–30</sup>

### Statistical analysis

See online supplementary text.

## RESULTS

### Characteristics of the patients and the SVF

All enrolled patients had surgery, and there were no dropouts or patients lost to follow-up. The population mainly included patients with limited cutaneous form of the disease and without severe organ damage (table 1). No patient was treated with  $>10$  mg steroids daily, cyclophosphamide or mycophenolate mofetil. Hand radiology revealed abnormalities in 10/12 patients (see online supplementary table S2). No patient had radial or ulnar occlusion on arterial Doppler ultrasound.

Patients received a mean of  $3.76 \pm 1.85 \times 10^6$  viable cells into each finger. The infused cells contained a mean of  $3.7 \pm 1.9\%$  CFU-F. Flow cytometry characterisation of cell subsets in SVF is shown in table 1.

### Safety and tolerance profile of autologous adipose-derived SVF injection

All patients were discharged from hospital 24 h after surgery. No serious AEs occurred during follow-up. Four minor AEs reported by four patients were potentially related to the procedure: two abdominal bruises induced by the lipoaspiration of respectively 7 and 15 days duration, one transient paresthesia on the lateral side of the left fifth finger persisting for 11 days postinjection and one pain located on the lateral side of the left thumb persisting for 13 days postinjection. These events spontaneously resolved. Abdominal lipoaspiration sample points healed in less than 7 days postsurgery and the points of entry for SVF injection healed as soon as D+1. Abdominal pain remained moderate and transient: visual analogue scale (VAS) of  $32 \pm 13$  on D+1,  $47 \pm 18$

**Table 1** Characteristics of the 12 patients with systemic sclerosis (SSc) and adipose tissue harvest

Demographic	
Number of patients, sex	12, all female
Age (years)	54.5±10.3 (34.0–68.0)
Body mass index (kg/m <sup>2</sup> )	22.0±2.1 (18.6–26.2)
Dominant hand	
Right-handed/left-handed	10 (83.3%)/2 (16.7%)
Main causes of hand disability	
1. Vascular aspects (Raynaud's phenomenon)	12 patients (100%)
2. Skin fibrosis (sclerodactyly)	11 patients (92%)
3. Musculoskeletal aspects (joint synovitis)	5 patients (42%)
Cardiovascular risk factors	
Tobacco	0 (0.0%)
Diabetes	0 (0.0%)
Arterial hypertension	0 (0.0%)
Disease history	
Disease duration from diagnosis (years)	9.9±7.0 (2.0–24.0)
Early SSc disease <4 years	3 (25%)
History of Raynaud's phenomenon (years)	14.3±7.7 (5.0–34.0)
Systemic sclerosis cutaneous subclassification: limited/diffuse	7 (58.3%)/5 (41.7%)
Pulmonary arterial hypertension or previous renal crisis	0 (0.0%)
Rodnan	
Global modified Rodnan skin score	13.9±9.8 (3–32)
Gastrointestinal	
Gastrointestinal reflux	9 (75%)
Hypotonia of the inferior oesophagus sphincter (manometry)	9/9 tested
Lung	
Total lung capacity <80%	0 (0%)
Lung capacity for carbon monoxide/alveolar ventilation <75%	5 (41.7%)
Fibrosis on high-resolution chest tomography (bronchiectasia and/or honeycombing)	2 (16.7%)
Serology	
Anti-nuclear antibodies positive (indirect immunofluorescence on HEp2)	12 (100%)
Anti-topoisomerase 1 (Scl70) antibodies positive (ELIA)	6 (50.0%)
Anti-centromere antibodies positive (ELIA)	4 (33.3%)
Anti-SSA antibodies positive (ELIA)	2 (16.7%)
Ongoing and previous systemic sclerosis medications	
Calcium-channel blockers	6 ongoing (50.0%), 11 previously (91.7%)
Bosentan	2 ongoing (16.6%), 4 previously (33.3%)
Prednisone <10 mg/day	3 ongoing (25.0%)
Methotrexate	1 ongoing (8.3%)
Iloprost infusion	0 ongoing, 8 previously (66.7%)
Adipose tissue harvest and cell characterisation	
Amount of adipose tissue harvest (mL)	181.3±50.8 (120–270)
Number of total viable nucleated cells (×10 <sup>6</sup> )	50.5±23.8 (16.7–92.6)
% of cell viability	88.0±4.8 (81.0–94.6)
Number of viable nucleated cells injected into each finger (×10 <sup>6</sup> )	3.76±1.85 (1.19–7.07)
% of CFU-F	3.7±1.9 (1.6–8.1)
% of blood leucocytes (CD45 CD34– cells)	49.1±18.0
% of endothelial progenitor cells (CD34brightCD146dimCD45-)	3.4±3.2
% of circulating endothelial cells (CD34dimCD146brightCD45-)	6.4±6.8
% of stromal cells (CD45-CD34brightCD146-CD90+)	36.0±14.5
% of resident macrophages (CD45+CD14+CD34dim)	5.1±2.3

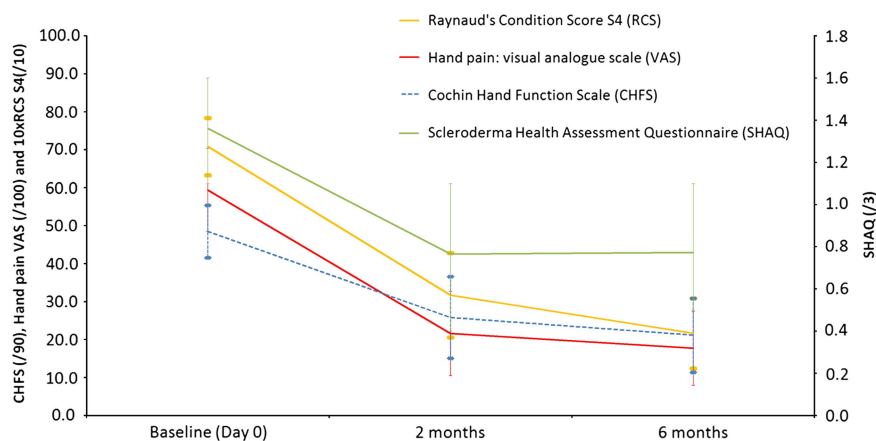
Data are mean±SD (minimum and maximum) or n (%) of patients.

on D+7 and 13±17 on D+21, and resolved completely at M2. Hand pain did not worsen. SVF injection led to a rapid decrease of the Raynaud's Condition Score (RCS) from 7.2±0.9 at baseline to 5.3±1.7 at D+7 and to 4.6±3.0 at D+21. The number of patients with normal finger colour increased from 5 at baseline to 8 at D+7 and D+21, without colour score worsening.

### Efficacy profile of autologous adipose-derived SVF injection Effects on quality of life

Patients perceived their general health status to be improved as shown by a 45.2% and 42.4% decrease of the Scleroderma Health Assessment Questionnaire (SHAQ) at M2 ( $p=0.001$ ) and at M6 ( $p=0.001$ ), respectively (figure 1).

**Figure 1** Six-month follow-up for patients with systemic sclerosis receiving stromal vascular fraction injection ( $p < 0.05$  at M2 and M6).



### Effects on hand disability and mobility

A 47.4% and 56.0% decrease of the CHFS at M2 and M6 in comparison to baseline was observed ( $p < 0.001$  for both). Grip strength increased at M6 with a mean improvement of  $+48 \pm 64$  kg for the dominant hand ( $p = 0.033$ ) and  $+40 \pm 3.5$  kg for the non-dominant hand ( $p = 0.002$ ) (table 2, figure 1). Similarly, an increase in pinch strength at M6 was noted with a mean improvement of  $+1.0 \pm 1.1$  kg for the dominant hand ( $p = 0.009$ ) and  $+0.8 \pm 1.2$  kg for the non-dominant hand ( $p = 0.050$ ). The Kapandji score did not change. A mean increase in first corner distance of  $13.3 \pm 11.6$  mm in dominant hand and  $17.0 \pm 14.7$  mm in non-dominant hand was noted at M6 ( $p = 0.002$  for both). Similarly, an increase of the sum of 2nd, 3rd and 4th corner distances was noted at M6 with a mean improvement of  $8.3 \pm 5.1$  mm in non-dominant hand ( $p < 0.001$ ) and of  $9.8 \pm 15.3$  mm in dominant hand, which however did not reach statistical significance ( $p = 0.073$ ). Finger flexion also improved but the difference did not reach statistical significance.

### Effect on fingers' oedema, skin fibrosis and digital ulcer

SVF injection induced a significant reduction in the circumference of the fingers at M2 and M6 in both hands. Although four patients presented at least 25% reduction of MRSS focused on hands at M6, change in the whole population did not reach statistical significance (table 3). Global MRSS decreased from baseline to M2 ( $p = 0.010$ ) and to M6 ( $p = 0.013$ ). The number of patients with normal finger colour increased from 5 at baseline to 9 at M2 and to 10 at M6; no patient worsened. Among subjects having at least one DU at inclusion, total number of DU decreased, from 15 DUs at baseline, 10 at M2 and 7 at M6 (see online supplementary table S3 for the details).

### Effect on Raynaud's severity, hand pain and capillaroscopic changes

Average reduction of the RCS from baseline was 53.7% at M2 ( $p < 0.001$ ) and 67.5% at M6 ( $p < 0.001$ ) (table 4, figure 1). Hand pain showed a significant decrease of 37.8% at M2 ( $p = 0.001$ ) and 41.7% at M6 ( $p < 0.001$ ). Also, 9 of the 12 patients with SSc had at least 25% improvement in all the following four parameters: CHFS, RCS, VAS pain and SHAQ.

Capillaroscopy evaluation showed no significant change in the number of nailfold capillary loops from baseline to M6. A slight decrease in the number of giant capillaries was noted at M6 for the dominant hand ( $p = 0.035$ ), while the decrease did not reach statistical significance for the non-dominant hand ( $p = 0.074$ ). A significant decrease in dystrophic capillaries was observed from

baseline to M6 in both hands ( $p = 0.047$  for both). Finally, a significant decrease in the vascular suppression score was observed from baseline to M6 ( $p = 0.01$  for the dominant hand and  $p = 0.003$  for the non-dominant hand).

### DISCUSSION

This study demonstrates that injection of autologous SVF from adipose tissue into the fingers of patients with SSc can be performed safely and is well tolerated. The only safety-related events were minor bruising, consistent with what is normally seen following lipoaspiration, and transient finger discomfort. Importantly, a priori efficacy outcomes variables revealed a decrease of ~50% in CHFS, Raynaud's severity and hand pain at 2 months with persisting benefits at 6 months. To our knowledge, SVF is the first treatment improving such hand manifestations and with favourable impact on quality of life in patients with SSc.

Adipose-derived SVF yields a heterogeneous population of cells including stem and progenitor cells with multipotent differentiation potential. SVF cells transcribe many genes that are implicated in inflammation, angiogenesis and tissue repair.<sup>31</sup> It is suggested that ADSC can have antifibrotic properties by the reduction of local infiltration of inflammatory cells into tissue by the secretion of antifibrotic factors such as interferon- $\gamma$  and matrix metalloproteinases,<sup>31</sup> and by the decrease of pro-fibrotic factors such as transforming growth factor- $\beta$ .<sup>32</sup> Advantages of SVF include (1) ease of obtaining cells from lipoaspirates, (2) larger pool of ADSC compared with the pool of bone marrow-derived mesenchymal stem cells (BM-MSC) and (3) stronger angiogenic and regenerative potential of ADSC compared with BM-MSC.<sup>33</sup> As a result, the use of SVF cells for tissue regeneration or autoimmune diseases is increasing as shown by numerous ongoing clinical trials (see [Clinicaltrial.gov](http://Clinicaltrial.gov)).

The present study mainly enrolled patients with SSc free of severe visceral manifestations but with long disease duration. Some of them had hand flexion contracture, joint space narrowing, severe vascular disease, calcinosis and acro osteolysis. These characteristics may explain the higher frequency of joint involvement and radiographic abnormalities compared with that previously reported by Avouac *et al.*<sup>4</sup> Major hand disability in our cohort was also consistent with a CHFS score much higher than that reported by Rannou *et al.*<sup>24</sup> Despite such difference, we similarly noticed a strong correlation between CHFS and SHAQ, with the CHFS scores contributing to 71.3% of the SHAQ variance at M6. This result highlights the crucial contribution of hand disability in global patient disability. Importantly, treatments and physiotherapy schedule were not changed for

**Table 2** Effect of autologous adipose-derived stromal vascular fraction injection on hand disability

	Baseline	2 months	Change (2 months–baseline)	p Value*	6 months	Change (6 months–baseline)	p Value†
<b>CHFS total</b>							
Mean±SD	48.5±10.8	25.8±17.0	–22.7±16.4	<b>&lt;0.001</b>	21.2±15.4	–27.3±17.2	<b>&lt;0.001</b>
Median (range)	48.5 (30–69)	25.5 (2–49)	–22.5 (–51–10)		20.0 (0–48)	–30.0 (–58–10)	
<i>Jamar score (kg)</i>							
<b>Dominant hand</b>							
Mean±SD	16.0±5.8	17.9±7.3	3.0±5.0	<b>0.072</b>	19.4±7.4	4.8±6.4	<b>0.033</b>
Median (range)	15.0 (9.0–26.5)	18.5 (5.5–28.0)	2.0 (–3.0–15.0)		20.0 (5.0–30.0)	3.0 (–6.0–17.0)	
<b>Non-dominant hand</b>							
Mean±SD	14.9±6.1	17.5±7.8	3.7±3.6	<b>0.006</b>	17.6±8.0	4.0±3.5	<b>0.002</b>
Median (range)	14.0 (6.0–26.0)	18.5 (4.0–28.0)	3.0 (–2.0–9.0)		20.0 (3.5–29.0)	3.0 (0.0–13.0)	
<i>Pinch score (kg)</i>							
<b>Dominant hand</b>							
Mean±SD	1.3±1.1	2.0±0.8	0.6±1.3	<b>0.199</b>	2.3±1.3	1.0±1.1	<b>0.009</b>
Median (range)	0.9 (0.2–4.1)	2.3 (0.5–3.2)	0.8 (–2.3–2.7)		2.0 (0.9–5.4)	0.9 (–1.1–3.4)	
<b>Non-dominant hand</b>							
Mean±SD	1.3±0.9	2.2±0.7	0.7±1.2	0.071	2.1±1.0	0.8±1.2	0.050
Median (range)	0.9 (0.2–3.2)	2.3 (1.4–3.2)	0.5 (–0.9–2.5)		2.0 (0.7–3.6)	0.5 (–1.1–3.4)	
<i>Kapandji score/10</i>							
<b>Dominant hand</b>							
Mean±SD	8.0±1.4	8.3±1.6	0.3±1.0	<b>0.380</b>	8.4±1.7	0.5±0.9	<b>0.111</b>
Median (range)	8.0 (5.0–10.0)	9.0 (5.0–10.0)	0.0 (–1.0–2.5)		9.3 (5.0–10.0)	0.0 (–1.0–2.5)	
<b>Non-dominant hand</b>							
Mean±SD	8.5±1.2	8.4±1.6	–0.1±0.7	<b>1.000</b>	8.8±1.3	0.4±0.7	<b>0.188</b>
Median (range)	9.0 (6.0–10.0)	9.0 (5.0–10.0)	0.0 (–1.0–1.5)		9.0 (6.0–10.0)	0.0 (–0.5–2.0)	
<i>1st corner distance (mm)</i>							
<b>Dominant hand</b>							
Mean±SD	105.6±24.7	112.9±29.2	7.3±8.2	<b>0.010</b>	118.9±31.2	13.3±11.6	<b>0.002</b>
Median (range)	112.0 (57–142)	118.5 (57–154)	5.5 (–6–23)		124.0 (58–178)	10.0 (–3–36)	
<b>Non-dominant hand</b>							
Mean±SD	115.8±24.5	122.3±20.9	6.5±9.8	<b>0.042</b>	132.8±28.0	17.0±14.7	<b>0.002</b>
Median (range)	118.5 (65–152)	121.5 (88–155)	4.5 (–8–23)		137.0 (95–190)	15.5 (–4–38)	
<i>Sum of corners distances (mm)</i>							
<b>Dominant hand</b>							
Mean±SD	133.9±18.5	131.2±20.7	1.0±13.7	<b>0.822</b>	140.2±26.6	9.8±15.3	<b>0.073</b>
Median (range)	130.5 (110–168)	131.0 (94–169)	2.0 (–18–28)		131.0 (105–188)	5.5 (–7–36)	
<b>Non-dominant hand</b>							
Mean±SD	132.1±24.6	133.7±29.4	4.5±6.8	<b>0.052</b>	137.9±27.0	8.3±5.1	<b>&lt;0.001</b>
Median (range)	139.0 (73–158)	139.5 (64–166)	6.0 (–9–13)		147.0 (77–177)	10.0 (1–19)	
<i>Sum of Pad/DPL distance (mm)</i>							
<b>Dominant hand</b>							
Mean±SD	52.0±46.5	47.3±43.8	–4.8±18.6	<b>0.395</b>	43.9±42.7	–8.1±16.2	<b>0.111</b>
Median (range)	49.5 (0–160)	45.0 (0–115)	–2.5 (–45–23)		36.5 (0–125)	–4.0 (–35–19)	
<b>Non-dominant hand</b>							
Mean±SD	48.1±54.5	46.8±52.0	–1.3±19.6	<b>0.830</b>	37.8±43.0	–10.3±20.6	<b>0.109</b>
Median (range)	32.0 (0–144)	38.5 (0–160)	–0.5 (–43–28)		15.0 (0–111)	–2.0 (–41–22)	

Bold values correspond to the p value columns.  $p \leq 0.05$  (2-sided) was considered significant.

Data are mean±SD, median (minimum–maximum).

\*p Value from baseline to M2.

†p Value from baseline to M6.

CHFS, Cochin Hand Functional Scale; DPL, Distal Palmar Line.

3 months before entry and all during the trial. Thus, SVF injection was the sole therapeutic change within the study period, allowing evaluation of safety, tolerability and therapeutic effects.

SVF treatment was associated with an ~50% improvement of CHFS, RCS, hand pain and global disability at 2 and 6 months. Although these scales are clearly subjective evaluations, they remain valid instruments when looking at change within individuals. These endpoints were complemented by improvement of objective criteria such as grip and finger's strength, corners

distances and fingers' circumference, and nailfold capillaroscopy data. When analyses were performed taking into account the cutaneous form of the disease, both patients with diffuse and limited forms benefited from the SVF injections. Kapandji and pad to distal palmar line distances did not improve during the trial but were only faintly altered at start, with little room for improvement. Altogether, these changes suggest that autologous SVF injection can counterbalance the various pathogenic factors involved in SSc disease. In the literature, no such large



## Basic and translational research

**Table 3** Effect of autologous adipose-derived stromal vascular fraction injection on scleroderma and skin thickness

	Baseline	2 months	Change (2 months–baseline)	p Value*	6 months	Change (6 months–baseline)	p Value†
<i>Mean circumference of F1–F5 (ring size)</i>							
<b>Dominant hand</b>							
Mean±SD	61.9±2.2	60.7±2.3	–1.2±1.4	0.013	59.8±2.4	–2.1±1.1	<0.001
Median (range)	61.8 (58.8–66)	60.8 (57–65)	–0.9 (–4.6–0.6)		60 (56–64.4)	–2.3 (–4.6–0.4)	
<b>Non-dominant hand</b>							
Mean±SD	60.7±2.3	59.3±1.7	–1.3±1.4	0.008	58.1±2.2	–2.5±1.5	<0.001
Median (range)	61 (57–64.2)	59 (56.8–63.4)	–1.2 (–3.4–0.8)		58 (54–62.8)	–2.5 (–4.8–0.4)	
<i>MRSS applied to hand/18</i>							
<b>Whole population</b>							
Mean±SD	10.9±4.9	10.0±5.3	–0.9±1.6	0.067	9.9±6.0	–1.0±2.8	0.246
Median (range)	11.5 (3–18)	10.5 (2–18)	–0.5 (–4–1)		12 (1–18)	–2 (–5–4)	
<i>Global MRSS/51</i>							
<b>Whole population</b>							
Mean±SD	13.9±9.8	11.7±9.8	–2.3±2.5	0.010	11.5±10.1	–2.4±2.8	0.013
Median (range)	12 (3–32)	9 (2–29)	–2 (–6–3)		8.5 (1–29)	–2 (–7–2)	

Bold values correspond to the p value columns. p≤0.05 (2-sided) was considered significant.

Data are mean±SD.

\*p Value from baseline to M2.

†p Value from baseline to M6.

Data are mean±SD.

F1–F5, finger 1–finger 5; MRSS, Modified Rodnan skin score.

**Table 4** Effect of autologous adipose-derived stromal vascular fraction injection on vascular manifestations

	Baseline	2 months	Change (2 months–baseline)	p Value*	6 months	Change (6 months–baseline)	p Value†
<b>RCS/10</b>							
Mean±SD	7.2±0.9	4.5±1.9	–2.7±2.2	<0.001	2.9±1.4	–4.3±2.1	<0.001
Median (range)	7.5 (6.5–8.0)	5.0 (4.0–5.5)	–2.0 (–6.5–1.0)		3.0 (2.5–3.0)	–4.5 (–7.0–1.5)	
<b>Hand visual analogue scale/100</b>							
Mean±SD	59.4±17.2	21.6±17.5	–37.8±28.6	0.001	17.8±15.3	–41.7±22.7	<0.001
Median (range)	58.5 (50.0–72.5)	19.0 (7.0–40.0)	–39.0 (–80.0–21.0)		13.6 (9.0–26.0)	–44.0 (–80.0–10.0)	
<i>Capillaroscopy data</i>							
<b>Number of capillary loops</b>							
<b>Dominant hand</b>							
Mean±SD	136.3±78.9	124.1±60.1	–12.2±40.9	0.325	138.5±60.8	2.3±47.9	0.874
Median (range)	124.0 (42–298)	118.0 (30–226)	–12.5 (–98–61)		132.5 (48–232)	8.0 (–97–92)	
<b>Non-dominant hand</b>							
Mean±SD	159.5±96.9	142.1±71.0	–23.5±54.1	0.181	152.3±75.2	–11.7±42.0	0.377
Median (range)	122.0 (45–298)	139.0 (42–277)	–13.0 (–137–33)		131.5 (70–308)	–10.0 (–103–34)	
<b>Number of giant capillaries</b>							
<b>Dominant hand</b>							
Mean±SD	20.3±20.3	17.8±18.0	–2.4±8.4	0.341	15.3±17.9	–4.9±7.8	0.035
Median (range)	12.0 (0–64)	13.0 (1–67)	1.0 (–22–7)		9.0 (0–63)	–1.0 (–17–6)	
<b>Non-dominant hand</b>							
Mean±SD	18.3±11.7	18.2±20.5	–5.5±7.9	0.046	18.1±18.6	–5.1±8.9	0.074
Median (range)	13.0 (0–39)	12.5 (0–77)	–3.0 (–20–3)		13.0 (0–72)	–3.0 (–23–4)	
<b>Number of dystrophic capillaries</b>							
<b>Dominant hand</b>							
Mean±SD	23.3±26.8	13.0±11.6	–10.3±17.9	0.115	11.0±10.3	–12.3±20.2	0.047
Median (range)	14.0 (0–79)	14.0 (0–30)	–2.0 (–53–6)		8.5 (0–29)	–1.0 (–57–5)	
<b>Non-dominant hand</b>							
Mean±SD	23.2±22.8	11.2±9.5	–11.4±14.9	0.030	9.7±6.7	–13.5±19.8	0.047
Median (range)	17.0 (0–58)	8.0 (0–29)	–4.0 (–37–6)		10.5 (0–22)	–6.0 (–45–15)	
<b>Vascular suppression score</b>							
<b>Dominant hand</b>							
Mean±SD	1.7±0.8	1.6±0.6	–0.1±0.4	0.656	1.5±0.7	–0.2±0.3	0.010
Median (range)	1.9 (1.0–2.3)	1.5 (1.1–2.1)	0.0 (–1.0–0.4)		1.4 (0.9–2.0)	–0.2 (–0.4–0.0)	
<b>Non-dominant hand</b>							
Mean±SD	1.6±0.7	1.5±0.7	–0.2±0.2	0.031	1.3±0.7	–0.3±0.3	0.003
Median (range)	1.8 (0.9–2.2)	1.6 (0.8–2.0)	–0.1 (–0.6–0.0)		1.1 (0.8–2.0)	–0.4 (–0.8–0.2)	

Bold values correspond to the p value columns. p≤0.05 (2-sided) was considered significant.

Data are mean±SD, median (minimum–maximum).

\*p Value from baseline to M2.

†p Value from baseline to M6.

Dystrophic capillaries are enlarged loops over four times the normal afferent, transition and efferent limbs width. Giant capillary loops are 10 or more times the normal width of capillary limbs. The avascular score was assessed according to Lee's method<sup>18</sup>, in which each finger was rated from 0 to 3: grade 0=no avascular area; 1=one or two discrete avascular areas; 2=more than two discrete avascular areas; 3=extensive and confluent avascular areas. Vascular suppression score are the mean of fingers. RCS, Raynaud's Condition Score.

effect has been reported in patients with SSc: in the two randomised, prospective, placebo-controlled, double-blind studies (RAPIDS-1,<sup>6</sup> RAPIDS-2<sup>7</sup>), bosentan showed its efficacy on prevention of DUs, but without any significant improvement of the HAQ score.

SVF injection predominantly improved vascular peripheral manifestations such as Raynaud's phenomenon severity, DUs outcome and hand pain, the latter being in major part related to chronic vasospasm and DUs. The decrease of fingers' circumference was probably related to an improvement of finger skin oedema, but we did not measure the hand volume to differentiate oedema from fibrosis. Altogether, these effects could explain the functional improvement observed in this study. These observations also suggest that SVF may improve vasomotor tone and microvascular perfusion. This hypothesis is further substantiated by the significant reduction of avascular areas and dystrophic capillaries evaluated using nailfold capillaroscopy. SVF mainly promotes vascular repair and angiogenesis as documented in various experimental models of tissue ischaemia.<sup>34 35</sup> Moreover, defective endogenous capacity for vascular repair has been involved in SSc pathogenesis.<sup>36</sup> Thus, local supply of high amount of endothelial precursors, mesenchymal-like stem cells, pericytes and various angiogenic factors through SVF injection in damaged fingers can directly contribute to improve vascular deficiency. We found that the main characteristics of the Celution processed SVF from patients with SSc were comparable to previous reports<sup>37</sup> and to our personal observations from healthy subjects (data not shown). Consistently, BM-MSc from patients with SSc were reported to exhibit similar phenotypic, proliferative, differentiation potential and immunosuppressive properties as their healthy counterparts.<sup>38 39</sup> Given the heterogeneity of SVF and the as-yet unclear mechanisms, it would be difficult to determine whether the properties critical for efficacy are impaired in SVF from patients with SSc compared with that of healthy donors.

No significant correlation was observed between the characteristics of the injected SVF and clinical outcomes. Further study in a larger cohort may help delineate the contribution of each cell subpopulation to the clinical effect.

Although this was a single-centre, phase I study in a limited number of patients and with relatively brief follow-up, it showed that this innovative treatment was not only safe and well tolerated in patients with SSc but also provided encouraging preliminary evidence of efficacy in numerous secondary endpoints. Longer-term, larger and controlled studies will be important to confirm whether this new form of cell therapy can improve the long-term prognosis of this debilitating disease. In addition, future studies may help understand the mechanisms supporting the clinical effects of SVF.

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## Safety, tolerability and potential efficacy of injection of autologous adipose-derived stromal vascular fraction in the fingers of patients with systemic sclerosis: an open-label phase I trial

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