EXTENDED REPORT

Assessment of disability with the World Health Organisation Disability Assessment Schedule II in patients with ankylosing spondylitis

A van Tubergen, R Landewé, L Heuft-Dorenbosch, A Spoorenberg, D van der Heijde, H van der Tempel, S van der Linden

Objective: To investigate in ankylosing spondylitis (AS) whether the newly developed World Health Organisation Disability Assessment Schedule II (WHODAS II) is a useful instrument for measuring disability, to assess its responsiveness in relation to other traditional disease specific instruments, and to identify factors that are associated with both short term and long term scores on the WHODAS II.

Methods: Patients with AS from a randomised controlled trial assessing the efficacy of spa treatment (n=117) and from a five year longitudinal observational study (n=97) participated. The patients completed several questionnaires, including the WHODAS II. After a three week course of spa treatment, 31 patients again completed all questionnaires to assess responsiveness. To determine to what degree the WHODAS II reflects some AS oriented measures on disease activity, functioning, and quality of life, correlation coefficients between the WHODAS II and these other questionnaires were calculated. Responsiveness was calculated by the effect size (ES) and standardised response mean (SRM). Linear regression analysis was performed to explore which factors might be associated with short term changes on the WHODAS II and to investigate (in the observational study) which factors of WHODAS II might predict disability five years later.

Results: Mean score on the WHODAS II was 23.9 (SD 15.5 (range 0–76.1)). Scores on the WHODAS II were significantly correlated with all disease specific questionnaires measured (all p<0.001). The WHODAS II showed a comparable short term responsiveness score (SRM 0.41; ES 0.39). In regression analysis these short term changes on the WHODAS II were significantly associated with changes in functioning (β coefficient 4.25, 95% confidence interval (95% CI) 1.24 to 7.26, p=0.007). In the observational study, disease activity (β coefficient 0.35, 95% CI 0.17 to 0.53, p<0.001) as well as functioning (β coefficient 0.23, 95% CI 0.09 to 0.38, p=0.002) seemed to significantly predict disability (WHODAS II) after five years.

Conclusion: The WHODAS II is a useful instrument for measuring disability in AS in that it accurately reflects disease specific instruments and that it shows similar responsiveness scores. In AS, a short term change on the WHODAS II is associated with a change in physical function. At the group level, disease activity and physical functioning may predict disability after five years.

Ankylosing spondylitis (AS) is a chronic rheumatic disease that may cause serious functional impairment. In the past decade, several questionnaires have been developed to measure this functional impairment in AS.1 2 “Disability” in AS is often equated with functional impairment and is measured with the same questionnaires. Disability, however, encompasses more than loss of physical function alone. It is a multidimensional construct in which problems at the bodily, personal, and social level are united.3 Recently, the World Health Organisation (WHO) has revised the International Classification of Impairments, Disabilities, and Handicaps (ICIDH) towards a more biopsychosocial model—the International Classification of Functioning, Disability, and Health (ICF)—to comprehend human functioning at the bodily, personal, and social levels.4 5 To assess functioning and disability, the WHO has developed a new instrument that is conceptually compatible with the ICF—the World Health Organisation Disability Assessment Schedule II (WHODAS II). The WHODAS II is a multidimensional questionnaire which can be used for measuring the level of disability across various conditions and interventions. It includes six domains: understanding and communicating, getting around, self care, getting along with others, household and work activities, and participation in society. The WHODAS II is translated and validated in many languages. Versions of the WHODAS II are available through the WHO—namely a fully structured self administered version, an interviewer administered version, and a proxy version. There is a short and a long item version of each. A semistructured questionnaire is being developed.

Because the WHODAS II as a generic instrument should be applicable to all types of diseases, we assessed to what degree the WHODAS II would also be a useful instrument for measuring disability in a single disease—namely, AS. To be such a useful instrument, it should reflect the usual disease-specific instruments. Therefore, the first aim of this study was to

Abbreviations: AP, anteroposterior; AS, ankylosing spondylitis; ASQoL, AS Quality of Life Questionnaire; BASDAI, Bath Ankylosing Spondylitis Disease Activity Index; BASFI, Bath Ankylosing Spondylitis Functional Index; BASRI, Bath Ankylosing Spondylitis Radiology Index total; CRP, C reactive protein; DFI, Dougdados Functional Index; EQ-SD, EuroQol; ES, effect size; ESR, erythrocyte sedimentation rate; HAGS, Health Assessment Questionnaire for Spondyloarthropathies; ICF, International Classification of Functioning, Disability, and Health; ICIDH, International Classification of Impairments, Disabilities, and Handicaps; OASIS, study on outcome in AS; SF-36, Short Form-36 Health Status Questionnaire; SRM, standardised response mean; VAS, visual analogue scale; WHODAS II, World Health Organisation Disability Assessment Schedule II
assess to what degree the WHODAS II correlated with AS oriented instruments, and to define its responsiveness after an efficacious intervention in relation to these other instruments. As an external criterion we tested the widely used generic instrument, the Short Form-36 Health Status Questionnaire (SF-36), which is often applied in patients with AS. As a second validation step, we assessed which, if any, disease specific or sociodemographic factors were associated with short term changes in WHODAS II scores after an effective intervention. We hypothesised that a short term change on the WHODAS II would be independent of sociodemographic factors and a number of AS oriented questionnaires (see below). We used the self administered 36 item version of the WHODAS II in Dutch. The WHODAS II consists of 36 Likert formatted questions, divided into six domains. The final score is calculated with an SPSS syntax (available through the WHO). We used two different syntax versions—the 36 version if the patient had a job and the 32 version if patients did not have a job. In the second version, the four questions on work ability are omitted. The final scores range from 0 (best) to 100 (worst).

### Methods

All patients completed reports themselves containing sociodemographic questions and a number of AS oriented questionnaires (see below). We used the self administered 36 item version of the WHODAS II in Dutch. The WHODAS II consists of 36 Likert formatted questions, divided into six domains. The final score is calculated with an SPSS syntax (available through the WHO). We used two different syntax versions—the 36 version if the patient had a job and the 32 version if patients did not have a job. In the second version, the four questions on work ability are omitted. The final scores range from 0 (best) to 100 (worst).

Physical function was measured with the Bath Ankylosing Spondylitis Functional Index (BASFI), the Dougados Functional Index (DFI), and the Health Assessment Questionnaire for Spondyloarthropathies (HAQ-S). The BASFI contains 10 questions on activities of daily living, and is scored on a 10 cm visual analogue scale (VAS), with anchors “easy” and “impossible” at either side. The mean of the items defines the final score, with scores ranging from 0 (best) to 10 (worst). The DFI consists of 20 Likert formatted scales, and includes activities of daily living. Originally, the DFI was completed on a three point Likert scale, and later it was modified to a five point Likert scale. In the present study we applied the five point Likert scale. For each item possible scores are 0, 0.5, 1, 1.5, and 2. Total scores range from 0 (best) to 40 (worst). The HAQ-S consists of eight subscales on health status and physical function, and is extended with five additional AS specific items on physical function, divided into two subscales. The questions are answered on Likert formatted scales. The mean of all subscales defines the final score, ranging from 0 (best) to 3 (worst). The HAQ-S was given to the patients from the spa treatment trial only.

Disease activity was measured with the Bath AS Disease Activity Index (BASDAI), which consists of six questions on fatigue, pain of the spine, pain and/or swelling of the peripheral joints, localised tenderness, and severity and duration of morning stiffness. The questions are answered on a 10 cm VAS, anchored with the labels “none” and “very severe” at either end of the first five questions, and with “0 hours” and “two hours” in the question on duration of morning stiffness. The mean of the two questions on morning stiffness counts as one variable. The final score is defined by calculating the mean of the five items. Scores range from 0 (best) to 10 (worst).

### PATIENTS AND METHODS

**Patients**

Altogether 214 patients with AS from two different studies participated. Study group A consisted of 117 out of 120 (98%) Dutch outpatients who had participated in a randomised controlled trial to assess the efficacy of a three week course of spa exercise treatment in AS. They completed the WHODAS II, some AS oriented questionnaires, and the SF-36 at the end of the study period (January 2000). From this trial it was concluded that spa exercise treatment was efficacious for patients with AS. Patients from the control group of this trial were also offered a three week course of spa treatment after completion of the study period.Thirty one patients from this group completed all questionnaires a second time one week after the end of the spa treatment to assess responsiveness of these questionnaires. Study group B consisted of 97 out of 137 (71%) Dutch patients participating in an ongoing international longitudinal observational study on outcome in AS (OASIS). They completed the WHODAS II, some AS oriented questionnaires, and the SF-36, and underwent physical examination, laboratory tests, and radiographs of the axial skeleton in the summer of 2001. Patients from this study have been followed up since the summer of 1996. During this five year follow up period 40 patients withdrew from the observational study for various reasons.

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Patient global wellbeing and spinal pain were measured with a 10 cm VAS. The scores range from 0 (best) to 10 (worst). Quality of life was measured with the AS quality of Life Questionnaire (ASQoL) and the SF-36. The ASQoL is a newly developed AS oriented quality of life instrument containing 18 yes or no questions. Scores range from 0 to 18 with lower scores implying a better quality of life. The SF-36 is a widely applied generic instrument for measuring health status and consists of eight domains: physical functioning, role limitations (physical problem), role limitations (emotional problem), mental health, vitality, bodily pain, and general health perceptions. Scores range from 0 (worst) to 100 (best).

The patients from the OASIS study were also given a physical examination, and laboratory and radiographic assessments at regular intervals. Measures for determining spinal mobility consisted of the Schober index, finger to floor distance, chest expansion, and occiput to wall distance. The Schober test was measured as the increase in the distance after maximal forward flexion on a 10 cm segment marked on the patient’s back. Finger to floor distance was measured as the distance between the patient’s fingertips and the floor after forward flexion with knees straight and feet together. Chest expansion was measured as the difference in chest circumference between full inspiration and full expiration at the level of the lower sternum. Occiput to wall distance was measured as the distance between the patient’s occiput and the wall when the patient’s heels and back were against the wall and the chin was held level. Laboratory variables assessed included erythrocyte sedimentation rate (ESR) and C reactive protein (CRP) concentration. Radiographs of the axial skeleton (pelvis, anteroposterior and lateral lumbar spine, lateral cervical spine) were taken. The radiographs of the sacroiliac joints, lumbar spine, cervical spine, and hips were graded 0 to 4 (for normal, suspicious, mild, moderate, and severe, respectively). The scores were combined according to the Bath AS Radiology Index total (BASRI-t) for the spine and hips. The score of the BASRI-t ranges from 0 (best) to 16 (worst). At physical examination, disease activity by both patient and doctor (on a 10 cm VAS) were also measured.

**Statistical analysis**

Data from the two different study groups were pooled and scores are presented using descriptive statistics. To assess whether the WHODAS II would reflect AS oriented questionnaires, Pearson’s correlations were used. The same exercise was done for the WHODAS II versus the SF-36, and as an external criterion, the SF-36 versus all AS oriented questionnaires. Scatter plots were made to visualise scores on the WHODAS II compared with scores on AS oriented questionnaires.

Responsiveness was assessed with data from the 31 patients who had received a three week course of spa treatment and completed a second questionnaire thereafter. Responsiveness was calculated by two different methods: the effect size (ES) and the standardised response mean (SRM). The ES was calculated as the mean change after treatment compared with baseline, divided by the standard deviation (SD) of the baseline scores. The SRM is similar to the ES, but with the SD of the change score as denominator. The results were interpreted according to the Cohen’s effect size index, in which 0.2 refers to a small change, 0.5 to moderate, and 0.8 or more to large change.

Pearson’s correlations between the WHODAS II and AS oriented questionnaires were also calculated on the change scores (difference in scores before and after the three week course of spa treatment). Forward stepwise selection linear regression analysis was performed to investigate which factors were associated with this short term change on the WHODAS II. The dependent variable was the change score on the WHODAS II, independent variables were change scores on the BASFI, BASDAI, spinal pain, patient global wellbeing, and...
ASQoL. Adjustments were made for age, sex, and disease duration. Because the BASFI, DFI, and HAQ-S showed a high level of collinearity (tolerance <0.4), only the BASFI score was used as a covariate, and the DFI and HAQ-S were excluded.

Forward stepwise linear regression analysis was also used to investigate which factors may predict disability, as measured by the WHODAS II, five years later. The 1996 baseline data from the OASIS study were used for this purpose. The dependent variable was the WHODAS II score. Independent variables were not only AS oriented questionnaires (BASDAI, BASFI, patient global assessment, and spinal pain), but also measures of physical examination (occiput to wall distance, finger to floor distance, Schober’s test, chest expansion), laboratory values (ESR and CRP), scores on radiographs (BASRI), and disease activity by both patient and doctor. Adjustments were made for age, sex, and disease duration. Because the BASFI and DFI again showed a high level of collinearity (tolerance <0.4), only the BASFI score was used as a covariate, and the DFI was excluded. Occiput to wall distance, ESR, CRP, and disease activity by the doctor were non-normally distributed and therefore log transformed before introduction into the regression model.

**RESULTS**

Table 1 shows some characteristics of the study groups. Patients from the spa treatment trial showed lower disease duration (time since diagnosis) and duration of complaints compared with the OASIS group. Sixty three per cent of the patients from the total study population had a paid or unpaid job. The scores of the WHODAS II in this group of patients were therefore calculated with the 36 item version SPSS syntax, and the scores in the group of patients without a job were calculated with the 32 item version SPSS syntax.

Table 2 presents the final scores of the total study population on the WHODAS II and the results on the AS oriented questionnaires and the SF-36. The WHODAS II showed a mean score of 23.9 (SD 15.5; median 24.5; range 0.0 to 76.1). The other questionnaires showed comparable scores on the lower one third range of the scale; and the upper one third range of the scale for the SF-36 because this is scored in the opposite direction.

The scores on the WHODAS II were significantly correlated with AS oriented questionnaires on physical functioning, disease activity, and quality of life (all p<0.001, table 3). The WHODAS II and all AS oriented questionnaires also correlated well with all domains of the generic health status questionnaires SF-36 (all p<0.001). Figure 1 shows two examples of scatter plots in which the WHODAS II is plotted against the BASFI and the ASQoL respectively.

Table 4 shows the scores on the questionnaires before and after spa treatment from the 31 patients who had a three week course of spa treatment, including the responsiveness scores. In all variables an improvement was seen, with moderate to large responsiveness scores. The WHODAS II showed a comparable, moderate responsiveness score (SRM 0.41 and ES 0.39). Table 5 shows the correlation coefficients between the change score on the WHODAS II and the change scores on the AS oriented questionnaires. In the short term, a change in WHODAS II was significantly correlated with a change in physical functioning (BASFI and DFI), but not with a change in disease activity or quality of life. With forward stepwise linear regression analysis the change score on the BASFI was selected as the only variable to be associated with short term change on the WHODAS II (adjusted R$^2$=0.21, β coefficient for BASFI=4.25 (95% confidence interval (95% CI)1.14 to 7.26, p=0.007; β coefficient for intercept=1.59 (95% CI−3.19 to 6.37), p=0.501).

Forward stepwise linear regression analysis was also performed to investigate whether clinical and demographic variables could predict disability, as measured by the WHODAS II after a five year period. The variables BASDAI and BASFI at baseline were selected as independently associated with WHODAS II scores after five years (adjusted R$^2$=0.48, table 6).

### Table 4

Scores (mean [SD]) on the WHODAS II, several AS oriented questionnaires, the domains of the Short Form-36 before and after a three week course of spa treatment, and responsiveness scores (n=31)

<table>
<thead>
<tr>
<th>Questionnaire</th>
<th>Score before intervention*</th>
<th>Score after intervention*</th>
<th>Standardised response mean</th>
<th>Effect size</th>
</tr>
</thead>
<tbody>
<tr>
<td>WHODAS II</td>
<td>24.9 (12.1)</td>
<td>20.2 (12.9)</td>
<td>0.41</td>
<td>0.39</td>
</tr>
<tr>
<td>BASFI</td>
<td>4.2 (2.3)</td>
<td>3.5 (2.3)</td>
<td>0.64</td>
<td>0.37</td>
</tr>
<tr>
<td>DFI</td>
<td>10.4 (5.9)</td>
<td>8.5 (5.2)</td>
<td>0.54</td>
<td>0.33</td>
</tr>
<tr>
<td>HAQ-S</td>
<td>0.85 (0.54)</td>
<td>0.72 (0.48)</td>
<td>0.41</td>
<td>0.26</td>
</tr>
<tr>
<td>BASDAI</td>
<td>3.9 (1.8)</td>
<td>3.1 (2.1)</td>
<td>0.35</td>
<td>0.37</td>
</tr>
<tr>
<td>Patient global</td>
<td>4.2 (2.6)</td>
<td>3.3 (2.5)</td>
<td>0.28</td>
<td>0.32</td>
</tr>
<tr>
<td>Spinal pain</td>
<td>4.8 (2.2)</td>
<td>3.3 (2.6)</td>
<td>0.61</td>
<td>0.68</td>
</tr>
<tr>
<td>ASQoL</td>
<td>7.3 (5.0)</td>
<td>6.2 (4.6)</td>
<td>0.24</td>
<td>0.22</td>
</tr>
</tbody>
</table>

### Table 5

Correlations between change scores (Δ) in WHODAS II and several AS oriented questionnaires calculated in the 31 patients who had received a three week course of spa treatment

<table>
<thead>
<tr>
<th>Questionnaire</th>
<th>Correlation coefficient</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Δ BASFI</td>
<td>0.50</td>
<td>0.005</td>
</tr>
<tr>
<td>Δ DFI</td>
<td>0.43</td>
<td>0.016</td>
</tr>
<tr>
<td>Δ BASDAI</td>
<td>0.34</td>
<td>0.065</td>
</tr>
<tr>
<td>Δ Pt Global</td>
<td>0.23</td>
<td>0.230</td>
</tr>
<tr>
<td>Δ Spinal pain</td>
<td>0.20</td>
<td>0.294</td>
</tr>
<tr>
<td>Δ ASQoL</td>
<td>0.16</td>
<td>0.389</td>
</tr>
<tr>
<td>Δ HAQ-S</td>
<td>0.16</td>
<td>0.399</td>
</tr>
</tbody>
</table>

*Positive changes imply improvement. For abbreviations see table 2.

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A few methodological issues should be considered. We did not give the WHODAS II twice to a group of patients who remained stable. Therefore, we could not assess the reproducibility of the WHODAS II in AS. Future studies will be needed to establish this. However, in extensive testing, the WHODAS II has been shown to have a test-retest reliability ranging from 0.91 to 0.95 (within class correlations) across respondents from different geographical regions and from physical disorder, general population, drug, alcohol, and mental disorder samples.21

Secondly, as a consequence, we have no information on to what degree the responsiveness scores of the WHODAS II may be determined by a potential degree of lack of reproducibility. However, the level of responsiveness was similar to the AS oriented questionnaires that have been shown to be reproducible and responsive in previous studies.16 21 22 23 Therefore, we think that the present results reliably reflect clinical findings. In patient populations with schizophrenia, depression, and alcohol dependence, the effect size of the WHODAS II was 1.46, 1.05, and 1.35 respectively, showing that it is able to detect even larger changes than the moderate, although comparable, effect size in our study.21 26

In conclusion, the WHODAS II is a useful instrument for measuring disability in AS in that it accurately incorporates results of AS oriented instruments on disease activity, physical functioning, and quality of life. It shows responsiveness scores that are comparable with AS oriented instruments. In AS, a short term change on the WHODAS II is partly associated with a change in physical functioning, and physical activity and physical functioning seem to be strong predictors of disability, as measured by the WHODAS II, after five years.

DISCUSSION
In this study a new generic instrument for measuring disability and functioning—the WHODAS II, which is conceptually compatible with the recently revised WHO ICF—has been investigated in patients with AS. The questionnaire was given to patients who had participated in an intervention trial and to patients participating in an ongoing longitudinal observational study. Both groups together showed a wide range of duration of disease and duration of complaints. The advantage of this approach is to enhance the ability to generalise the results. A large range in scores on the WHODAS II was found (0 to 76). A relevant ceiling effect of the WHODAS II score was not to be expected because—despite the problems in activities of daily living—patients with AS are seldom completely disabled, not even after a long duration of disease.18

Because it is a generic instrument, the WHODAS II would particularly be useful for studies designed to compare across different interventions and different disease entities (for example, in economic evaluations), similar to other generic instruments such as the SF-36 and the EuroQol (EQ-5D).4 17 However, the WHODAS II is different from the other measures of health status in that it has been based on an international classification system; it is applicable across cultures; and it treats all disorders at parity when determining the level of functioning.20 Also, the WHODAS II can be applied as an instrument to measure overall disability at a disorder specific level, as we have investigated in AS. The bodily, personal, and social levels of disability are well represented in the WHODAS II in our study: scores on the WHODAS II were significantly correlated with all AS oriented questionnaires on disease activity, physical functioning, and quality of life. These findings were similar to the external criterion, the SF-36, thereby further validating the WHODAS II.

We also investigated which variables were associated with a short term change in the WHODAS II and which variables may predict disability over a five year period. A short term change in the WHODAS II was to some degree associated with a short term change in physical function (BASFI; R=0.21), and not with a change in disease activity. This finding further strengthens the validation of the WHODAS II in that it is not influenced by measures that may show a daily fluctuating pattern (such as disease activity), but that it is influenced by factors that induce structural changes. Variables that best predict disability (WHODAS II scores) after five years were physical function (BASFI) and disease activity (BASDAI) (R=0.48). Apparently, WHODAS II scores are reasonably associated with early predictors of outcome in AS, which further improves its quality as an outcome measure. However, it can be seen from the relatively low adjusted R that other factors which have not been assessed in our disease oriented study, may also influence disability (WHODAS II) in the long term.

**Table 6** Multiple stepwise regression analysis to study whether demographic and clinical outcome measures contribute in explaining disability, as assessed by the WHODAS II, five years later

<table>
<thead>
<tr>
<th>Variable</th>
<th>β Coefficient</th>
<th>95% CI</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Constant</td>
<td>1.61</td>
<td>-4.68 to 7.89</td>
<td>0.611</td>
</tr>
<tr>
<td>BASDAI</td>
<td>0.35</td>
<td>0.17 to 0.53</td>
<td>0.000</td>
</tr>
<tr>
<td>BASFI</td>
<td>0.23</td>
<td>0.09 to 0.38</td>
<td>0.002</td>
</tr>
</tbody>
</table>

Analyses were performed in the OASIS study group only (n=97). Adjusted R²=0.48. Variables not selected were patient global wellbeing, spinal pain, occiput to wall distance, finger to floor distance, Schober’s test, chest expansion, erythrocyte sedimentation rate, C reactive protein, Bath Ankylosing Spondylitis Radiology Index total, and disease activity by both patient and doctor. Adjustments were made for age, sex, and disease duration. For abbreviations see table 2.

**REFERENCES**


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